

# Semantic structures for one-stage and iterated belief revision

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## Abstract

Semantic structures for belief revision are proposed. We start with one-stage revision structures that generalize the notion of choice function from rational choice theory. A correspondence between these one-stage structures and AGM belief revision functions is established. We then add branching time and consider more general structures that accommodate iterated revision. AGM temporal revision structures are defined and a syntactic axiomatization is provided.

## 1 Introduction

We present “possible-worlds” semantic structures for one-stage and iterated belief revision. We begin, in Section 2, with a review of the AGM belief revision functions. In Section 3 we introduce one-stage revision structures that are related to the choice structures considered in the rational choice literature and in Section 4 we show that a sub-class of these structures corresponds to the set of AGM belief revision functions. In Section 5 we consider a generalization of the structures of Section 3, which we call temporal belief revision frames. These incorporate branching time and allow one to model iterated belief revision. We then define the sub-class of AGM temporal frames and provide, in Section 7, a modal axiomatization of this class.

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## 2 AGM belief revision functions

We begin by recalling the theory of belief revision due to Alchourrón, Gärdenfors and Makinson [1], known as the AGM theory (see also [8]). In their approach beliefs are modeled as sets of formulas in a given syntactic language and belief revision is construed as an operation that associates with every deductively closed set of formulas  $K$  (thought of as the initial beliefs) and formula  $\phi$  (thought of as new information) a new set of formulas  $K^{\circledast}(\phi)$  representing the revised beliefs (a more common notation in the literature is  $K_{\phi}^{\circledast}$  but we prefer the to use the more explicit functional form  $K^{\circledast}(\phi)$ ).

Let  $S$  be a countable set of atomic propositions and  $\mathbb{L}_0$  the propositional language built on  $S$ . Thus the set  $\Phi_0$  of formulas of  $\mathbb{L}_0$  is defined recursively as follows: if  $p \in S$  then  $p \in \Phi_0$  and if  $\phi, \psi \in \Phi_0$  then  $\neg\phi \in \Phi_0$  and  $\phi \vee \psi \in \Phi_0$ .

Given a subset  $K \subseteq \Phi_0$ , its PL-deductive closure  $[K]^{PL}$  (where ‘PL’ stands for Propositional Logic) is defined as follows:  $\psi \in [K]^{PL}$  if and only if there exist  $\phi_1, \dots, \phi_n \in K$  such that  $(\phi_1 \wedge \dots \wedge \phi_n) \rightarrow \psi$  is a tautology (that is, a theorem of Propositional Logic). A set  $K \subseteq \Phi_0$  is *consistent* if  $[K]^{PL} \neq \Phi_0$  (equivalently, if there is no formula  $\phi$  such that both  $\phi$  and  $\neg\phi$  belong to  $[K]^{PL}$ ). A set  $K \subseteq \Phi_0$  is *deductively closed* if  $K = [K]^{PL}$ . A *belief set* is a set  $K \subseteq \Phi_0$  which is deductively closed. The set of belief sets will be denoted by  $\mathbb{K}$  and the set of consistent belief sets by  $\mathbb{K}^{con}$ .

Let  $K \in \mathbb{K}^{con}$  be a consistent belief set representing the agent’s initial beliefs. A *belief revision function* for  $K$  is a function

$$K^{\circledast} : \Phi_0 \rightarrow 2^{\Phi_0}$$

that associates with every formula  $\phi \in \Phi_0$  (thought of as new information) a set  $K^{\circledast}(\phi) \subseteq \Phi_0$  (thought of as the new belief). A belief revision function is called an *AGM revision function* if it satisfies the following properties, known as the AGM postulates:  $\forall \phi, \psi \in \Phi_0$ ,

- (⊛1)  $K^{\circledast}(\phi) \in \mathbb{K}$
- (⊛2)  $\phi \in K^{\circledast}(\phi)$
- (⊛3)  $K^{\circledast}(\phi) \subseteq [K \cup \{\phi\}]^{PL}$
- (⊛4) if  $\neg\phi \notin K$ , then  $[K \cup \{\phi\}]^{PL} \subseteq K^{\circledast}(\phi)$
- (⊛5a) if  $\phi$  is a contradiction then  $K^{\circledast}(\phi) = \Phi_0$
- (⊛5b) if  $\phi$  is not a contradiction then  $K^{\circledast}(\phi) \neq \Phi_0$
- (⊛6) if  $\phi \leftrightarrow \psi$  is a tautology then  $K^{\circledast}(\phi) = K^{\circledast}(\psi)$
- (⊛7)  $K^{\circledast}(\phi \wedge \psi) \subseteq [K^{\circledast}(\phi) \cup \{\psi\}]^{PL}$
- (⊛8) if  $\neg\psi \notin K^{\circledast}(\phi)$ , then  $[K^{\circledast}(\phi) \cup \{\psi\}]^{PL} \subseteq K^{\circledast}(\phi \wedge \psi)$ .

(⊛1) requires the revised belief set to be deductively closed.

(⊛2) requires that the information be believed.

(⊛3) says that beliefs should be revised minimally, in the sense that no new formula should be added unless it can be deduced from the information received and the initial beliefs.

(⊗4) says that if the information received is compatible with the initial beliefs, then any formula that can be deduced from the information and the initial beliefs should be part of the revised beliefs.

(⊗5 $ab$ ) require the revised beliefs to be consistent, unless the information  $\phi$  is contradictory (that is,  $\neg\phi$  is a tautology).

(⊗6) requires that if  $\phi$  is propositionally equivalent to  $\psi$  then the result of revising by  $\phi$  be identical to the result of revising by  $\psi$ .

(⊗7) and (⊗8) are a generalization of (⊗3) and (⊗4) that

“applies to *iterated* changes of belief. The idea is that if  $K^{\otimes}(\phi)$  is a revision of  $K$  [prompted by  $\phi$ ] and  $K^{\otimes}(\phi)$  is to be changed by adding further sentences, such a change should be made by using expansions of  $K^{\otimes}(\phi)$  whenever possible. More generally, the minimal change of  $K$  to include both  $\phi$  and  $\psi$  (that is,  $K^{\otimes}(\phi \wedge \psi)$ ) ought to be the same as the expansion of  $K^{\otimes}(\phi)$  by  $\psi$ , so long as  $\psi$  does not contradict the beliefs in  $K^{\otimes}(\phi)$ ” (Gärdenfors [8], p. 55).<sup>1</sup>

### 3 Choice structures and one-stage revision frames

We now turn to a semantic counterpart to the AGM belief revision functions, which is in the spirit of Grove’s [10] system of spheres. The structures we will consider are known in rational choice theory as *choice functions* (see, for example, [15] and [16]).

**Definition 1** A choice structure is a quadruple  $\langle \Omega, \mathcal{E}, \mathbb{O}, \mathbf{R} \rangle$  where

- $\Omega$  is a non-empty set of states; subsets of  $\Omega$  are called events.
- $\mathcal{E} \subseteq 2^\Omega$  is a collection of events ( $2^\Omega$  denotes the set of subsets of  $\Omega$ ).
- $\mathbf{R} : \mathcal{E} \rightarrow 2^\Omega$  is a function that associates with every event  $E \in \mathcal{E}$  an event  $\mathbf{R}_E \subseteq \Omega$  (we use the notation  $\mathbf{R}_E$  rather than  $\mathbf{R}(E)$ ).
- $\mathbb{O} \in \mathcal{E}$  is a distinguished element of  $\mathcal{E}$  with  $\mathbb{O} \neq \emptyset$ .

In rational choice theory a set  $E \in \mathcal{E}$  is interpreted as a set of available alternatives and  $\mathbf{R}_E$  is interpreted as the subset of  $E$  which consists of those alternatives that could be rationally chosen. In our case, we interpret the elements of  $\mathcal{E}$  as possible items of information that the agent might receive and the interpretation of  $\mathbf{R}_E$  is that, if informed that event  $E$  has occurred, the agent considers as possible all and only the states in  $\mathbf{R}_E$ . For the distinguished element  $\mathbb{O}$ , we interpret  $\mathbf{R}_{\mathbb{O}}$  as the *original* or *initial* beliefs of the agent.<sup>2</sup>

Note that we do not impose the requirement that  $\Omega \in \mathcal{E}$ .

<sup>1</sup>The expansion of  $K^{\otimes}(\phi)$  by  $\psi$  is  $[K^{\otimes}(\phi) \cup \{\psi\}]^{PL}$ .

<sup>2</sup>In the rational choice literature there is no counterpart to the distinguished set  $\mathbb{O}$ .

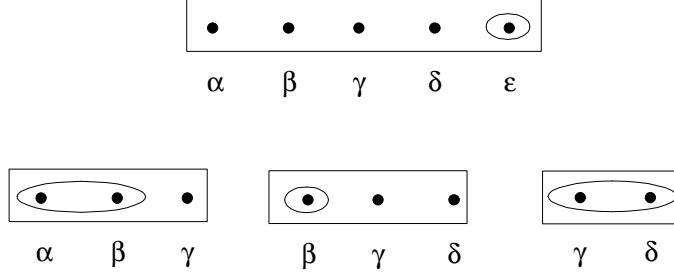


Figure 1

**Definition 2** A one-stage revision frame is a choice structure  $\langle \Omega, \mathcal{E}, \mathbb{O}, \mathbf{R} \rangle$  that satisfies the following properties:  $\forall E, F \in \mathcal{E}$ ,

(BR1)  $\mathbf{R}_E \subseteq E$ ,

(BR2) if  $E \neq \emptyset$  then  $\mathbf{R}_E \neq \emptyset$ ,

(BR3) if  $E \subseteq F$  and  $\mathbf{R}_F \cap E \neq \emptyset$  then  $\mathbf{R}_E = \mathbf{R}_F \cap E$ .

(BR4) if  $\mathbf{R}_{\mathbb{O}} \cap E \neq \emptyset$  then  $\mathbf{R}_E = \mathbf{R}_{\mathbb{O}} \cap E$ .

In the rational choice literature, (BR1) and (BR2) are taken to be part of the definition of a choice function, while (BR3) is known as Arrow's axiom (see [16] p. 25). Property (BR4), which corresponds to our Qualitative Bayes Rule (see below), has not been investigated in that literature.

The following is an example of a one-stage belief revision frame:

$\Omega = \{\alpha, \beta, \gamma, \delta, \varepsilon, \zeta, \eta\}$ ,  $\mathcal{E} = \{\{\alpha, \beta, \gamma, \delta, \varepsilon\}, \{\alpha, \beta, \gamma\}, \{\beta, \gamma, \delta\}, \{\gamma, \delta\}\}$ ,

$\mathbb{O} = \{\alpha, \beta, \gamma, \delta, \varepsilon\}$ ,  $\mathbf{R}_{\mathbb{O}} = \{\varepsilon\}$ ,  $\mathbf{R}_{\{\alpha, \beta, \gamma\}} = \{\alpha, \beta\}$ ,  $\mathbf{R}_{\{\beta, \gamma, \delta\}} = \{\beta\}$ ,

$\mathbf{R}_{\{\gamma, \delta\}} = \{\gamma, \delta\}$ . The frame is shown in Figure 1, where the elements of  $\mathcal{E}$  are represented by rectangles and, for every  $E \in \mathcal{E}$ , the set  $\mathbf{R}_E$  is represented by an oval inside the rectangle that represents  $E$ .

Let  $S$  be a set of sentence letters or atomic propositions and  $\Phi_0$  the set of propositional formulas based on  $S$ . A *one-stage revision model* is a quintuple  $\langle \Omega, \mathcal{E}, \mathbb{O}, \mathbf{R}, V \rangle$  where  $\langle \Omega, \mathcal{E}, \mathbb{O}, \mathbf{R} \rangle$  is a one-stage revision frame and  $V : S \rightarrow 2^\Omega$  is a function (called a *valuation*) that associates with every atomic proposition  $p$  the set of states at which  $p$  is true. Truth of an arbitrary formula  $\phi \in \Phi_0$  in a model is defined recursively as follows ( $\omega \models \phi$  means that formula  $\phi$  is true at state  $\omega$ ): (1) for  $p \in S$ ,  $\omega \models p$  if and only if  $\omega \in V(p)$ , (2)  $\omega \models \neg\phi$  if and only if  $\omega \not\models \phi$  and (3)  $\omega \models \phi \vee \psi$  if and only if either  $\omega \models \phi$  or  $\omega \models \psi$  (or both). The truth set of a formula  $\phi$  is denoted by  $\|\phi\|$ . Thus  $\|\phi\| = \{\omega \in \Omega : \omega \models \phi\}$ .

Given a one-stage revision model, we say that

- (1) the agent *initially believes that*  $\phi$  if and only if  $\mathbf{R}_\emptyset \subseteq \|\phi\|$ ,
- (2) the agent *believes that*  $\phi$  *upon learning that*  $\psi$  if and only if  $\|\psi\| \in \mathcal{E}$  and  $\mathbf{R}_{\|\psi\|} \subseteq \|\phi\|$ .

**Definition 3** *A one-stage revision model is comprehensive if for every formula  $\phi$ ,  $\|\phi\| \in \mathcal{E}$ . It is rich if, for every finite set  $P = \{p_1, \dots, p_n, q_1, \dots, q_m\}$  of atomic propositions, there is a state  $\omega_P \in \Omega$  such that  $\omega_P \models p_i$  for every  $i = 1, \dots, n$  and  $\omega_P \models \neg q_j$  for every  $j = 1, \dots, m$ .*

Thus in a comprehensive one-stage revision model every formula is a possible item of information. For example, a model based on a one-stage revision frame where  $\mathcal{E} = 2^\Omega$  is comprehensive. In a rich model every formula consisting of a conjunction of atomic proposition or the negation of atomic propositions is true at some state.

## 4 Correspondence

The following propositions (proved in [5]) show that the set of AGM belief revision functions corresponds to the set of comprehensive and rich one-stage revision models, in the sense that

- (1) given a comprehensive and rich one-stage revision model, we can associate with it a consistent belief set  $K$  and a corresponding AGM belief revision function  $K^\otimes$ , and
- (2) given a consistent belief set  $K$  and an AGM belief revision function  $K^\otimes$  there exists a comprehensive and rich one-stage revision model whose associated belief set and AGM belief revision function coincide with  $K$  and  $K^\otimes$ , respectively.

**Proposition 1** *Let  $\langle \Omega, \mathcal{E}, \mathbb{O}, \mathbf{R}, V \rangle$  be a comprehensive one-stage revision model. Define  $K = \{\psi \in \Phi_0 : \mathbf{R}_\emptyset \subseteq \|\psi\|\}$ . Then  $K$  is a consistent belief set. For every  $\phi \in \Phi_0$  define  $K^\otimes(\phi) = \{\psi \in \Phi_0 : \mathbf{R}_{\|\phi\|} \subseteq \|\psi\|\}$ . Then the function  $K^\otimes : \Phi_0 \rightarrow 2^{\Phi_0}$  so defined satisfies AGM postulates  $(\otimes 1)$ - $(\otimes 5a)$  and  $(\otimes 6)$ - $(\otimes 8)$ . If the model is rich then also  $(\otimes 5b)$  is satisfied.*

**Proposition 2** *Let  $K \in \mathbb{K}$  be a consistent belief set and  $K^\otimes : \Phi_0 \rightarrow 2^{\Phi_0}$  be an AGM belief revision function (that is,  $K^\otimes$  satisfies the AGM postulates  $(\otimes 1)$ - $(\otimes 8)$ ). Then there exists a comprehensive and rich one-stage revision model  $\langle \Omega, \mathcal{E}, \mathbb{O}, \mathbf{R}, V \rangle$  such that  $K = \{\psi \in \Phi_0 : \mathbf{R}_\emptyset \subseteq \|\psi\|\}$  and, for every  $\phi \in \Phi_0$ ,  $K^\otimes(\phi) = \{\psi \in \Phi_0 : \mathbf{R}_{\|\phi\|} \subseteq \|\psi\|\}$ .*

## 5 Temporal belief revision frames

In order to model iterated belief revision, we now turn to the richer structures introduced in [4], which are branching-time structures with the addition of a belief relation and an information relation for every instant  $t$ . We then show that these structures are a generalization of the one-stage belief revision structures considered above.

A *next-time branching frame* is a pair  $\langle T, \succ \rangle$  where  $T$  is a non-empty, countable set of instants and  $\succ$  is a binary relation on  $T$  satisfying the following properties:  $\forall t_1, t_2, t_3 \in T$ ,

- (1) backward uniqueness    if  $t_1 \succ t_3$  and  $t_2 \succ t_3$  then  $t_1 = t_2$
- (2) acyclicity                if  $\langle t_1, \dots, t_n \rangle$  is a sequence with  $t_i \succ t_{i+1}$   
for every  $i = 1, \dots, n - 1$ , then  $t_n \neq t_1$ .

The interpretation of  $t_1 \succ t_2$  is that  $t_2$  is an *immediate successor* of  $t_1$  or  $t_1$  is the *immediate predecessor* of  $t_2$ : every instant has at most a unique immediate predecessor but can have several immediate successors.

**Definition 4** A temporal belief revision frame is a tuple  $\langle T, \succ, \Omega, \{\mathcal{B}_t, \mathcal{I}_t\}_{t \in T} \rangle$  where  $\langle T, \succ \rangle$  is a next-time branching frame,  $\Omega$  is a non-empty set of states (or possible worlds) and, for every  $t \in T$ ,  $\mathcal{B}_t$  and  $\mathcal{I}_t$  are binary relations on  $\Omega$ .

The interpretation of  $\omega \mathcal{B}_t \omega'$  is that at state  $\omega$  and time  $t$  the individual considers state  $\omega'$  possible (an alternative expression is “ $\omega'$  is a doxastic alternative to  $\omega$  at time  $t$ ”), while the interpretation of  $\omega \mathcal{I}_t \omega'$  is that at state  $\omega$  and time  $t$ , according to the information received, it is possible that the true state is  $\omega'$ . We shall use the following notation:

$$\mathcal{B}_t(\omega) = \{\omega' \in \Omega : \omega \mathcal{B}_t \omega'\} \text{ and, similarly, } \mathcal{I}_t(\omega) = \{\omega' \in \Omega : \omega \mathcal{I}_t \omega'\}.$$

Figure 2 illustrates a temporal belief revision frame. For simplicity, in all the figures we assume that the information relations  $\mathcal{I}_t$  are equivalence relations (whose equivalence classes are denoted by rectangles) and the belief relations  $\mathcal{B}_t$  are serial, transitive and euclidean<sup>3</sup> (we represent this fact by enclosing states in ovals and, within an equivalence class for  $\mathcal{I}_t$ , we have that - for every two states  $\omega$  and  $\omega'$  -  $\omega' \in \mathcal{B}_t(\omega)$  if and only if  $\omega'$  belongs to an oval).<sup>4</sup> For example, in Figure 2 we have that  $\mathcal{I}_{t_1}(\gamma) = \{\alpha, \beta, \gamma\}$  and  $\mathcal{B}_{t_1}(\gamma) = \{\alpha, \beta\}$ .

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<sup>3</sup> $\mathcal{B}_t$  is serial if,  $\forall \omega \in \Omega, \mathcal{B}_t(\omega) \neq \emptyset$ ; it is transitive if  $\omega' \in \mathcal{B}_t(\omega)$  implies that  $\mathcal{B}_t(\omega') \subseteq \mathcal{B}_t(\omega)$ ; it is euclidean if  $\omega' \in \mathcal{B}_t(\omega)$  implies that  $\mathcal{B}_t(\omega) \subseteq \mathcal{B}_t(\omega')$ .

<sup>4</sup>Note, however, that our results do *not* require  $\mathcal{I}_t$  to be an equivalence relation, nor do they require  $\mathcal{B}_t$  to be serial, transitive and euclidean.

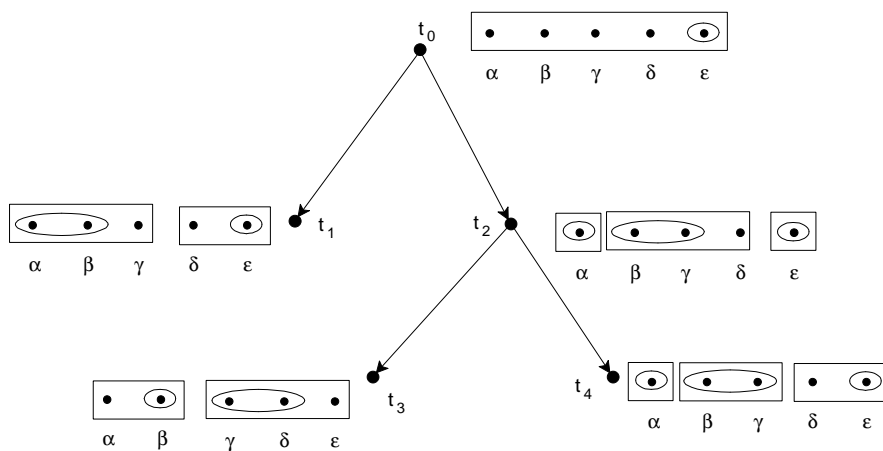
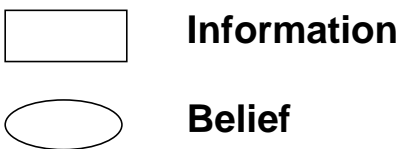


Figure 2

Temporal belief revision frames can be used to describe either a situation where the objective facts describing the world do not change – so that only the beliefs of the agent change over time – or a situation where both the facts and the doxastic state of the agent change. In the literature the first situation is called belief revision, while the latter is called belief update (see [12]). We shall focus on belief revision.

**Definition 5** *An AGM-frame is a temporal belief revision frame that satisfies the following properties:  $\forall \omega \in \Omega, \forall t, t_1, t_2, t_3 \in T$ ,*

- (1)  $\mathcal{B}_t(\omega) \subseteq \mathcal{I}_t(\omega)$ ,
- (2) if  $\mathcal{I}_t(\omega) \neq \emptyset$  then  $\mathcal{B}_t(\omega) \neq \emptyset$ .
- (3) if  $t_1 \succ t_2, t_1 \succ t_3, \mathcal{I}_{t_3}(\omega) \subseteq \mathcal{I}_{t_2}(\omega)$  and  $\mathcal{I}_{t_3}(\omega) \cap \mathcal{B}_{t_2}(\omega) \neq \emptyset$  then  $\mathcal{B}_{t_3}(\omega) = \mathcal{I}_{t_3}(\omega) \cap \mathcal{B}_{t_2}(\omega)$ .
- (4) if  $t_1 \succ t_2$  and  $\mathcal{B}_{t_1}(\omega) \cap \mathcal{I}_{t_2}(\omega) \neq \emptyset$  then  $\mathcal{B}_{t_2}(\omega) = \mathcal{B}_{t_1}(\omega) \cap \mathcal{I}_{t_2}(\omega)$

Property (4) is called the Qualitative Bayes Rule in [4]. The frame of Figure 2 is not an AGM-frame, because, although it satisfies properties (1) and (2), it fails the other two properties. Failure of property (3) can be seen from the fact that  $t_2 \succ t_3, t_2 \succ t_4, \mathcal{I}_{t_4}(\delta) = \{\delta, \varepsilon\} \subseteq \mathcal{I}_{t_3}(\delta) = \{\gamma, \delta, \varepsilon\}, \mathcal{I}_{t_4}(\delta) \cap \mathcal{B}_{t_3}(\delta) = \{\delta\}$  (since  $\mathcal{B}_{t_3}(\delta) = \{\gamma, \delta\}$ ) and yet  $\mathcal{B}_{t_4}(\delta) = \{\varepsilon\} \neq \mathcal{I}_{t_4}(\delta) \cap \mathcal{B}_{t_3}(\delta) = \{\delta\}$ . Failure of property (4) can be seen from the fact that  $t_2 \succ t_3, \mathcal{B}_{t_2}(\gamma) = \{\beta, \gamma\}$  and  $\mathcal{I}_{t_3}(\gamma) = \{\gamma, \delta, \varepsilon\}$ , so that  $\mathcal{B}_{t_2}(\gamma) \cap \mathcal{I}_{t_3}(\gamma) = \{\gamma\}$  and yet  $\mathcal{B}_{t_3}(\gamma) = \{\gamma, \delta\} \neq \mathcal{B}_{t_2}(\gamma) \cap \mathcal{I}_{t_3}(\gamma)$ . On the other hand, the temporal frame of Figure 3 below is an AGM-frame.

The notion of AGM-frame is a generalization of that of one-stage revision frame (see Definition 2). In fact, given an AGM-frame  $\langle T, \succ, \Omega, \{\mathcal{B}_t, \mathcal{I}_t\}_{t \in T} \rangle$  we can associate with every state-instant pair  $(\omega_0, t_0)$  a one-stage revision frame  $\langle \Omega^0, \mathcal{E}^0, \mathbb{O}^0, \mathbf{R}^0 \rangle$  as follows. Let  $\vec{t}_0 = \{t \in T : t_0 \succ t\}$ , then

- $\Omega^0 = \Omega$ ,
- $\mathcal{E}^0 = \left\{ E \subseteq \Omega : E = \mathcal{I}_t(\omega_0) \text{ for some } t \in \vec{t}_0 \right\}$ ,
- $\mathbb{O}^0 = \mathcal{I}_{t_0}(\omega_0)$ ,
- $\mathbf{R}_{\mathbb{O}^0} = \mathcal{B}_{t_0}(\omega_0)$
- for every  $E \in \mathcal{E}$ , if  $E = \mathcal{I}_t(\omega_0)$  (for some  $t \in \vec{t}_0$ ) then  $\mathbf{R}_E^0 = \mathcal{B}_t(\omega_0)$ ,

By Property (1) of AGM-frames the frame  $\langle \Omega^0, \mathcal{E}^0, \mathbb{O}^0, \mathbf{R}^0 \rangle$  so defined satisfies property BR1 of the definition of one-stage revision frame, while Property (2) ensures that BR2 is satisfied, Property (3) ensures that BR3 is satisfied and Property (4) ensures that BR4 is satisfied.

Consider, for example, the AGM-frame of Figure 3 and the state-instant pair  $(\gamma, t_0)$ . Then the associated one-stage revision frame is as follows:

$$\begin{aligned} \mathcal{E} &= \{ \{\alpha, \beta, \gamma, \delta, \varepsilon\}, \{\alpha, \beta, \gamma\}, \{\beta, \gamma, \delta\}, \{\gamma, \delta\} \}, \mathbb{O} = \{\alpha, \beta, \gamma, \delta, \varepsilon\}, \\ \mathbf{R}_{\mathbb{O}} &= \{\varepsilon\}, \mathbf{R}_{\{\alpha, \beta, \gamma\}} = \{\alpha, \beta\}, \mathbf{R}_{\{\beta, \gamma, \delta\}} = \{\beta\} \text{ and } \mathbf{R}_{\{\gamma, \delta\}} = \{\gamma, \delta\}. \end{aligned}$$



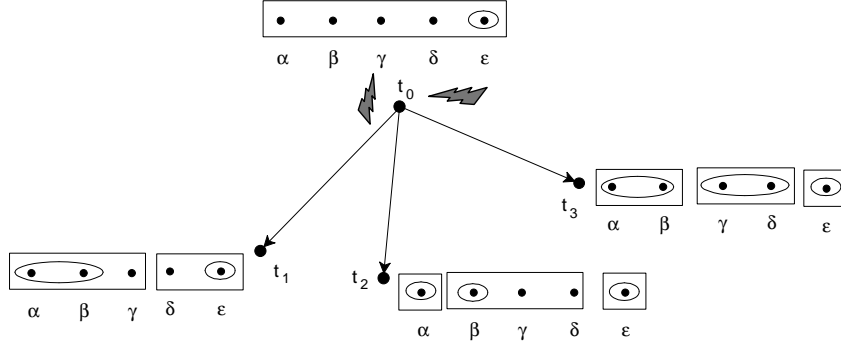


Figure 3

## 6 Syntactic characterization of *AGM*-frames

A syntactic characterization of *AGM*-frames is provided in [4] and [5] and is briefly reviewed here. We consider a propositional language with five modal operators: the next-time operator  $\bigcirc$  and its inverse  $\bigcirc^{-1}$ , the belief operator  $B$ , the information operator  $I$  and the “all state” operator  $A$ . The intended interpretation is as follows:

- $\bigcirc\phi$  : “at every next instant it will be the case that  $\phi$ ”
- $\bigcirc^{-1}\phi$  : “at every previous instant it was the case that  $\phi$ ”
- $B\phi$  : “the agent believes that  $\phi$ ”
- $I\phi$  : “the agent is informed that  $\phi$ ”
- $A\phi$  : “it is true at every state that  $\phi$ ”.

The “all state” operator  $A$  is needed in order to capture the non-normality of the information operator  $I$  (see below). For a thorough discussion of the “all state” operator see [9].

Note that, while the other operators apply to arbitrary formulas, *we restrict the information operator to apply to Boolean formulas only*, that is, to formulas that do not contain modal operators. Boolean formulas are defined recursively as follows: (1) every atomic proposition is a Boolean formula, and (2) if  $\phi$  and  $\psi$  are Boolean formulas then so are  $\neg\phi$  and  $(\phi \vee \psi)$ . The set of Boolean formulas is denoted by  $\Phi^B$ . Boolean formulas represent facts and, therefore, we restrict information to be about facts.

Given a temporal belief revision frame  $\langle T, \succ, \Omega, \{\mathcal{B}_t, \mathcal{I}_t\}_{t \in T} \rangle$  one obtains a *model based on it* by adding a function  $V : S \rightarrow 2^\Omega$  (where  $S$  is the set of atomic propositions and  $2^\Omega$  denotes the set of subsets of  $\Omega$ ) that associates with every atomic proposition  $p$  the set of states at which  $p$  is true. Note that defining a valuation this way is what frames the problem as one of belief revision, since the truth value of an atomic proposition  $p$  depends only on the state and not

on the time.<sup>5</sup> Given a model, a state  $\omega$ , an instant  $t$  and a formula  $\phi$ , we write  $(\omega, t) \models \phi$  to denote that  $\phi$  is true at state  $\omega$  and time  $t$ . Let  $\|\phi\|$  denote the truth set of  $\phi$ , that is,  $\|\phi\| = \{(\omega, t) \in \Omega \times T : (\omega, t) \models \phi\}$  and let  $\lceil \phi \rceil_t \subseteq \Omega$  denote the set of states at which  $\phi$  is true at time  $t$ , that is,  $\lceil \phi \rceil_t = \{\omega \in \Omega : (\omega, t) \models \phi\}$ . Truth of an arbitrary formula at a pair  $(\omega, t)$  is defined recursively as follows:

$$\begin{aligned}
& \text{if } p \in S, & (\omega, t) \models p & \text{ if and only if } \omega \in V(p); \\
& (\omega, t) \models \neg\phi & \text{ if and only if } (\omega, t) \not\models \phi; \\
& (\omega, t) \models \phi \vee \psi & \text{ if and only if either } (\omega, t) \models \phi \text{ or } (\omega, t) \models \psi \text{ (or both);} \\
& (\omega, t) \models \bigcirc\phi & \text{ if and only if } (\omega, t') \models \phi \text{ for every } t' \text{ such that } t \rightsquigarrow t'; \\
& (\omega, t) \models \bigcirc^{-1}\phi & \text{ if and only if } (\omega, t'') \models \phi \text{ for every } t'' \text{ such that } t'' \rightsquigarrow t; \\
& (\omega, t) \models B\phi & \text{ if and only if } \mathcal{B}_t(\omega) \subseteq \lceil \phi \rceil_t, \text{ that is,} \\
& & \text{if } (\omega', t) \models \phi \text{ for all } \omega' \in \mathcal{B}_t(\omega); \\
& (\omega, t) \models I\phi & \text{ if and only if } \mathcal{I}_t(\omega) = \lceil \phi \rceil_t, \text{ that is, if (1) } (\omega', t) \models \phi \\
& & \text{for all } \omega' \in \mathcal{I}_t(\omega), \text{ and (2) if } (\omega', t) \models \phi \text{ then } \omega' \in \mathcal{I}_t(\omega); \\
& (\omega, t) \models A\phi & \text{ if and only if } \lceil \phi \rceil_t = \Omega, \text{ that is,} \\
& & \text{if } (\omega', t) \models \phi \text{ for all } \omega' \in \Omega.
\end{aligned}$$

Note that, while the truth condition for the operator  $B$  is the standard one, the truth condition for the operator  $I$  is non-standard: instead of simply requiring that  $\mathcal{I}_t(\omega) \subseteq \lceil \phi \rceil_t$  we require equality:  $\mathcal{I}_t(\omega) = \lceil \phi \rceil_t$ . Thus our information operator is formally similar to the “all and only” operator introduced in [11] and the “only knowing” operator studied in [14], although the interpretation is different. It is also similar to the “assumption” operator used in [6].

**Remark 1** *The truth value of a Boolean formula does not change over time: it is only a function of the state. That is, fix an arbitrary model and suppose that  $(\omega, t) \models \phi$  where  $\phi \in \Phi^B$ ; then, for every  $t' \in T$ ,  $(\omega, t') \models \phi$ .*

A formula  $\phi$  is *valid in a model* if  $\|\phi\| = \Omega \times T$ , that is, if  $\phi$  is true at every state-instant pair  $(\omega, t)$ . A formula  $\phi$  is *valid in a frame* if it is valid in every model based on it.

The formal language is built in the usual way (see [2]) from a countable set of atomic propositions, the connectives  $\neg$  and  $\vee$  (from which the connectives  $\wedge$ ,  $\rightarrow$  and  $\leftrightarrow$  are defined as usual) and the modal operators  $\bigcirc$ ,  $\bigcirc^{-1}$ ,  $B$ ,  $I$  and  $A$ , with the restriction that  $I\phi$  is a well-formed formula if and only if  $\phi$  is a Boolean formula. Let  $\diamond\phi \stackrel{def}{=} \neg\bigcirc\neg\phi$ , and  $\diamond^{-1}\phi \stackrel{def}{=} \neg\bigcirc^{-1}\neg\phi$ . Thus the interpretation of  $\diamond\phi$  is “at *some* next instant it will be the case that  $\phi$ ” while the interpretation of  $\diamond^{-1}\phi$  is “at some immediately preceding instant it was the case that  $\phi$ ”.

We denote by  $\mathbb{L}_0$  the basic logic defined by the following axioms and rules of inference.

AXIOMS:

1. All propositional tautologies.

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<sup>5</sup>Belief update would require a valuation to be defined as a function  $V : S \rightarrow 2^{\Omega \times T}$ .

2. Axiom  $K$  for  $\bigcirc, \bigcirc^{-1}, B$  and  $A$ <sup>6</sup>: for  $\square \in \{\bigcirc, \bigcirc^{-1}, B, A\}$

$$(\square\phi \wedge \square(\phi \rightarrow \psi)) \rightarrow \square\psi \quad (\mathbf{K})$$

3. Temporal axioms relating  $\bigcirc$  and  $\bigcirc^{-1}$ :

$$\begin{aligned} \phi &\rightarrow \bigcirc\Diamond^{-1}\phi & (\mathbf{O}_1) \\ \phi &\rightarrow \bigcirc^{-1}\Diamond\phi & (\mathbf{O}_2) \end{aligned}$$

4. Backward Uniqueness axiom:

$$\Diamond^{-1}\phi \rightarrow \bigcirc^{-1}\phi \quad (\mathbf{BU})$$

5. S5 axioms for  $A$ :

$$\begin{aligned} A\phi &\rightarrow \phi & (\mathbf{T}_A) \\ \neg A\phi &\rightarrow A\neg A\phi & (\mathbf{5}_A) \end{aligned}$$

6. Inclusion axiom for  $B$  (note the absence of an analogous axiom for  $I$ ):

$$A\phi \rightarrow B\phi \quad (\mathbf{Incl}_B)$$

7. Axioms to capture the non-standard semantics for  $I$ : for  $\phi, \psi \in \Phi^B$  (recall that  $\Phi^B$  denotes the set of Boolean formulas),

$$\begin{aligned} (I\phi \wedge I\psi) &\rightarrow A(\phi \leftrightarrow \psi) & (\mathbf{I}_1) \\ A(\phi \leftrightarrow \psi) &\rightarrow (I\phi \leftrightarrow I\psi) & (\mathbf{I}_2) \end{aligned}$$

RULES OF INFERENCE:

1. Modus Ponens:  $\frac{\phi, \phi \rightarrow \psi}{\psi}$  ( $MP$ )

2. Necessitation for  $A, \bigcirc$  and  $\bigcirc^{-1}$ : for every  $\square \in \{A, \bigcirc, \bigcirc^{-1}\}$ ,  $\frac{\phi}{\square\phi}$  ( $Nec$ ).

Note that from  $MP$ ,  $\mathbf{Incl}_B$  and Necessitation for  $A$  one can derive necessitation for  $B$ . On the other hand, necessitation for  $I$  is *not* a rule of inference of this logic (indeed it is not validity preserving).

**Remark 2** By  $MP$ , axiom  $K$  and Necessitation, the following is a derived rule of inference for the operators  $\bigcirc, \bigcirc^{-1}, B$  and  $A$ :  $\frac{\phi \rightarrow \psi}{\square\phi \rightarrow \square\psi}$ , for  $\square \in \{\bigcirc, \bigcirc^{-1}, B, A\}$ . We call this rule  $RK$ . On the other hand, rule  $RK$  is not a valid rule of inference for the operator  $I$ .

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<sup>6</sup>Axiom  $K$  for  $I$  is superfluous, since it can be derived from axioms  $\mathbf{I}_1$  and  $\mathbf{I}_2$  below (see [3], p. 204).

Our purpose is to model how the beliefs of an individual change over time in response to *factual* information. Thus *the axioms we introduce are restricted to Boolean formulas*, which are formulas that do not contain any modal operators.

Let  $\mathbb{L}_{AGM}$  be the logic obtained by adding to  $\mathbb{L}_0$  the following six axioms.

The first axiom requires the individual not to drop any of his current factual beliefs at any next instant at which he is informed of some fact that he currently considers possible: if  $\phi$  and  $\psi$  are Boolean,

$$(\neg B\neg\phi \wedge B\psi) \rightarrow \bigcirc(I\phi \rightarrow B\psi). \quad (ND)$$

The second axiom requires that if the individual considers it possible that  $(\phi \wedge \neg\psi)$  then at any next instant at which he is informed that  $\phi$  he does not believe that  $\psi$ : if  $\phi$  and  $\psi$  are Boolean,

$$\neg B\neg(\phi \wedge \neg\psi) \rightarrow \bigcirc(I\phi \rightarrow \neg B\psi). \quad (NA)$$

The third axiom states that information is believed:

$$I\phi \rightarrow B\phi. \quad (A)$$

The fourth axiom says that if there is a next instant where the individual is informed that  $\phi \wedge \psi$  and believes that  $\chi$ , then at every next instant it must be the case that if the individual is informed that  $\phi$  then he must believe that  $(\phi \wedge \psi) \rightarrow \chi$  (we call this axiom *K7* because it corresponds to AGM postulate  $\otimes 7$ ): if  $\phi$ ,  $\psi$  and  $\chi$  are Boolean formulas,

$$\diamond(I(\phi \wedge \psi) \wedge B\chi) \rightarrow \bigcirc(I\phi \rightarrow B((\phi \wedge \psi) \rightarrow \chi)). \quad (K7)$$

The fifth axiom says that if there is a next instant where the individual is informed that  $\phi$ , considers  $\phi \wedge \psi$  possible and believes that  $\psi \rightarrow \chi$ , then at every next instant it must be the case that if the individual is informed that  $\phi \wedge \psi$  then he believes that  $\chi$  (we call this axiom *K8* because it corresponds to AGM postulate  $\otimes 8$ ): if  $\phi$ ,  $\psi$  and  $\chi$  are Boolean formulas,

$$\diamond(I\phi \wedge \neg B\neg(\phi \wedge \psi) \wedge B(\psi \rightarrow \chi)) \rightarrow \bigcirc(I(\phi \wedge \psi) \rightarrow B\chi). \quad (K8)$$

The sixth axiom says that if the individual receives consistent information then his beliefs are consistent, in the sense that he does not simultaneously believe a formula and its negation ('WC' stands for 'Weak Consistency'): if  $\phi$  is a Boolean formula,

$$(I\phi \wedge \neg A\neg\phi) \rightarrow (B\psi \rightarrow \neg B\neg\psi). \quad (WC)$$

A logic  $\mathbb{L}$  is *characterized by* (or *characterizes*) a class  $\mathbb{F}$  of frames if (1) every axiom (hence, every theorem) of  $\mathbb{L}$  is valid in every frame in  $\mathbb{F}$ , and (2) for every frame not in  $\mathbb{F}$  there is an axiom in  $\mathbb{L}$  that is not valid in that frame.

The following result is proved in [5].

**Proposition 3** *Logic  $\mathbb{L}_{AGM}$  is characterized by the class of AGM-frames.*

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