Volume from Outlines on Terrains

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Abstract

Outlines (closed loops) delineate areas of interest on terrains, such as regions with a heightened risk of landslides. For various analysis tasks it is necessary to define and compute a volume of earth (soil) based on such an outline, capturing, for example, the possible volume of a landslide in a high-risk region. In this paper we discuss several options to define meaningful 2D surfaces induced by a 1D outline, which allow us to compute such volumes. We experimentally compare the proposed surface options for two applications: similarity of paths on terrains and landslide susceptibility analysis.

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1 Introduction

Digital elevation data, representing a terrain by DEMs, triangulations, or contour maps, are one of the main types of spatial data. Mathematically speaking, a terrain is a function that maps a 2D point to a value that represents elevation, and a terrain model is a finite representation of such a function. Terrains are analyzed in many different ways, including slope and aspect analysis, viewshed analysis, and natural disaster assessment. Terrain analysis is of prime importance in physical geography, urban planning, and disaster management.

Volume is an important measure used in terrain analysis, for example, to describe the amount of water in a lake, of ice in a glacier, or of contaminated soil in the ground [4, 6, 10, 16]. Geometrically, such a volume lies between two 2D surfaces. In many scenarios, one of the surfaces (frequently the upper one) is clearly determined, but the other surface needs to be (re-)constructed in a meaningful way. Such a reconstruction can, for example, be based on depth measurements (echo sounding). In other situations, however, a suitable surface needs



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Figure 1 Terrain with path π (left); a base surface (center); earth (\triangle) and and air (\triangle) (right).

to be constructed from nothing more than an outline: a closed path (loop) on the surface of the terrain. This outline need not be a contour: its elevation may vary along the outline.

For example, suppose that linear features (ridges, rivulets) or paths (hikers' tracks) on a terrain are to be clustered for further analysis. One could project the paths onto the xy-plane and use an existing polyline similarity measure, like the Hausdorff distance, Fréchet distance, or area in between. However, such measures do not take into account that there is relief between the paths: two straight, parallel paths on a flat terrain may be considered more similar than two paths with the same distance in the projection, but with a ridge in between. Hence the volume of the terrain between the paths might be a better indicator of similarity.

Consider a second example from landslide susceptibility analysis. Here several factors play a role, which can be described by geological, hydrological, land cover, and morphological variables [17]. Thanks to LiDAR and SRTM, many morphological features can be assessed automatically, without a human visiting the area in question. For example, the volume of earth on a slope, rising above a certain region (mapping unit [3]), can be estimated via methods as discussed in this paper and subsequently analyzed for risk of detachment.

Our input is a terrain and an outline, such as the concatenation of two paths or the outline of a region. We wish to compute a meaningful 2D *base surface* induced by the outline so that we can determine a volume of earth above it. In other words, we start with a (1D) outline, and define a (2D) base surface to compute a (3D) volume (see Fig. 1). A given outline may also enclose a significant dent, so there could be air below the base surface and above the terrain surface. In some applications the volume of this air may be relevant. Hence, we will consider not only the earth above a base surface but also the air below it.

In prior work, we proposed several options to define meaningful base surfaces from an outline [20]. In this paper we extend our earlier work in two ways. First, we show how to actually compute the base surfaces and the corresponding volumes from an outline, that is, we describe algorithms that realize our earlier definitions. Second, we experimentally compare the proposed surface options for the two aforementioned application examples: similarity of paths on terrains and landslide susceptibility analysis.

Results and organization. Our input consists of a two-dimensional surface T embedded in three-dimensional space, representing a terrain, and an outline π , or two paths π_1 and π_2 that share their endpoints but are otherwise disjoint. In Section 2 we review the options for base surfaces which we proposed in prior work [20]. We first describe three possibilities for the most basic of surfaces, namely planes, and argue how to place them optimally. Second, we consider three options for more general base surfaces which do contain π , or π_1 and π_2 (which usually cannot be the case with planes). In Section 3 we describe how to compute the base surfaces as well as the volumes of earth and air between the input surface T and the base surfaces. Finally, in Section 4 we investigate the different plane and surface options experimentally, using several real-world datasets as well as informative synthetic data. We observe the results for the different choices and discuss their characteristics, with respect to both similarity measures (paths) and terrain morphology (landslides). **Related work.** Similarity measures for linear features (shapes) have been considered in a variety of contexts. Popular geometric measures include the Hausdorff distance, the Fréchet distance, the area-of-symmetric difference, the Wasserstein distance (Earth Mover's Distance), and the turn function distance. Also in GIS, shape similarity measures have been used, for example, in cartographic generalization. Here a city outline or river shape will be displayed with less detail on a smaller-scale map, while still capturing the overall shape well. One needs to measure the similarity between the original shape and the generalized shape to determine how well the generalization still resembles the original [13, 19]. Furthermore, similarity measures are used for trajectory similarity [21], landscape ecology [2], (urban) property analysis [7], spatio-temporal processes [12], and retrieval in spatial databases [15]. There has also been some recent interest in semantic similarity [8, 18], focusing more on cognitive than on geometric aspects of similarity.

There is a huge body of research treating landslide susceptibility analysis, surveyed in [17] (and much earlier in [22]). Morphological factors are an important indicator, but generally, only simple morphological variables are considered, due to their availability in GIS [17]. One notable exception is the work by Völker [23] who uses a 6-step approach utilizing tension surfaces fitted over nearby profiles to reconstruct the ocean floor prior to a submarine landslide. His method strongly relies on the assumption that the terrain before the landslide at the position of the landslide is similar to the surrounding area. As such Völker's methodology does not apply to the predictive analysis of morphological features for landslide risk assessment. To analyze unstable landforms like escarpments or features in poised position [14], we need more advanced terrain shape analysis tools, and we hope that volumes from outlines can contribute to these developments.

2 Preliminaries

We review the options for base surfaces induced by an outline which we proposed in earlier work [20]. Specifically, we describe three linear surfaces (planes) and three general surfaces.

The horizontal averaging plane (**HAP**) is the horizontal plane whose elevation is the average of the elevations of the outline. It minimizes the sum-of-squared vertical distances from the outline to the plane, over all horizontal planes. The regression plane (**RP**) is the (non-horizontal) plane that minimizes the sum-of-squared vertical distances from the outline to the plane. As a third plane choice, we consider the horizontal plane z = c that minimizes the sum of absolute differences: $\int_{p \in \pi} |z_p - c| dp$. We refer to this plane as the minimizing horizontal plane (**MHP**); it is located at the median height of π . These planes are – within the outline – typically partly above and partly below the surface. So we can measure the volume of earth above and of air below the plane.

General base surfaces can be of various types. For example, we could use a constrained Delaunay triangulation on the vertically projected outline and lift it to 3D, similar to the construction of a triangulated irregular terrain from contour lines. Alternatively, we could use a minimum tension surface or a minimum curvature surface. However, neither of these choices is particularly well motivated by our intended applications; we feel that a minimum area surface (**MAS**) is a more natural choice. For example, in the context of landslide risk assessment, a minimum area surface as a base surface represents the case where the area, and thus the friction between the moving and not moving earth, is minimized.

The four base surfaces described so far can be used both for a single outline and for two paths. We next describe a choice of base surface that applies only to two paths. This surface readily lends itself to measure the similarity between the two paths in a manner which takes the volume of the relief between them into account. Specifically, the so-called



Figure 2 (a) A monotone isotopy illustrated by intermediate paths of the morph between π'_1 and π'_2 . (b) Two transverse curves of this isotopy. The transverse curve at parameter 0 is simply s' and the transverse curve at parameter 1 is t', the projections of s and t (taken from [20]).

water flow surface (**WFS**) models the ease with which one path can morph into the other. It is motivated by morphological processes shaping channels in braided rivers [5, 11]. The WFS models the minimum amount of earth that must be removed for a path to change its course from π_1 to π_2 . The WFS cannot be symmetric, for example, if π_1 lies further uphill than π_2 , and is hence defined by an ordered pair (π_1, π_2). Note that the volume-based distance function implied by the WFS is not a metric.

The transformation from π_1 into π_2 is essentially a morph. Such a morph should be smooth and should not "double-back" on itself, that is, it should be a monotone isotopy (see Fig. 2(a)) in the 2D plane, morphing between the 2D projections π'_1 and π'_2 of π_1 and π_2 . To construct the surface we need to assign suitable elevations to the points on the paths in the morph between π'_1 and π'_2 . We can view the curves π'_1 , π'_2 , and the ones in between in the morph, as parametrized curves where at parameter 0 we are at the common start s', and at parameter 1 we are at the common end t' (see Fig. 2(b)). Points that occur at the same parameter form transverse curves. We now choose the WFS to be the surface on or below the terrain T such that a monotone isotopy exists for which all transverse curves are monotonically decreasing, and among these, the one that has the smallest volume between Tand the WFS. A more extensive description and motivation can be found in [20].

We illustrate the difference between the WFS and the RP by a simple example (see Fig. 3). Assume that paths π_1 and π_2 lie in a plane, which is the RP for these paths. The RP gives a volume above it and below T that is the whole bump above the plane (see Fig. 3(b)). The WFS lies higher and defines a smaller volume, namely the volume of the bump above the saddle point (see Fig. 3(c)). Hence, a sloped terrain with some roughness between π_1 and π_2 , but no local maxima, gives a volume of 0 between T and the WFS. The RP would give some volume based on the summed volumes of the roughness spots above the regression plane. The WFS distance for the example in Fig. 3(d) is the volume between T and a horizontal plane through s and t on one side of the valley.



Figure 3 (a) A sloped hill with transverse paths. (b) Removing parts by the RP. (c) Removing parts by the WFS (above the saddle point) (d) A valley with longitudinal paths (from [20]).

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As noted, the WFS is asymmetric: exchanging the roles of π_1 and π_2 leads to a different base surface and a different measured volume. Our last base surface is a symmetric version of the WFS which is again defined for an outline. To define the symmetric flow surface (SFS) we consider paths on or below T from any point p on or below T inside the outline π to the outline π . The SFS is the 2D boundary of the union of all points p for which there is a path on or below T which monotonically increases towards the 1D boundary π . Since these paths can choose any point on π as their destinations, instead of only a specific part (π_1 or π_2), the SFS always bounds less volume than the WFS. The SFS is the same as the WFS in Fig. 3(c), but bounds a volume of 0 in Fig. 3(d), unlike any of the other base surfaces we described.

3 Computing base surfaces and volumes

In this section we describe how to compute the base surfaces as well as the volumes of earth and air between the input surface T and the base surfaces. Recall that our input consists of a two-dimensional surface T, representing a terrain, and an outline π , or two paths π_1 and π_2 that share their endpoints but are otherwise disjoint. For all base surfaces but WFS, we first concatenate π_1 and π_2 into an outline π . We assume that we can model T as a height function $h: \mathbb{R}^2 \to \mathbb{R}$ that maps a geographic position (x, y) to its corresponding height value. We further assume that T is represented by a TIN, that is, the terrain model consists of vertices and edges forming triangles, where every vertex v has a position and a height value associated with it, and heights are interpolated linearly over edges and triangles. The outline π by extension is a simple polygonal boundary that lies on the terrain surface. Our methods apply to other elevation models than TINs, such as DEMs and spline surfaces, but some adaptations are needed.

Computing base surfaces. Computing the planes HAP, RP, and MHP from the input is straightforward. We interpret the vertices of the outline π as 3D points, and compute these planes with standard methods.

The base surfaces MAS, WFS, and SFS are functions $\mathcal{B} \colon \mathbb{R}^2 \to \mathbb{R}$. For ease of computation, we assume that each base surface \mathcal{B} is also represented by a TIN, and the projection onto the (x, y)-plane coincides with that of T. In other words, \mathcal{B} has the same vertices as T, but the heights of these vertices may differ from those in T. The minimal area surface (MAS) cannot be represented exactly by a TIN because the surface is curved, but we believe that using the same TIN as T provides an approximation that is comparable to how well T approximates the real-world terrain. Computing an approximation to the MAS is computationally intensive. For a given outline π , we start with a surface based on a weighted average of the vertex elevations defining the outline π (or π_1 and π_2); the weight for a vertex is proportional to $1/d^2$ where d is the distance to that vertex. We further optimize using gradient descent, until a (local) minimum is reached. This is our approximation of the MAS.

For WFS or SFS, the base surface often overlaps with the terrain T, except where locally maximal parts are cut off by a horizontal plane at the elevation of a saddle. The boundary of the base surface uses vertices on the contour line of the saddle. We can therefore represent these base surfaces implicitly (but still exactly), using annotations in the original terrain T. To know at which saddles inside π (or $\pi_1 \cup \pi_2$) we need to trace the contour lines, we use a *highest path tree* which represents all highest paths towards π or π_1 . A highest path tree can be computed efficiently [1, 11].

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Computing volumes. The volume V of earth above the base surface \mathcal{B} for an outline π , for all six base surfaces, is defined as follows (see Fig. 1):

$$V(\pi) = \int_D \max\left(0, h(x, y) - \mathcal{B}(x, y)\right) dx \, dy.$$
(1)

Here D is the domain of the function that corresponds to the part of the terrain inside π . Alternatively, we can measure the volume V' of air below the base surface:

$$V'(\pi) = \int_D \max\left(0, \mathcal{B}(x, y) - h(x, y)\right) dx \, dy.$$
⁽²⁾

We now describe how we can compute these integrals in practice. For that we use the fact that the terrain T is represented as a TIN.

Assuming that T and \mathcal{B} share the same TIN (with different heights), we can compute the integral in Equation 1 (or similarly, in Equation 2) as follows. We split up the domain D into triangles corresponding to the projections of the triangles in T onto the (x, y)-plane. For each such triangular domain, the function $h(x, y) - \mathcal{B}(x, y)$ is linear by the definition of a TIN, and this function is completely determined by the values of $h(x, y) - \mathcal{B}(x, y)$ at the vertices of the triangle. Let $h_1 \leq h_2 \leq h_3$ be the corresponding values at the vertices of the triangle. We will simply refer to these values as height.

To compute the integral for a single triangular domain, we need two basic building blocks, namely the volume of a prism and the volume of a pyramid:

$$V_{\text{prism}} = Ah;$$
 $V_{\text{pyramid}} = Ah/3,$

where A is the area of the base of the prism/pyramid and h is the height of the prism/pyramid. We now consider several cases. First of all, we assume that $h_3 > 0$ (otherwise the integral is 0) and that $h_1 < 0$ (otherwise we can simply add a prism with height h_1 to the volume). The following cases remain:

Case 1 $(h_1 < h_2 < h_3)$: We cut up the triangle into two triangles by cutting it at height h_2 . Note that for the two resulting triangles, two vertices share the same height; we can use Case 2, 3 or 4 to compute their corresponding volumes separately.

Case 2 ($0 = h_1 = h_2 < h_3$): The remaining volume is a pyramid.

Case 3 $(h_1 = h_2 < 0 < h_3)$: We cut the triangle at height 0 and end up in Case 2.

Case 4 $(h_1 < 0 < h_2 = h_3)$: We add a pyramid on top of the triangle to form a prism.

We then compute the volume of the prism with height h_3 and subtract the volume of the pyramid, except for the part of the pyramid that is below height 0 (see Fig. 4). The volume of the tip of this pyramid can be computed as in Case 3.

To compute the integral in Equation 1 for the WFS or the SFS, we take a similar approach, using the implicit representation of \mathcal{B} in T. The computations can be simplified because WFS and SFS are never above T.



Figure 4 Computing the volume below the triangle and above the zero plane (blue) in Case 4.

4 Experiments

We implemented our methods and investigated the different plane and surface options experimentally, using several real-world datasets as well as informative synthetic data. In this section we observe the results for the different choices of base surfaces and discuss their characteristics, with respect to both similarity measures (Section 4.1) and terrain morphology (Section 4.2).

4.1 Volume-based path similarity on terrains

We used two extracts from the world-wide SRTM elevation dataset: one of the area around Mont Blanc on the border of France and Italy, and one of Grampians National Park, Australia. Both extracts were taken from the void-filled SRTM data sets produced by CIAT [9]. In both datasets we manually drew three input paths π_1, π_2 , and π_3 that are of interest. In the Mont Blanc dataset we drew two paths π_1 and π_3 through valleys, and one path π_2 that goes higher along the mountain (but not over the peak, see Fig. 5(a)). In the Grampians dataset we drew two paths π_1 and π_3 along mountain ridges, and one path π_2 in the valley between (see Fig. 5(b)). We also constructed a small set of synthetic datasets that clearly illustrate the features of our base surfaces and the associated volumes.

To measure the volume-based similarity between two paths, we define the distance between them with respect to a particular base surface as the volume of earth and possibly air between this base surface and the terrain. The distances (the computed volumes) are shown in Tables 1, 2, and 3; we express all values in 10^9 m^3 .

Mont Blanc dataset. In Table 1 we show the results for the Mont Blanc dataset. The first column shows the distance between π_1 and π_3 , the second column the distance between π_1 and π_2 , and the third column the distance between π_2 and π_3 . Every row in the table shows the result for a different base surface. For each combination of base surface and pair of paths we show: (1) a grayscale figure showing the height of the base surface, (2) a color figure showing the amount of earth (brown) or air (blue) measured with respect to the base surface, and (3) the corresponding volumes measured for earth (\bigtriangleup) and air (\bigcirc), if applicable.



Figure 5 Datasets and paths: (a) Mont Blanc. (b) Grampians.

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Table 1 Mt. Blanc, distances between (π_1, π_3) , (π_1, π_2) , and (π_2, π_3) , earth (\bigtriangleup) and air (\circlearrowright).



Since both paths π_1 and π_3 are in valleys, we expect the base surfaces to separate a lot of earth and not much air. All of the HAP, RP, MHP, and MAS distances appear to have this property, although the horizontal planes separate more air than intuitively desirable. The WFS separates much less of the earth (especially in one direction), and the same holds naturally for the SFS. However, these distances still appear to capture the most important parts of the mountain (at least the WFS (\rightarrow) does). These distances are expected to measure less, as they are more conservative and more closely follow the input terrain.

If we consider the distances between π_1 and π_2 , then we expect to measure less earth, and relatively more air. This indeed appears to be the case for all distances that also measure air. Also for WFS and SFS the distances clearly appear to measure less earth. There is an interesting difference to notice here between the RP and MAS distances: because the RP is restricted to be a plane, it is well below the higher parts of π_2 , thus the RP distance measures much more earth than the MAS distance.

Finally, since the peak is contained between π_2 and π_3 , we expect our distances to measure more earth (relative to projected area) than between π_1 and π_2 . Again, the HAP, RP, MHP, and MAS distances clearly capture this. The same holds for the WFS (\leftarrow) and SFS distances. As WFS (\rightarrow) essentially just separates earth that needs to be removed to travel monotonically from π_1 to π_2 (capturing the part of the mountain left from π_2) and from π_2 to π_3 (capturing only the peak to the right of π_2), it is not surprising that the corresponding distances are similar.

It is further interesting that the WFS (\rightarrow) distance between π_1 and π_2 (capturing the part of the mountain left from π_2) and the WFS (\leftarrow) distance between π_2 and π_3 (capturing the part of the mountain right from π_2) are roughly the same. We would expect the latter to be higher, since that part contains the peak of the mountain. This discrepancy can be explained by the fact that the path π_3 is higher than the path π_1 . Finally, note that for most distances, the amount of earth measured between π_1 and π_3 is higher than the the sum of the amounts of earth measured between π_1 and π_2 and between π_2 and π_3 . This is desired behavior, as the paths π_1 and π_3 are both in different valleys and thus very different. It also directly implies that these distances do not satisfy the triangle inequality.

Grampians dataset. In Table 2 we show the results for the Grampians dataset, in the same structure as for the Mont Blanc dataset. Since the paths π_1 and π_3 enclose a large valley, we expect to measure a lot of air in this dataset. This indeed appears to be the case for the distances based on HAP, RP, MHP, MAS. Especially the MAS is good at not separating any unnecessary earth near the paths π_1 and π_3 . However, none of these distances capture the small hills inside the valley. These hills are measured by the WFS distances, but they also measure some extra volume near the paths π_1 and π_3 . The SFS distance picks up the volume of the hills inside the valley only.

Since the path π_2 goes through the valley, we expect the distances between π_1 and π_2 to capture much less air than between π_1 and π_3 . This is indeed the case for the HAP, RP, MHP, and MAS distances. However, the MHP distance appears to capture very little air and more earth than expected. This is due to the fact that the MHP uses the median height of the paths. Since more than half of $\pi_1 \cup \pi_2$ lies in the valley, the base surface MHP also lies in the valley, thus separating a large amount of earth. Further, as before, the HAP, RP, MHP, and MAS distances do not measure the hills inside the valley. This volume is measured by the WFS (\rightarrow) distance. The WFS (\leftarrow) distance also measures it, but it measures a significant amount of extra volume near π_1 . Finally, the SFS distance again nicely captures the volume of the small hills in the valley.

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Area $(10^8 \mathrm{m}^2)$		5 3		5		97
Projected Actual	6.627 6.742		$3.508 \\ 3.568$	$\sum i$	$3.119 \\ 3.174$	S)
НАР	2	6.78 167.63	Ş	7.15 47.24	Ĵ	9.75 40.16
RP		€.05 168.24	Ş	6.65 38.56		4.56 45.96
MHP	\sum	 ▲ 4.97 191.45 	Ş	26.33 4.43		21.57 7.61
MAS	$\mathbf{\mathcal{I}}$	 ▲ ○ 0.66 173.19 	Ş	 ▲ ▲ ▲ ● ●		▲ Č 1.10 33.49
$\underset{\rightarrow}{\mathrm{WFS}}$		23.55	Ş	↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ ↓ <p< td=""><td>Ž</td><td>21.40</td></p<>	Ž	21.40
WFS ←		23.84	Ş	21.59		1.39
SFS						1.37

Table 2 Grampians, distances between (π_1, π_3) , (π_1, π_2) , and (π_2, π_3) , earth (\bigtriangleup) and air (\circlearrowright).

For the distances between π_2 and π_3 we expect similar results as between π_1 and π_2 , and indeed the HAP, RP, MHP, and MAS distances appear to give similar values. We also clearly see the asymmetry of the WFS distance, where the WFS (\rightarrow) and WFS (\leftarrow) distances have switched roles compared to the values between π_1 and π_2 . The SFS distance again nicely captures the volume of the small hills in the valley.

Like in the Mont Blanc dataset, we see that the amount of air measured between π_1 and π_3 is more than the sum of the amounts of air measured between π_1 and π_2 and between π_1 and π_2 and between π_1 and π_2 and between π_2 and π_3 . Again, this is desired behavior. This dataset also illustrates the usefulness of the WFS and SFS distances. In particular, from the SFS distance we can see that the volume of the hills in the valley to the left of π_2 is more than to the right of π_2 , which is almost impossible to see from the HAP, RP, MHP, and MAS distances.

Synthetic datasets. In Table 3 we show the results for the synthetic datasets. The synthetic datasets are (1) a hill, (2) a slope, (3) a set of small hills on a slope, and (4) a valley where the two paths start in the valley, go up different sides of the valley, and then end up down in the valley again.

For the first dataset with the hill, all distances clearly measure the volume of the hill. The distances measure small amounts of air, but these amounts are clearly insignificant.

For the slope dataset there are clear differences. Depending on what behavior is desired, different distances should be chosen. If the paths should be considered to be different, then one should simply measure the area/projected area between the paths, or use the HAP or MHP distances. Because the HAP and MHP distances use horizontal planes as base surface, they cannot capture the slope and must measure significant volumes of both earth and air. The WFS distance is useful for a situation where going up implies more distance than going down. However, given our motivation of contextual volume-based distances, these paths should have distance zero, and this is indeed measured by the RP, MAS,¹ and SFS distances.

The third synthetic dataset also contains a slope, so the HAP and MHP distances give similar results as for the second synthetic dataset. Also, the WFS distance again demonstrates its inherent asymmetry. The remaining distances (based on the RP, MAS, and SFS) all capture the small hills on the slope. SFS is generally more conservative in measuring the earth volume.

The fourth synthetic dataset shows some interesting differences between the distances. The HAP, RP, MHP, and MAS distances all capture the air in the valley between the high parts of the paths. The HAP and RP distances also capture some earth volume near the high parts of the paths. The WFS distances capture how much "effort" it costs to go from one path to the other, where only moving up requires effort. The effort here is measured as the amount of earth that needs to be removed to eliminate this effort. Finally, the SFS distance measures the amount of effort needed for the two paths to come together.

4.2 Volumes from outlines for landslide risk analysis

We next analyze how our base surfaces and the corresponding volumes may contribute to landslide risk analysis. To that end, we consider a part of real-world terrain (see Fig. 6) that has been identified as high risk for landslides according to the deep-seated landslide susceptibility map for California, USA, created by the California Department of Conservation [24].

¹ The MAS distances are not exactly zero. This is a consequence of approximating a minimum area surface; the real minimum area surface should follow the slope and result in a distance of zero.

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HAP ð \bigcirc ð \bigtriangleup ð Δ \bigtriangleup 15.63 15.63 29.91 0.08 11.08 9.56 46.855.59 \mathbf{RP} \bigcirc ð Ô Δ Ô Δ Δ Δ 29.91 0.08 3.80 2.29 0 0 5.59 46.85 MHP 3 **(A)** 15.63 **(D)** 15.63 ▲ [●] 12.67 8.28 \bigtriangleup Ô \bigtriangleup $30.10 \ 0.05$ 0 82.52MAS ð \bigcirc \bigtriangleup Ô \bigtriangleup ð \bigtriangleup Δ 29.67 0.00 0.393.561.750.06 37.050.36 WFS \downarrow Δ \bigtriangleup Δ Δ 28.54 15.6329.661.29WFS \wedge \bigtriangleup \triangle \bigtriangleup ↑ 0 28.5411.8229.66SFS \sum_{0} \sim \bigtriangleup \bigtriangleup 28.540 1.05

Table 3 Synthetic data, distances between π_1 and π_2 , earth (\bigtriangleup) and air (\circlearrowright).

Specifically, we consider a part of terrain along the California coastline close to Highway 1 (near the mouth of Russian river), which has been assigned the highest risk class X among eight different classes. The classification is based on the slope of the terrain and the soil type, but does not take volume or other aspects of the shape of the terrain into account.



Figure 6 Regions used in our landslide analysis: (a) overview map; (b) part of the deep-seated landslide susceptibility map for California (image from CGS Map Sheet 58 [24]); (c) the four regions.

For a more fine-grained analysis of landslide risk, it is relevant to measure the amount of soil (that is, volume) that may slide and where this soil may be deposited. We believe that (some of) our volumes from base surfaces can contribute to such analysis. To show that, we consider four equal-size ellipse-shaped regions of the terrain that all have the same risk class X in the deep-seated landslide susceptibility map, but contain parts of the terrain with different morphology (see Fig. 6). Specifically, Region 1 contains a convex ridge with relatively high plan curvature, Region 2 contains a valley with negative plan curvature, and Regions 3 and 4 are flatter, but Region 3 borders the valley of Region 2, whereas Region 4 does not. We computed three volumes on the region outlines, using the RP, MAS, and SFS. Note that the volumes from horizontal planes are not meaningful (the terrain is sloped for all regions), and that the WFS requires two paths instead of a single outline, so we did not include them in this analysis. The results are shown in Table 4.

We can immediately see that the SFS volume is small. This is to be expected: the SFS is designed for similarity in the context of water flow, and therefore only separates volume around local maxima (as they impede water flow). Since all regions contain sloped terrain without significant local maxima, the SFS always separates near-zero volumes. The volumes based on the RP and MAS are similar. If we interpret the outline of a region as the boundary of a potential landslide, then indeed we would expect to measure a high volume of earth for the ridge (Region 1). On the other hand, we measure very little earth for the valley (Region 2), as expected. However, the volume of air is very high. This indicates that a large volume of soil can be deposited in this region, but the region does not contribute much soil to any landslide. For Regions 3 and 4, which are flatter than Region 1, the RP and MAS indeed separate less earth volume than for Region 1. The earth volume for Region 3 is somewhat higher than for Region 4, likely because Region 3 borders the valley of Region 2, and hence one part of the outline of Region 3 drops down steeper there. Also note that, purely based on morphology, the outline of Region 4 is not likely the border of a potential landslide, as the profile of the terrain is not changing much across the outline. However, geological, hydrological, and land use factors may indicate otherwise.

Considering the (minor) difference between the RP and MAS volumes, we see that the volumes for the MAS are somewhat "cleaner". For example, the MAS separates no air volume for the ridge (Region 1), as one would expect, whereas the RP separates a small volume of air. The reason for this is that the MAS can completely follow the terrain at the outline, and the RP, which is a flat plane, cannot. This results in small amounts of air and earth volume close to the outline unnecessarily being added to the total earth and air volumes separated by the RP. These amounts become larger as the outline becomes more irregular (less flat). However, we can see that these added amounts are relatively small and do not change the volumes significantly. Given the fact that the RP can be computed much faster than the MAS, the RP volume might be preferable if there is no need for high precision.

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5 Conclusion

We studied the problem of computing a volume of terrain based on a given outline. After reviewing six possible base surfaces, we showed how to compute them and how to determine the volume between a base surface and the terrain. We highlighted two use cases, namely volume-based similarity and landslide risk assessment, and performed experiments related to both of them. The experiments revealed properties of the different volume computation models so that practitioners can easily select the most suitable one for their application. Summarizing, we have demonstrated that computing terrain volume based on an outline is a useful type of terrain analysis. We presented various options to do so, including the required algorithms, and reported on extensive comparative experiments.

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