# On p-Group Isomorphism: Search-To-Decision, Counting-To-Decision, and Nilpotency Class Reductions via Tensors

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### — Abstract -

In this paper we study some classical complexity-theoretic questions regarding Group Isomorphism (GPI). We focus on p-groups (groups of prime power order) with odd p, which are believed to be a bottleneck case for GPI, and work in the model of matrix groups over finite fields. Our main results are as follows.

- Although search-to-decision and counting-to-decision reductions have been known for over four decades for Graph Isomorphism (GI), they had remained open for GpI, explicitly asked by Arvind & Torán (Bull. EATCS, 2005). Extending methods from Tensor Isomorphism (Grochow & Qiao, ITCS 2021), we show moderately exponential-time such reductions within p-groups of class 2 and exponent p.
- Despite the widely held belief that p-groups of class 2 and exponent p are the hardest cases of GPI, there was no reduction to these groups from any larger class of groups. Again using methods from Tensor Isomorphism (ibid.), we show the first such reduction, namely from isomorphism testing of p-groups of "small" class and exponent p to those of class two and exponent p.

For the first results, our main innovation is to develop linear-algebraic analogues of classical graph coloring gadgets, a key technique in studying the structural complexity of GI. Unlike the graph coloring gadgets, which support restricting to various subgroups of the symmetric group, the problems we study require restricting to various subgroups of the general linear group, which entails significantly different and more complicated gadgets. The analysis of one of our gadgets relies on a classical result from group theory regarding random generation of classical groups (Kantor & Lubotzky, Geom. Dedicata, 1990). For the nilpotency class reduction, we combine a runtime analysis of the Lazard Correspondence with Tensor Isomorphism-completeness results (Grochow & Qiao, ibid.).

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# 1 Introduction

In this paper, we study the algorithmic problem of deciding whether two finite groups are isomorphic, known as the Group Isomorphism problem (GPI). Different variants of the GPI problem arise, with correspondingly different complexities, when the groups are given in different ways, e.g. by a generating set of permutations, a generating set of matrices, a full multiplication table, or a black box oracle. In its various incarnations, GPI is a fundamental problem in computational algebra and computational complexity. The generator-enumerator algorithm solves isomorphism in  $|G|^{\log |G|+O(1)}$ -time  $[26,58]^1$ , and even the current state of the art for general groups – in any of the aforementioned input models – is still  $|G|^{\Theta(\log |G|)}$  [9,10,17,25,49,66,70]. Nonetheless, over the past 15 years there has been significant progress on efficient isomorphism tests in various classes of groups: here is an incomplete list of references [4–6,12,13,15,30,31,47,48,63,65,66].

When given by multiplication tables, GPI reduces to GI [44], and in the other, more realistic (for computer algebra systems) and more succinct models, we get a reduction in the other direction [32,34,52,57]. As a result, the techniques and complexity of GPI are closely bound up with GI. However, since the techniques used in GPI are often independent of the input model, we are free to focus on the abstract structure of the groups in question, and the choice of input model is then essentially just a choice of how we measure and report the running time. For example, if GI is in P, then GPI can be solved in poly(|G|) time; if GPI for groups given by a generating set of m matrices of size  $n \times n$  over  $\mathbb{F}_p$  can be solved in  $p^{O(n+m)}$  time, then GI is in P.

For GI, a wide variety of algorithmic and structural complexity results are known (see, e.g., [3,33,44]). In particular, there are polynomial-time search-to-decision and counting-to-decision reductions [54], so search, counting, and decision are all equivalent for GI. (This was an early piece of evidence that GI was not likely to be NP-complete, since for NP-complete problems, their counting variants are typically #P-complete, hence at least as hard as all of PH [68].) For GPI, no such reductions are known, even in restricted classes of groups; Arvind and Torán [2, Problem 16] explicitly asked for such reductions. Additionally, for GI, there are many classes of graphs for which the isomorphism problem remains GI-complete – such as graphs of diameter 2 and radius 1, directed acyclic graphs, regular graphs, line graphs, polytopal graphs [74] – but no such analogous results are known for GPI.

In this paper, we make progress on all three of these questions, within the class of groups widely believed to be hardest cases of GPI, namely the p-groups of nilpotency class 2 and exponent p; these are groups of order a power of the prime p, such that G modulo its center is abelian, and such that  $g^p = 1$  for all  $g \in G$ . (Throughout most of this paper we assume p is an odd prime.) For each of our three main results, we now give further motivation before stating it formally.

## 1.1 Main results

**Search-to-decision reductions.** The "decision versus search" question is a classical one in complexity theory, having attracted the attention of researchers since the introduction of NP. Efficient search-to-decision reductions for SAT and GI are now standard. Valiant first showed the existence of an NP *relation* for which search does not reduce to decision in polynomial time [69]. A celebrated result of Bellare and Goldwasser shows that, assuming

<sup>&</sup>lt;sup>1</sup> Miller [58] attributes this algorithm to Tarjan.

 $\mathsf{DTIME}(2^{2^{O(n)}}) \neq \mathsf{NTIME}(2^{2^{O(n)}})$ , there exists an NP language for which search does not reduce to decision in polynomial time [8]. However, as usual for such statements based on complexity-theoretic assumptions, the problems constructed by such a proof are considered somewhat unnatural, and natural problems for which search seems not reducible to decision are rare. The most famous candidate may be FACTORING (with the decision version being PRIMALITY)<sup>2</sup> and NASH EQUILIBRIUM [18] (the decision version is trivial).

- ▶ **Theorem A.** Let p be an odd prime, and let GPIso2Exp(p) denote the isomorphism problem for p-groups of class 2 and exponent p in the model of matrix groups over  $\mathbb{F}_p$ . For groups of order  $p^n$ , there is a search-to-decision reduction for GPIso2Exp(p) running in time  $p^{O(n)} = \text{poly}(|G|)$ .
- ▶ Remark 1. This runtime is really only square-root (moderately) exponential: The running time of the best-known algorithm for GPIso2Exp(p) is essentially  $p^{\Theta(n^2)}$ , and the best-known witness size, if we think in terms of nondeterministic algorithms, is  $\Theta(n^2)$  [50]. So our search-to-decision reduction in time  $p^{O(n)}$  is akin to having such a reduction running in time  $2^{\Theta(\sqrt{N})}$  for a problem that is solvable in  $2^{\Theta(N)}$  time (resp., has witness size  $\Theta(N)$ ).

We note that that  $\operatorname{GPISo2Exp}(p)$  seems different from all the problems listed above in terms of search-to-decision reductions, in the following ways. First, unlike SAT and GI, a polynomial-time search-to-decision reduction has been open for decades, whereas those for SAT and GI are straightforward. Note that a polynomial-time reduction would need to run in time  $\operatorname{poly}(n,\log p)$ , and we find it unlikely that the time complexity of our reduction can be brought down this far with current techniques. Second, unlike Factoring and Nash Equilibrium, whose decision versions are computationally easy, its decision version also seems to require deeper techniques. Indeed, it is a long-standing open problem to test isomorphism of p-groups of class 2 and exponent p in time polynomial in the group order, which already can be exponential in the input size if the input is given by a generating set of matrices.

**Counting-to-decision reductions.** Counting-to-decision reductions are also of great interest in complexity theory. An efficient counting-to-decision reduction for GI is also a well-known result [54]. In contrast, for SAT, a polynomial-time counting-to-decision reduction would imply that PH collapses [68].

▶ **Theorem B.** For p an odd prime,  $p \ge n^{\Omega(1)}$ , there is a randomized counting-to-decision reduction for GPIso2Exp(p) for groups of order  $p^n$ , running in time  $p^{O(n)} = \text{poly}(|G|)$ .

As with Theorem A, the runtime here is only moderately exponential, see Remark 1.

Also as in the case of search-to-decision, GPIso2Exp(p) seems different from the problems listed above in terms of reducing counting to decision. First, a polynomial-time counting-to-decision reduction for GPIso2Exp(p) remains open after 40 years, whereas the reduction for GI was found within the first decade of the rise of computational complexity theory. Second, unlike SAT, for which there have been no non-trivial algorithms to reduce exact counting to decision, we show a moderately exponential-time algorithm for GPIso2Exp(p). As Ryan Williams pointed out to us, asking for the existence of subexponential-time counting-to-decision reduction for SAT seems to lead to asking for the relation between the decision [35] and the counting [22] versions of the Exponential Time Hypothesis.

Here we are thinking of Factoring as the search problem corresponding to the relation  $\{(n,d):d \text{ is a proper divisor of } n\} \subseteq \mathbb{N} \times \mathbb{N}$ , so that the existence problem is then precisely Primality.

Nilpotency class reduction. Unlike the case of Graph Isomorphism, for GPI essentially the only class of groups for which isomorphism is known to be as hard as the general case are those which are directly indecomposable, that is, they cannot be written as a direct product  $A \times B$  with both A, B nontrivial [42, 72, 73]. However, this result is the group analogue of saying that isomorphism of connected graphs is Gl-complete, so although useful (and much less trivial than in the case of graphs vs connected graphs), from a structural perspective it is more like a zero-th step.

For a variety of reasons (e.g., [29]), p-groups of nilpotency class 2 and exponent p are widely believed to be the hardest cases of GPI, but to date there is no known reduction from isomorphism in any larger class of groups to this class. The Tensor Isomorphism-completeness of testing isomorphism in this class of groups (when given by generating matrices over  $\mathbb{F}_p$ ) suggests an additional reason for hardness [32] (see also Section 6.1). Here, we leverage that completeness result to give a reduction within GPI itself. While it falls short of being GPI-complete (equivalent to GPI), this is the first such reduction that we are aware of.

To state our result, we need to first recall the definition of nilpotency class. We will give an inductive definition: a group G is nilpotent of class 1 if it is abelian, and nilpotent of class c > 1 if G/Z(G) (G modulo its center) is nilpotent of class c - 1. Recall that a finite group is nilpotent iff it is the direct product of its Sylow p-subgroups, so from the comment above, isomorphism of nilpotent groups is polynomial-time equivalent to isomorphism of p-groups (for varying p).

▶ Theorem P. Let p be an odd prime. For groups given by generating sets of m matrices of size  $n \times n$  over  $\mathbb{F}_{p^e}$ , GROUP ISOMORPHISM for p-groups of exponent p and class c < p reduces to GROUP ISOMORPHISM for p-groups of exponent p and class 2 in time poly $(n, m, e \log p)$ .

In fact, because the Lazard Correspondence works whenever all subgroups generated by 3 elements have nilpotency class < p, our reduction also works in this more general setting. For example, as a consequence of Theorem P, testing isomorphism of 5-groups in which every 3-generated subgroup has class 4 (the groups themselves may have larger class) reduces to testing isomorphism of 5-groups of class 2 in the matrix group model over fields of characteristic 5.

- ▶ Remark 2. Two additional results would suffice to get the analogous result in the Cayley table model. The first is to compute the Lazard Correspondence in the Cayley table model in time poly(|G|); we thank an anonymous ITCS reviewer for pointing out that this can be achieved by applying the matrix Lazard Correspondence (see Proposition 26) to the left regular representation of the group on itself. The second is to improve the blow-up in the reduction from (Lie) Algebra Isomorphism to 3TI from [28]. Currently this reduction increases the dimension quadratically, which means the size of the group becomes  $|G|^{O(\log |G|)}$  after the reduction; instead, we would need a reduction that increases the dimension only linearly.
- ▶ Remark 3. One may also ask whether our theorems can be combined, in order to get search-to-decision and counting-to-decision reductions for p-groups of class c < p instead of only class 2. We believe this should be approachable, but again the quadratic increase in dimension in reductions, mentioned in the previous remark, gets in the way. The quadratic increase makes the square-root exponential reductions into ordinary exponential reductions, negating any gains.

# 1.2 Main techniques and proof strategies

All our results are based on the connection with Tensor Isomorphism (TI) [32]. Let  $\Lambda(n, \mathbb{F})$  denote the space of  $n \times n$  skew-symmetric (alternating) matrices over  $\mathbb{F}$ . Then the Baer Correspondence [7] gives an equivalence between

$$\begin{cases} p\text{-groups of class 2, ex-} \\ \text{ponent } p, \ G/Z(G) \cong \\ \mathbb{Z}_p^n, \ Z(G) \cong \mathbb{Z}_p^m \end{cases} \longleftrightarrow \begin{cases} \mathcal{A} \leq \Lambda(n, \mathbb{F}_p) \\ \dim \mathcal{A} = m \end{cases} \longleftrightarrow \begin{cases} \text{Nilpotent } \mathbb{F}_p\text{-Lie algebras} \\ \text{of class 2, } L/Z(L) \cong \mathbb{F}_p^n, \\ Z(L) \cong \mathbb{F}_p^m \end{cases}$$

in such a way that two such groups are isomorphic iff the corresponding Lie algebras are isomorphic iff the corresponding matrix spaces  $\mathcal{A}, \mathcal{B} \leq \Lambda(n, \mathbb{F}_p)$  are isometric. Here, we say that two such linear subspaces are *isometric* if there is an invertible matrix  $L \in GL(n, \mathbb{F}_p)$  such that  $\mathcal{B} = L^t \mathcal{A}L := \{L^t \mathcal{A}L : A \in \mathcal{A}\}$ . The corresponding computational problem is:

▶ Definition 4 (The Alternating Matrix Space Isometry problem). Input:  $A_1, ..., A_m$  and  $B_1, ..., B_m$ ,  $n \times n$  alternating<sup>4</sup> matrices over a field  $\mathbb{F}$ , Decide: Is there a  $L \in GL(n, \mathbb{F})$ , such that the linear span of  $\{A_i : i \in [m]\}$  is equal to the linear span of  $\{L^tB_iL : i \in [m]\}$ ?

Our search- and counting-to-decision reductions (Theorems A and B) actually follow from analogous results on Alternating Matrix Space Isometry (Theorems A' and B'), using a constructive version of the Baer Correspondence communicated to us by James B. Wilson (Lemma 24). The viewpoint of alternating matrix spaces made the constructions much easier to find and reason about.

Our nilpotency class reduction uses a constructive version of the Lazard Correspondence (Proposition 26), which generalizes the Baer Correpsondence to nilpotency class c < p; the TI-completeness of Lie Algebra Isomorphism for nilpotent Lie algebras of class 2 (a combination of reductions from [28] and [32]); and finally the aforementioned constructive Baer Correspondence to go back to p-groups of class 2.

In the remainder of this section we give more details of the techniques involved.

## 1.2.1 Linear algebraic coloring gadgets

Our most novel technique is to devise linear algebraic analogues for Alternating Matrix Space Isometry of the graph coloring gadget, a key technique in the structural complexity study of Graph Isomorphism (see, e.g., [44]). This technique is crucial in the following theorems, used to prove Theorems A and B, respectively.

▶ Theorem A'. Let q be a prime power. There is a search-to-decision reduction for ALTERN-ATING MATRIX SPACE ISOMETRY which, given  $n \times n$  alternating matrix spaces  $\mathcal{A}, \mathcal{B}$  over  $\mathbb{F}_q$  of dimension m, computes an isometry between them if they are isometric, in time  $q^{\tilde{O}(n)}$  or in time  $q^{O(n+m)}$ . The reduction queries the decision oracle with inputs of dimension at most  $O(n^2)$ .

<sup>&</sup>lt;sup>3</sup> For bilinear maps – which are another way of viewing matrix spaces – the corresponding notion is often called "pseudo-isometry", with "isometry" of bilinear maps being a more restrictive notion. We chose our nomenclature by analogy with individual matrices: just as we call two matrix spaces  $\mathcal{A}, \mathcal{B}$  "conjugate" when  $L\mathcal{A}L^{-1} = \mathcal{B}$ , or "equivalent" when  $L\mathcal{A}M = \mathcal{B}$ , we call two matrix spaces "isometric" when there is an isometry-transformation that sends one such space to another. We are careful to use "pseudo-isometry" when we refer to the corresponding notions for matrix *tuples* or for bilinear maps.

<sup>&</sup>lt;sup>4</sup> An  $n \times n$  matrix A over  $\mathbb{F}$  is alternating if for every  $v \in \mathbb{F}^n$ ,  $v^t A v = 0$ . When  $\mathbb{F}$  is not of characteristic 2, this is equivalent to being skew-symmetric  $A^t = -A$ .

▶ Theorem B'. For q a prime power with  $q = n^{\Omega(1)}$ , there is a randomized counting-to-decision reduction for Alternating Matrix Space Isometry which, given  $n \times n$  alternating matrix spaces  $\mathcal{A}, \mathcal{B}$  over  $\mathbb{F}_q$  of dimension m, computes the number of isometries from  $\mathcal{A}$  to  $\mathcal{B}$  in time  $q^{O(n)}$ . The reduction queries the decision oracle with inputs of dimension at most  $O(n^2)$ .

Let us first briefly review the graph coloring gadgets. Suppose we have a graph G=(V,E) with the vertices colored, i. e., there is a map  $f:V\to\{1,\ldots,c\}=:[c]$ , where we view [c] as the set of colors. Let n=|V|. Suppose we want to construct an uncolored graph  $\tilde{G}$ , in which the color information carried by f is encoded. One way to achieve this is the following. (See [44] for other more efficient constructions.) For every  $v\in V$ , if  $v\in V$  is assigned color  $k\in [c]$ , then attach a "star" of size kn to v, that is add kn new vertices to G and attach them all to v. We then get a graph  $\tilde{G}$  with  $O(cn^2)$  vertices, and we see that an automorphism of  $\tilde{G}$ , when restricting to V, has to map  $v\in V$  to another  $v'\in V$  of the same color, as degrees need to be preserved under automorphisms.

Such an idea can be carried out in the 3-tensor context as in [28], but with a significant loss of efficiency which prevents its use for search- and counting-to-decision reductions and indicates the needs for new techniques. To illustrate the situation, we consider a toy problem. To ease the presentation, we adopt a perspective on 3-tensors that we hope is clear on its own; the analogy with the graph case is fairly close, but not immediately obvious, and we present it in full detail in Section 3. Note that by slicing a 3-tensor along one direction, we get a tuple of matrices (see also Section 2); in the following of this subsection we shall mostly work with matrix tuples.

Let  $\mathbf{A} = (A_1, \ldots, A_m) \in \mathrm{M}(n, \mathbb{F})^m$  be a tuple of matrices, where  $A_i$ 's are linearly independent. There are two natural actions on  $\mathbf{A}$ . The first action is  $S = (s_{i,j}) \in \mathrm{GL}(m, \mathbb{F})$  on  $\mathbf{A}$  by sending  $A_j$  to  $\sum_{i \in [m]} s_{i,j} A_i$ . Denote the resulting matrix tuple by  $\mathbf{A}^S$ . The second action is  $(L, R) \in \mathrm{GL}(n, \mathbb{F}) \times \mathrm{GL}(n, \mathbb{F})$  on  $\mathbf{A}$  by sending  $A_j$  to  $LA_jR^t$  for  $j = 1, \ldots, m$ . Denote the resulting matrix tuple by  $L\mathbf{A}R^t$ . For two tuples  $\mathbf{A}, \mathbf{B}$ , and for the purposes of this illustration, let us define the set of isomorphisms as  $\mathrm{Iso}(\mathbf{A}, \mathbf{B}) = \{S \in \mathrm{GL}(m, \mathbb{F}) : \exists L, R \in \mathrm{GL}(n, \mathbb{F}), L\mathbf{A}R^t = \mathbf{B}^S\}$ .

In the counting-to-decision reduction we will need to test isomorphism of such tuples under the action by diagonal matrices. Let  $\operatorname{diag}(m,\mathbb{F})$  denote the subgroup of  $\operatorname{GL}(m,\mathbb{F})$  consisting of diagonal matrices. Our goal then is to construct  $\tilde{\mathbf{A}} = (\tilde{A}_1, \tilde{A}_2, \tilde{A}_3) \in \operatorname{M}(N,\mathbb{F})^3$  and  $\tilde{\mathbf{B}}$ , such that  $\operatorname{Iso}(\tilde{\mathbf{A}}, \tilde{\mathbf{B}}) = \operatorname{Iso}(\mathbf{A}, \mathbf{B}) \cap \operatorname{diag}(3,\mathbb{F})$ . The construction we use, from [28], is as follows. Let  $N = 2^3 \cdot n = 8n$ , and let

where  $I_s$  denotes the identity matrix of size s, and 0's denote all-zero matrices of appropriate sizes, and define  $\tilde{\mathbf{B}}$  similarly. By [28, Lemma 2.2], we have  $\mathrm{Iso}(\tilde{\mathbf{A}}, \tilde{\mathbf{B}}) = \mathrm{Iso}(\mathbf{A}, \mathbf{B}) \cap \mathrm{diag}(3, \mathbb{F})$ . The proof, while not difficult, relies on certain algebraic machineries like the Krull–Schmidt Theorem for quiver representations. For our purpose, we only point out that a key in the proof is that  $\mathrm{Iso}(\tilde{\mathbf{A}}, \tilde{\mathbf{B}}) \subseteq \mathrm{diag}(3, \mathbb{F})$ , which can be easily checked by comparing the ranks of the  $\tilde{A}_i$ ,  $\tilde{B}_i$ . (We note that, because L and R act independently on the rows and columns of the  $\tilde{A}_i$ , for individual slices rank is essentially the only invariant we have.)

The preceding gadget construction can be generalized to handle subgroups of  $\mathrm{GL}(n,\mathbb{F})$  of the form

$$\left\{ \begin{bmatrix} S_1 & 0 & \dots & 0 \\ 0 & S_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & S_c \end{bmatrix} : S_i \in GL(n_i, \mathbb{F}) \right\},\,$$

where  $c = O(\log n)$ . We shall refer to this gadget as the Futorny–Grochow–Sergeichuk gadget, or FGS gadget for short.

However, the FGS gadget cannot be used for search- and counting-to-decision reductions in Theorems A and B. The key bottleneck is the restriction that  $c = O(\log n)$ . To check why this is so reveals an interesting distinction between the combinatorial and the linear algebraic worlds. Recall that in the graph setting, if there are c colors, we need stars of size at most cn. While in the linear algebraic setting, if there are c components, the biggest identity matrix needs to be of size  $2^c \cdot n \times 2^c \cdot n$ . The reason is that we can do non-trivial linear combinations of the matrices  $\tilde{A}_i$ , so several matrices of small ranks might be combined to get a matrix of large rank. Indeed, in Eq. 1, if  $\tilde{A}_3$  was accompanied with  $I_{3n}$  instead of  $I_{4n}$ , then a non-trivial linear combination of  $\tilde{A}_1$  and  $\tilde{A}_2$  could be of rank the same as  $\tilde{A}_3$ , and the argument that  $Iso(\tilde{\bf A}, \tilde{\bf B}) \subseteq diag(m, \mathbb{F})$  would not go through. That's why we need such exponential growth as the number of components grow.

To address this challenge, we devise two new gadgets, which restrict to the monomial group and the diagonal group, respectively.

The monomial group of  $GL(n, \mathbb{F})$ , denoted as  $Mon(n, \mathbb{F})$ , consists of monomial matrices, i.e. a matrix with exactly one non-zero entry in each row and each column. We design a gadget that restricts to  $Mon(n, \mathbb{F})$ , which is the key in the search-to-decision reduction (Theorem A').

In the case of  $\mathbb{F} = \mathbb{F}_q$  and  $q = n^{\Omega(1)}$ , we design a gadget that restricts to diag(n,q), which is the key in the counting-to-decision reduction (Theorem B'). The gadget for restricting to monomial groups cannot be used in the counting-to-decision reduction. Its construction is already delicate, and the analysis is involved, relying on a celebrated result of Kantor and Lubotzky regarding random generation of classical groups [41].

# 1.2.2 Constructive Lazard Correspondence

In light of the TI-completeness of isomorphism of class 2 p-groups given by matrices over finite fields of characteristic p [32], the key idea here is how to reduce isomorphism for other classes of groups to some tensor problem. For groups in general it is unclear how to do this, as tensors are multilinear and groups are not. But for p-groups of nilpotency class < p, the Lazard Correspondence gives an equivalence between the category of such groups and a corresponding category of Lie algebras (over the same field, nilpotent of the same class). If this correspondence were computationally efficient, we would then be in the fortunate setting in which Lie Algebra Isomorphism is multilinear, and is in TI [28], so we can then reduce back to isomorphism of class 2 p-groups. We observe (Proposition 26) that when the groups are given by matrices in characteristic p, the Lazard Correspondence can be efficiently computed using the usual matrix logarithm and exponential.

The restriction to groups of nilpotency class c < p comes entirely from the Lazard Correspondence, which is also known only to work under this same assumption (see [60] for details, and what can be said when c = p, but unfortunately already when c = p one no longer gets an equivalence up to isomorphism). Despite this restriction, we note that we know of no prior reductions from any class of groups to p-groups of class 2.

In Remark 2 we discuss the ingredients necessary to get the same result for GPI in the Cayley table model, which seems approachable.

#### 1.3 Organization of the paper

In Section 2 we present preliminaries and notation. In Section 3 we present more details of the analogy with individualizing vertices in graphs by attaching stars, using the example of reducing Monomial Code Equivalence to Tensor Isomorphism. In Section 4 we present our gadget to restrict to the monomial subgroup, an example use of this to reduce GI to ALTERNATING MATRIX SPACE ISOMETRY, and Theorem A'. In Section 5 we prove Theorem B'. In Section 6 we present the constructive Baer and Lazard Correspondences, and use them to derive Theorems A and B from Theorems A' and B', respectively, as well as proving Theorem P. Finally, in Section 7 we conclude with open questions and discuss the relationship between this work and the authors' line of work on Tensor Isomorphism.

# **Preliminaries**

**Table 1** Summary of notation related to 3-way arrays and tensors.

Font	Object	Space of objects
$A, B, \dots$	matrix	$M(n, \mathbb{F})$ or $M(\ell \times n, \mathbb{F})$
$\mathbf{A},\mathbf{B},\dots$	matrix tuple	$\mathrm{M}(n,\mathbb{F})^m$ or $\mathrm{M}(\ell\times n,\mathbb{F})^m$
$\mathcal{A},\mathcal{B},\dots$	matrix space	[Subspaces of $\mathcal{M}(n, \mathbb{F})$ or $\Lambda(n, \mathbb{F})$ ]
$A, B, \dots$	3-way array	$T(\ell \times n \times m, \mathbb{F})$

**Vector spaces.** Let  $\mathbb{F}$  be a field. In this paper we only consider finite-dimensional vector spaces over  $\mathbb{F}$ . We use  $\mathbb{F}^n$  to denote the vector space of length-n column vectors. The ith standard basis vector of  $\mathbb{F}^n$  is denoted  $\vec{e_i}$ . Depending on the context, **0** may denote the zero vector space, a zero vector, or an all-zero matrix. For S a set of vectors, we use  $\langle S \rangle$  to denote the subspace spanned by elements in S.

**Some groups.** The general linear group of degree n over a field  $\mathbb{F}$  is denoted by  $GL(n, \mathbb{F})$ . The symmetric group of degree n is denoted by  $S_n$ . The natural embedding of  $S_n$  into  $\mathrm{GL}(n,\mathbb{F})$  is to represent permutations by permutation matrices. The subgroup of  $\mathrm{GL}(n,\mathbb{F})$ consisting of diagonal matrices is called the diagonal subgroup, denoted by  $\operatorname{diag}(n,\mathbb{F})$ . A monomial matrix is a product of a diagonal and a permutation matrix; equivalently, each row and each column has exactly one non-zero entry. The collection of monomial matrices forms a subgroup of  $GL(n,\mathbb{F})$ , which we call the *monomial subgroup* and denote by  $Mon(n,\mathbb{F})$ . It is the semi-direct product  $\operatorname{diag}(n, \mathbb{F}) \rtimes S_n \cong (\mathbb{F}^*)^n \rtimes S_n$ .

**Nilpotent groups.** If A, B are two subsets of a group G, then [A, B] denotes the subgroup generated by all elements of the form  $[a,b] = aba^{-1}b^{-1}$ , for  $a \in A, b \in B$ . The lower central series of a group G is defined as follows:  $\gamma_1(G) = G$ ,  $\gamma_{k+1}(G) = [\gamma_k(G), G]$ . A group is nilpotent if there is some c such that  $\gamma_{c+1}(G) = 1$ ; the smallest such c is called the nilpotency class of G, or sometimes just "class" when it is understood from context. A finite group is nilpotent if and only if it is the product of its Sylow subgroups; in particular, all groups of prime power order are nilpotent.

**Matrices.** Let  $M(\ell \times n, \mathbb{F})$  be the linear space of  $\ell \times n$  matrices over  $\mathbb{F}$ , and  $M(n, \mathbb{F}) := M(n \times n, \mathbb{F})$ . Given  $A \in M(\ell \times n, \mathbb{F})$ ,  $A^t$  denotes the transpose of A.

A matrix  $A \in M(n, \mathbb{F})$  is alternating, if for any  $u \in \mathbb{F}^n$ ,  $u^t A u = 0$ . That is, A represents an alternating bilinear form. Note that in characteristic  $\neq 2$ , alternating is the same as skew-symmetric, but in characteristic 2 they differ (in characteristic 2, skew-symmetric=symmetric). The linear space of  $n \times n$  alternating matrices over  $\mathbb{F}$  is denoted by  $\Lambda(n, \mathbb{F})$ .

The  $n \times n$  identity matrix is denoted by  $I_n$ , and when n is clear from the context, we may just write I. The elementary matrix  $E_{i,j}$  is the matrix with the (i,j)th entry being 1, and other entries being 0. The (i,j)-th elementary alternating matrix is the matrix  $E_{i,j} - E_{j,i}$ .

**Matrix tuples.** We use  $M(\ell \times n, \mathbb{F})^m$  to denote the linear space of m-tuples of  $\ell \times n$  matrices. Boldface letters like  $\mathbf{A}$  and  $\mathbf{B}$  denote matrix tuples. Let  $\mathbf{A} = (A_1, \dots, A_m), \mathbf{B} = (B_1, \dots, B_m) \in M(\ell \times n, \mathbb{F})^m$ . Given  $P \in M(\ell, \mathbb{F})$  and  $Q \in M(n, \mathbb{F})$ ,  $P\mathbf{A}Q := (PA_1Q, \dots, PA_mQ) \in M(\ell, \mathbb{F})$ . Given  $R = (r_{i,j})_{i,j \in [m]} \in M(m, \mathbb{F}), \mathbf{A}^R := (A'_1, \dots, A'_m) \in M(m, \mathbb{F})$  where  $A'_i = \sum_{j \in [m]} r_{j,i}A_j$ .

▶ Remark 5. In particular, note that the coefficients in the formula of defining  $A'_i$  correspond to the entries in the *i*th *column* of R. While this choice is immaterial (we could have chosen the opposite convention), all of our later calculations are consistent with this convention.

Given  $\mathbf{A}, \mathbf{B} \in \mathrm{M}(\ell \times n, \mathbb{F})^m$ , we say that  $\mathbf{A}$  and  $\mathbf{B}$  are isometric, if there exists  $P \in \mathrm{GL}(n, \mathbb{F})$ , such that  $P^t \mathbf{A} P = \mathbf{B}$ . Finally,  $\mathbf{A}$  and  $\mathbf{B}$  are pseudo-isometric if there exist  $P \in \mathrm{GL}(n, \mathbb{F})$  and  $R \in \mathrm{GL}(m, \mathbb{F})$ , such that  $P^t \mathbf{A} P = \mathbf{B}^R$ .

**Matrix spaces.** Linear subspaces of  $M(\ell \times n, \mathbb{F})$  are called matrix spaces. Calligraphic letters like  $\mathcal{A}$  and  $\mathcal{B}$  denote matrix spaces. By a slight abuse of notation, for  $\mathbf{A} \in M(\ell \times n, \mathbb{F})^m$ , we use  $\langle \mathbf{A} \rangle$  to denote the subspace spanned by those matrices in  $\mathbf{A}$ . For  $\mathbf{A}, \mathbf{B} \in M(n, \mathbb{F})^m$ , we say that the spaces  $\langle \mathbf{A} \rangle$ ,  $\langle \mathbf{B} \rangle$  are isometric iff the tuples  $\mathbf{A}, \mathbf{B}$  are pseudo-isometric.

**3-way arrays.** Let  $T(\ell \times n \times m, \mathbb{F})$  be the linear space of  $\ell \times n \times m$  3-way arrays over  $\mathbb{F}$ . We use the fixed-width teletypefont for 3-way arrays, like A, B, etc..

Given  $\mathbf{A} \in \mathrm{T}(\ell \times n \times m, \mathbb{F})$ , we can think of  $\mathbf{A}$  as a 3-dimensional table, where the (i,j,k)th entry is denoted as  $\mathbf{A}(i,j,k) \in \mathbb{F}$ . We can slice  $\mathbf{A}$  along one direction and obtain several matrices, which are then called slices. For example, slicing along the first coordinate, we obtain the *horizontal* slices, namely  $\ell$  matrices  $A_1,\ldots,A_\ell \in \mathrm{M}(n\times m,\mathbb{F})$ , where  $A_i(j,k)=\mathbf{A}(i,j,k)$ . Similarly, we also obtain the *lateral* slices by slicing along the second coordinate, and the *frontal* slices by slicing along the third coordinate.

We will often represent a 3-way array as a matrix whose entries are vectors. That is, given  $\mathbf{A} \in \mathbf{T}(\ell \times n \times m, \mathbb{F})$ , we can write

$$\mathtt{A} = egin{bmatrix} w_{1,1} & w_{1,2} & \dots & w_{1,n} \\ w_{2,1} & w_{2,2} & \dots & w_{2,n} \\ \vdots & \ddots & \ddots & \vdots \\ w_{\ell,1} & w_{\ell,2} & \dots & w_{\ell,n} \end{bmatrix},$$

where  $w_{i,j} \in \mathbb{F}^m$ , so that  $w_{i,j}(k) = A(i,j,k)$ . Note that, while  $w_{i,j} \in \mathbb{F}^m$  are column vectors, in the above representation of A, we should think of them as along the direction "orthogonal to the paper." Following [45], we call  $w_{i,j}$  the tube fibers of A. Similarly, we can have the row fibers  $v_{i,k} \in \mathbb{F}^n$  such that  $v_{i,k}(j) = A(i,j,k)$ , and the column fibers  $u_{j,k} \in \mathbb{F}^\ell$  such that  $u_{j,k}(i) = A(i,j,k)$ .

Given  $P \in \mathcal{M}(\ell, \mathbb{F})$  and  $Q \in \mathcal{M}(n, \mathbb{F})$ , let PAQ be the  $\ell \times n \times m$  3-way array whose kth frontal slice is  $PA_kQ$ . For  $R = (r_{i,j}) \in \mathrm{GL}(m, \mathbb{F})$ , let  $A^R$  be the  $\ell \times n \times m$  3-way array whose kth frontal slice is  $\sum_{k' \in [m]} r_{k',k} A_{k'}$ . Note that these notations are consistent with the notations for matrix tuples above, when we consider the matrix tuple  $\mathbf{A} = (A_1, \ldots, A_k)$  of frontal slices of A.

# Warm up: reducing Monomial Code Equivalence to Tensor Isomorphism

The purpose of this section is to present a concrete example that illustrates what we mean by a gadget restricting to monomial subgroups. We also explain why the gadget would be viewed as a linear algebraic analogue of attaching stars in the graph setting as mentioned in Section 1.2.1.

We will give a reduction here to the TENSOR ISOMORPHISM (TI) problem, so we begin by recalling its definition:

▶ **Definition 6** (The *d*-TENSOR ISOMORPHISM problem). *d*-TENSOR ISOMORPHISM over a field  $\mathbb{F}$  is the problem: given two *d*-way arrays  $\mathbb{A} = (a_{i_1,...,i_d})$  and  $\mathbb{B} = (b_{i_1,...,i_d})$ , where  $i_k \in [n_k]$  for  $k \in [d]$ , and  $a_{i_1,...,i_d}, b_{i_1,...,i_d} \in \mathbb{F}$ , decide whether there are  $P_k \in GL(n_k, \mathbb{F})$  for  $k \in [d]$ , such that for all  $i_1, ..., i_d$ ,

$$a_{i_1,\dots,i_d} = \sum_{j_1,\dots,j_d} b_{j_1,\dots,j_d}(P_1)_{i_1,j_1}(P_2)_{i_2,j_2}\cdots(P_d)_{i_d,j_d}.$$

Let A be an  $\ell \times n \times m$  3-way array, with lateral slices  $L_1, L_2, \ldots, L_n$  (each an  $\ell \times m$  matrix). For any vector  $v \in \mathbb{F}^n$ , we get an associated lateral matrix  $L_v$ , which is a linear combination of the lateral slices as given, namely  $L_v := \sum_{j=1}^n v_j L_j$  (note that when  $v = \vec{e_j}$  is the j-th standard basis vector, the associated lateral matrix is indeed  $L_j$ ). By analogy with adjacency matrices of graphs,  $L_v$  is a natural analogue of the neighborhood of a vertex in a graph. Correspondingly, we get a notion of "degree," which we may define as

$$\deg_{\mathtt{A}}(v) := \operatorname{rk} L_v = \operatorname{rk} (\sum_{j=1}^n v_j L_j) = \dim \operatorname{span} \{ L_v w : w \in \mathbb{F}^m \} = \dim \operatorname{span} \{ u^t L_v : u \in \mathbb{F}^\ell \}.$$

The last two characterizations are analogous to the fact that the degree of a vertex v in a graph G may be defined as the number of "in-neighbors" (nonzero entries the corresponding row of the adjacency matrix) or the number of "out-neighbors" (nonzero entries in the corresponding column).

To "individualize" v, we can enlarge  $\mathbf{A}$  with a gadget to increase  $\deg_{\mathbf{A}}(v)$ , as in the graph case. Note that  $\deg_{\mathbf{A}}(v) \leq \min\{\ell, m\}$  because the lateral matrices are all of size  $\ell \times m$ . For notational simplicity, let us individualize  $v = \vec{e_1} = (1, 0, \dots, 0)^t$ . To individualize v, we will increase its degree by  $d = \min\{\ell, m\} + 1 > \max_{v \in \mathbb{F}^n} \deg_{\mathbf{A}}(v)$ . Extend  $\mathbf{A}$  to a new 3-way array  $\mathbf{A}_v$  of size  $(\ell + d) \times n \times (m + d)$ ; in the "first"  $\ell \times n \times m$  "corner", we will have the original array  $\mathbf{A}$ , and then we will append to it an identity matrix in one slice to increase  $\deg(v)$ . More specifically, the lateral slices of  $\mathbf{A}_v$  will be

$$L_1' = \begin{bmatrix} L_1 & 0 \\ 0 & I_d \end{bmatrix}$$
 and  $L_j' = \begin{bmatrix} L_j & 0 \\ 0 & 0 \end{bmatrix}$  (for  $j > 1$ ).

Now we have that  $\deg_{\mathbf{A}_v}(v) \geq d$ . This almost does what we want, but now note that any vector  $w = (w_1, \dots, w_n)$  with  $w_1 \neq 0$  has  $\deg_{\mathbf{A}_v}(w) = \operatorname{rk}(w_1 L'_1 + \sum_{j \geq 2} w_j L_j) \geq d$ . We can nonetheless consider this a sort of linear-algebraic individualization.

Leveraging this trick, we can then individualize an entire basis of  $\mathbb{F}^n$  simultaneously, so that  $d \leq \deg(v) < 2d$  for any vector v in our basis, and  $\deg(v') \geq 2d$  for any nonzero v' outside the basis (not a scalar multiple of one of the basis vectors), as we do in the following result. This is also a 3-dimensional analogue of the reduction from GI to CODEEQ [52,59,62] (where they use Hamming weight instead of rank).

We now come to the concrete result. Given two  $d \times n$  matrices A, B over  $\mathbb{F}$  of rank d, the Monomial Code Equivalence problem is to decide whether there exist  $Q \in GL(d, \mathbb{F})$  and a monomial matrix  $P \in \text{Mon}(n, \mathbb{F}) \leq GL(n, \mathbb{F})$  (product of a diagonal matrix and a permutation matrix) such that QAP = B. Monomial equivalence of linear codes is a basic notion in coding theory [11], and Monomial Code Equivalence was recently studied in the context of post-quantum cryptography [67].

## ▶ Proposition 7. Monomial Code Equivalence reduces to 3-Tensor Isomorphism.

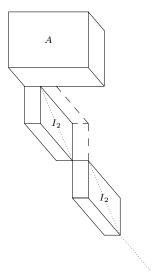
**Proof.** Without loss of generality we assume d>1, as the problem is easily solvable when d=1. We treat a  $d\times n$  matrix A as a 3-way array of size  $d\times n\times 1$ , and then follow the outline proposed above, of individualizing the entire standard basis  $\vec{e_1},\ldots,\vec{e_n}$ . Since the third direction only has length 1, the maximum degree of any column is 1, so it suffices to use gadgets of rank 2. More specifically, (see Figure 1) we build a  $(d+2n)\times n\times (1+2n)$  3-way array A whose lateral slices are

where the  $I_2$  block is in the j-th block of size 2 (that is, rows  $d+2(j-1)+\{1,2\}$  and columns  $2(j-1)+\{1,2\}$ ).

It will also be useful to visualize the frontal slices of A, as follows. Here each entry of the "matrix" below is actually a (1+2n)-dimensional vector, "coming out of the page":

$$\mathbf{A} = \begin{bmatrix} \tilde{a}_{1,1} & \tilde{a}_{1,2} & \dots & \tilde{a}_{1,n} \\ \vdots & \vdots & \ddots & \vdots \\ \tilde{a}_{d,1} & \tilde{a}_{d,2} & \dots & \tilde{a}_{d,n} \\ e_{1,1} & \mathbf{0} & \dots & \mathbf{0} \\ e_{1,2} & \mathbf{0} & \dots & \mathbf{0} \\ \mathbf{0} & e_{2,1} & \dots & \mathbf{0} \\ \mathbf{0} & e_{2,2} & \dots & \mathbf{0} \\ \vdots & \vdots & \ddots & \vdots \\ \mathbf{0} & \mathbf{0} & \dots & e_{n,1} \\ \mathbf{0} & \mathbf{0} & \dots & e_{n,2} \end{bmatrix}, \quad \begin{aligned} & \mathbf{w} \text{here} \\ \tilde{a}_{i,j} & = \begin{bmatrix} a_{i,j} \\ \mathbf{0}_{2n \times 1} \end{bmatrix} \in \mathbb{F}^{1+2n} \\ e_{i,j} & = \vec{e}_{1+2(i-1)+j} \in \mathbb{F}^{1+2n} \text{ for } i \in [n], j \in [2] \end{aligned}$$

(In A we turn the vectors  $\tilde{a}_{i,j}$  and  $e_{i,j}$  "on their side" so they become perpendicular to the page.)



**Figure 1** Pictorial representation of the reduction for Proposition 7.

We claim that A and B are monomially equivalent as codes if and only if A and B are isomorphic as 3-tensors.

( $\Rightarrow$ ) Suppose QADP=B where  $Q\in \mathrm{GL}(d,\mathbb{F}),\ D\in \mathrm{diag}(n,\mathbb{F})$  and  $P\in S_n\leq \mathrm{GL}(n,\mathbb{F}).$  Then by examining the frontal slices it is not hard to see that for  $Q'=\begin{bmatrix}Q&0\\0&(DP)^{-1}\otimes I_2\end{bmatrix}$  (where  $(DP)^{-1}\otimes I_2$  denotes a  $2n\times 2n$  block matrix, where the pattern of the nonzero blocks and the scalars are governed by  $(DP)^{-1}$ , and each  $2\times 2$  block is either zero or a scalar multiple of  $I_2$ ) we have  $Q'A_1DP=B_1$  and  $Q'A_{1+2(i-1)+j}DP=B_{1+2(\pi(i)-1)+j},$  where  $\pi$  is the permutation corresponding to P. Thus A and B are isomorphic tensors, via the isomorphism  $(Q',DP,\mathrm{diag}(I_1,P))$ .

( $\Leftarrow$ ) Suppose there exist  $Q \in \operatorname{GL}(d+2n,\mathbb{F})$ ,  $P \in \operatorname{GL}(n,\mathbb{F})$ , and  $R \in \operatorname{GL}(1+2n,\mathbb{F})$ , such that  $QAP = B^R$ . First, note that every lateral slice of A is of rank either 2 or 3, and the actions of Q and R do not change the ranks of the lateral slices. Furthermore, any non-trivial linear combination of more than 1 lateral slice results in a lateral matrix of rank  $\geq 4$ . It follows that P cannot take nontrivial linear combinations of the lateral slices, hence it must be monomial.

Now consider the frontal slices. Note that, as we assume d>1, every frontal slice of QAP, except the first one, is of rank 1. Therefore, R must be of the form  $\begin{bmatrix} r_{1,1} & \mathbf{0}_{1\times (n-1)} \\ \vec{r'} & R' \end{bmatrix}$  where R' is  $(n-1)\times (n-1)$ . Since R is invertible, we must have  $r_{1,1}\neq 0$ , and the first frontal slice of  $B^R$  contains all the rows of B scaled by  $r_{1,1}$  in its first d rows. The first frontal slice of QAP is a matrix that generates, by definition (and since we've shown P is monomial), a code monomially equivalent to A. Since the first frontal slices of QAP and  $B^R$  are equal, and the latter is just a scalar multiple of  $B_1$ , we have that A and B are monomially equivalent as codes as well.

# 4 Search-to-decision reduction by restricting to monomial groups

# 4.1 The gadget restricting to the monomial group

In this section, we present the gadget that restricts to the monomial group in the setting of Alternating Matrix Space Isometry. To show this, we will need the concept of monomial isometry; see Some Groups above. Recall that a matrix is monomial if, equivalently, it can be written as DP where D is a nonsingular diagonal matrix and P is a permutation matrix. We say two matrix spaces A, B are monomially isometric if there is some  $M \in \text{Mon}(n, \mathbb{F})$  such that  $M^t AM = B$ .

▶ Lemma 8. Alternating Matrix Space Monomial Isometry reduces to Alternating Matrix Space Isometry.

More specifically, there is a poly(n,m)-time algorithm r taking alternating matrix tuples to alternating matrix tuples, such that for  $\mathbf{A}, \mathbf{B} \in \Lambda(n, \mathbb{F})^m$ , the matrix spaces  $\mathcal{A} = \langle \mathbf{A} \rangle$  and  $\mathcal{B} = \langle \mathbf{B} \rangle$  are monomially isometric if and only if the matrix spaces  $\langle r(\mathbf{A}) \rangle$  and  $\langle r(\mathbf{B}) \rangle$  are isometric.

The gadget used in Lemma 8 is essentially to apply the gadget in Proposition 7 "in two directions." Still, to prove the correctness requires some work.

**Proof.** For  $\mathbf{A} = (A_1, \dots, A_m) \in \Lambda(n, \mathbb{F})^m$ , define  $r(\mathbf{A})$  to be the alternating matrix tuple  $\tilde{\mathbf{A}} = (\tilde{A}_1, \dots, \tilde{A}_{m+n^2}) \in \Lambda(n+n^2, \mathbb{F})^{m+n^2}$ , where

- 1. For k = 1, ..., m,  $\tilde{A}_k = \begin{bmatrix} A_k & \mathbf{0} \\ \mathbf{0} & \mathbf{0} \end{bmatrix}$ .
- **2.** For  $k=m+(i-1)n+j,\ i\in[n],\ j\in[n],\ \tilde{A}_k$  is the elementary alternating matrix  $E_{i,in+j}-E_{in+j,i}$ .

At this point, some readers may wish to look at the large matrix in Equation 2 and/or at Figure 2.

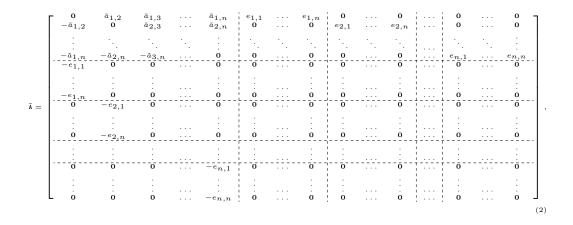
It is clear that r can be computed in time  $\tilde{O}((m+n^2)(n^2+n)) = \text{poly}(n,m)$ . Given alternating matrix tuples  $\mathbf{A}, \mathbf{B}$ , let  $\mathcal{A}, \mathcal{B}$  be the corresponding matrix spaces they span, and let  $\tilde{\mathcal{A}} = \langle r(\mathbf{A}) \rangle$  and  $\tilde{\mathcal{B}} = \langle r(\mathbf{B}) \rangle$ . We claim that  $\mathcal{A}$  and  $\mathcal{B}$  are monomially isometric if and only if  $\tilde{\mathcal{A}}$  and  $\tilde{\mathcal{B}}$  are isometric.

To prove this, it will help to think of our matrix tuples  $\mathbf{A}$ ,  $\tilde{\mathbf{A}}$ , etc. as (corresponding to) 3-way arrays, and to view these 3-way arrays from two different directions. Towards this end, write the 3-way array corresponding to  $\mathbf{A}$  as

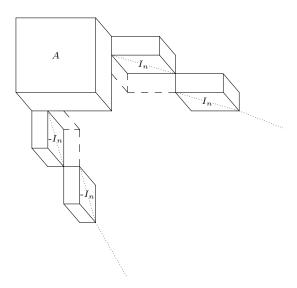
$$\mathbf{A} = \begin{bmatrix} \mathbf{0} & a_{1,2} & a_{1,3} & \dots & a_{1,n} \\ -a_{1,2} & \mathbf{0} & a_{2,3} & \dots & a_{2,n} \\ -a_{1,3} & -a_{2,3} & \mathbf{0} & \dots & a_{3,n} \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ -a_{1,n} & -a_{2,n} & -a_{3,n} & \dots & \mathbf{0} \end{bmatrix},$$

where  $a_{i,j}$  are vectors in  $\mathbb{F}^m$  ("coming out of the page"), namely  $a_{i,j}(k) = A_k(i,j)$ . The frontal slices of this array are precisely the matrices  $A_1, \ldots, A_m$ .

The 3-way array corresponding to  $\tilde{\mathbf{A}} = r(\mathbf{A})$  is then the  $(n+1)n \times (n+1)n \times (m+n^2)$  array:



where  $\tilde{a}_{i,j} = \begin{bmatrix} a_{i,j} \\ \mathbf{0} \end{bmatrix} \in \mathbb{F}^{m+n^2}$  (here think of the vector  $a_{i,j}$  as a column vector, not coming out of the page; in the above array we then lay the column vector  $\tilde{a}_{i,j}$  "on its side" so that it is coming out of the page), and  $e_{i,j} := e_{m+(i-1)n+j} \in \mathbb{F}^{m+n^2}$ , which we can equivalently write as  $\begin{bmatrix} \mathbf{0}_m \\ e_i \otimes e_j \end{bmatrix}$ , where we think of  $e_i \otimes e_j$  here as a vector of length  $n^2$ . Note that all the the nonzero blocks besides upper-left "A" block only have nonzero entries that are strictly behind the nonzero entries in the upper-left block.



**Figure 2** Pictorial representation of the reduction for Lemma 8.

The second viewpoint, which we will also use below, is to consider the lateral slices of A, or equivalently, to view A from the side. When viewing A from the side, we see the  $(n+1)n \times (m+n^2) \times (n+1)n$  3-way array:

$$\mathbb{A}^{l+1}(n) \times (m+n^{-}) \times (n+1)n \text{ 5-way array:}$$

$$\mathbb{A}^{lat} = \begin{bmatrix} \ell_{1,1} & \ell_{1,2} & \dots & \ell_{1,m} & e_{n+1} & \dots & e_{2n} & \dots & 0 & \dots & 0 \\ \vdots & \ddots & \ddots & \vdots & \vdots & \ddots & \vdots & \ddots & \vdots & \ddots & \vdots \\ \ell_{n,1} & \ell_{n,2} & \dots & \ell_{n,m} & 0 & \dots & 0 & \dots & e_{n^2+1} & \dots & e_{n^2+n} \\ \hline 0 & 0 & \dots & 0 & e_1 & \dots & 0 & \dots & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots & \dots & \vdots & \ddots & \vdots \\ \hline 0 & 0 & \dots & 0 & 0 & \dots & e_1 & \dots & 0 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots & \dots & \vdots & \ddots & \vdots \\ \hline 0 & 0 & \dots & 0 & 0 & \dots & 0 & \dots & e_n & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots & \vdots & \ddots & \vdots & \dots & \vdots & \ddots & \vdots \\ \hline 0 & 0 & \dots & 0 & 0 & \dots & 0 & \dots & e_n & \dots & 0 \\ \end{bmatrix},$$

$$(3)$$

where every  $\ell_{i,k} \in \mathbb{F}^{n^2+n}$  has only the first n components being possibly non-zero, namely,  $\ell_{i,k}(j) = A_k(i,j)$  for  $i \in [n], j \in [n], k \in [m]$  and  $\ell_{i,k}(j) = 0$  for any j > n.

For the only if direction. Suppose there exist  $P \in \text{Mon}(n, \mathbb{F})$  and  $Q \in \text{GL}(m, \mathbb{F})$ , such that  $P^t \mathbf{A} P = \mathbf{B}^Q$ . We can construct  $\tilde{P} \in \text{Mon}(n+n^2, \mathbb{F})$  and  $\tilde{Q} \in \text{GL}(m+n^2, \mathbb{F})$  such that  $\tilde{P}^t \tilde{\mathbf{A}} \tilde{P} = \tilde{\mathbf{B}}^{\tilde{Q}}$ . In fact, we will show that we can take  $\tilde{P} = \begin{bmatrix} P & \mathbf{0} \\ \mathbf{0} & P' \end{bmatrix}$  where  $P' \in \text{Mon}(n^2, \mathbb{F})$ , and  $\tilde{Q} = \begin{bmatrix} Q & \mathbf{0} \\ \mathbf{0} & Q' \end{bmatrix}$  where  $Q' \in \text{Mon}(n^2, \mathbb{F})$ . It is not hard to see that this form already ensures that the first m matrices in the vector  $\tilde{P}^t \tilde{\mathbf{A}} \tilde{P}$  and those of  $\tilde{\mathbf{B}}^{\tilde{Q}}$  are the same, since

ensures that the first m matrices in the vector  $P^t\mathbf{A}P$  and those of  $\mathbf{B}^Q$  are the same, since when  $\tilde{P}, \tilde{Q}$  are of this form, those first m matrices are controlled entirely by the P (resp., Q) in the upper-left block of  $\tilde{P}$  (resp.,  $\tilde{Q}$ ).

The remaining question is then how to design appropriate P' and Q' to take care of the last  $n^2$  matrices in these tuples. This actually boils down to applying the following simple identity, but "in 3 dimensions:" Let P be the permutation matrix corresponding to  $\sigma \in S_n$ , so that  $Pe_i = e_{\sigma(i)}$ , and  $e_i^t P = e_{\sigma^{-1}(i)}^t$ . Let  $D = \operatorname{diag}(\alpha_1, \ldots, \alpha_n)$  be a diagonal matrix. Then

$$P^t DP = \operatorname{diag}(\alpha_{\sigma^{-1}(1)}, \dots, \alpha_{\sigma^{-1}(n)}). \tag{4}$$

To see how Equation 4 helps in our setting, it is easier to focus attention on the lower right  $n^2 \times n^2$  sub-array of  $\mathbb{A}^{lat}$ , which can be represented as a symbolic matrix

$$M = \begin{bmatrix} x_1 I_n & \mathbf{0} & \dots & \mathbf{0} \\ \mathbf{0} & x_2 I_n & \dots & \mathbf{0} \\ \vdots & \ddots & \ddots & \vdots \\ \mathbf{0} & \mathbf{0} & \dots & x_n I_n \end{bmatrix}.$$

Here we think of the  $x_i$ 's as independent variables, whose indices correspond to "how far into the page" they are. That is,  $x_i$  corresponds to the vector  $\vec{e}_i$  in  $\mathbf{A}^{lat}$ , which is coming out of the page and has its only nonzero entry i slices back from the page.

Then the action of P permutes the  $x_i$ 's and multiplies them by some scalars, the action of P' is on the left-hand side, and the action of Q' is on the right-hand side. Let  $\sigma$  be the permutation supporting P. Then P sends M to

$$M^{P} = \begin{bmatrix} \alpha_{\sigma(1)} x_{\sigma(1)} I_{n} & \mathbf{0} & \dots & \mathbf{0} \\ \mathbf{0} & \alpha_{\sigma(2)} x_{\sigma(2)} I_{n} & \dots & \mathbf{0} \\ \vdots & \ddots & \ddots & \vdots \\ \mathbf{0} & \mathbf{0} & \dots & \alpha_{\sigma(n)} x_{\sigma(n)} I_{n} \end{bmatrix}.$$

So setting  $P' = \sigma \otimes I_n$ , Q' the monomial matrix supported by  $\sigma \otimes I_n$  with scalars being  $1/\alpha_i$ 's, we have  $P'^tM^PQ' = M$  by Equation 4.

For the if direction. Suppose there exist  $\tilde{P} \in \mathrm{GL}(n+n^2,\mathbb{F})$  and  $\tilde{Q} \in \mathrm{GL}(m+n^2,\mathbb{F})$ , such that  $\tilde{P}^t\tilde{\mathbf{A}}\tilde{P}=\tilde{\mathbf{B}}^{\tilde{Q}}$ . The key feature of these gadgets now comes into play: consider the lateral slices of  $\tilde{\mathbf{A}}$ , which are the frontal slices of  $\mathbf{A}^{lat}$  (which may be easier to visualize by looking at Equation 3 and Figure 2). The first n lateral slices of  $\tilde{\mathbf{A}}$  and  $\tilde{\mathbf{B}}$  are of rank  $\geq n$  and < 2n, while the other lateral slices are of rank < n (in fact, they are of rank 1; note that without loss of generality we may assume n > 1, for the only  $1 \times 1$  alternating matrix space is the zero space). Furthermore, left multiplying a lateral slice by  $\tilde{P}^t$  and right multiplying it by  $\tilde{Q}$  does not change its rank. However, the action of  $\tilde{P}$  here is by  $\tilde{P}^t\tilde{\mathbf{A}}\tilde{P}$ , and while the  $\tilde{P}^t$  here corresponds to left multiplication on the lateral slices (=frontal slices of  $\mathbf{A}^{lat}$ ), the  $\tilde{P}$  on the right here corresponds to taking linear combinations of the lateral slices. In other words, just as  $\mathbf{A}^{lat}$  is the "side view" of  $\tilde{\mathbf{A}}$ ,  $(\tilde{P}^t\mathbf{A}^{lat}\tilde{Q})^{\tilde{P}}$  is the side view of  $(\tilde{P}^t\tilde{\mathbf{A}}\tilde{P})^{\tilde{Q}}$ . Taking linear combinations of the lateral slices could, in principle, alter their rank; we will use the latter possibility to show that  $\tilde{P}$  must be of a constrained form.

Write 
$$\tilde{P} = \begin{bmatrix} P_{1,1} & P_{1,2} \\ P_{2,1} & P_{2,2} \end{bmatrix}$$
 where  $P_{1,1}$  is of size  $n \times n$ . We first claim that  $P_{1,2} = \mathbf{0}$ . For if not, then in  $(\mathbb{A}^{lat})^{\tilde{P}}$  (the side view), one of the last  $n^2$  frontal slices receives a nonzero

if not, then in  $(A^{lat})^P$  (the side view), one of the last  $n^2$  frontal slices receives a nonzero contribution from one of the first n frontal slices of  $A^{lat}$ . Looking at the form of these slices from Equation 3, we see that any such nonzero combination will have rank  $\geq n$ , but this is a contradiction since the corresponding slice in  $B^{lat}$  has rank 1. Thus  $P_{1,2} = \mathbf{0}$ , and therefore  $P_{1,1}$  must be invertible, since  $\tilde{P}$  is.

Finally, we claim that  $P_{1,1}$  has to be a monomial matrix. If not, then some frontal slice of  $(\mathbf{A}^{lat})^{\tilde{P}}$  among the first n would have a contribution from more than one of these n slices. Considering the lower-right  $n^2 \times n^2$  sub-matrix of such a slice, we see that it would have rank exactly kn for some  $k \geq 2$ , which is again a contradiction since the first n slices of  $\mathbf{B}^{lat}$  all have rank < 2n. It follows that  $P_{1,1}^t A_i P_{1,1}$ ,  $i \in [m]$ , are in  $\mathcal{B}$ , and thus  $\mathcal{A}$  and  $\mathcal{B}$  are monomially isometric via  $P_{1,1}$ .

# **4.1.1 Application: reducing** Graph Isomorphism **to** Alternating Matrix Space Isometry

An application of the monomial-restricting gadget is to give an immediate reduction from Graph Isomorphism to Alternating Matrix Space Isometry. While a reduction between these two problems is already known (cf. [32] for details), we choose to present it as an illustration of using this gadget.

▶ Proposition 9. Graph Isomorphism reduces to Alternating Matrix Space Isometry.

**Proof.** For a graph G = ([n], E), let  $\mathbf{A}_G$  be the alternating matrix tuple  $\mathbf{A}_G = (A_1, \dots, A_{|E|})$  with  $A_e = E_{i,j} - E_{j,i}$  where  $e = \{i, j\} \in E$ , and let  $\mathcal{A}_G = \langle \mathbf{A}_G \rangle$  be the alternating matrix space spanned by that tuple. If P is a permutation matrix giving an isomorphism between two graphs G and H, then it is easy to see that  $P^t \mathcal{A}_G P = \mathcal{A}_H$ , and thus the corresponding matrix spaces are isometric. The converse direction is not clear, though it is recently shown to be true in [34] with a rather intricate proof. Instead, we will provide a conceptually simpler proof, by showing that this construction gives a reduction to *monomial* isometry, and then using Lemma 8 to reduce to ordinary Alternating Matrix Space Isometry.

Let us thus establish that the preceding construction gives a reduction from GI to Alternating Matrix Space Monomial Isometry. We will show that  $G \cong H$  if and only if  $\mathcal{A}_G$  and  $\mathcal{A}_H$  are monomially isometric. The forward direction was handled above. For the converse, suppose  $P^tD^t\mathcal{A}_GDP = \mathcal{A}_H$  where D is diagonal and P is a permutation matrix. We claim that in this case, P in fact gives an isomorphism from G to H. First let us establish that P alone gives an isometry between  $\mathcal{A}_G$  and  $\mathcal{A}_H$ . Note that for any diagonal matrix  $D = \operatorname{diag}(\alpha_1, \ldots, \alpha_n)$  and any elementary alternating matrix  $E_{i,j} - E_{j,i}$ , we have  $D^t(E_{i,j} - E_{j,i})D = \alpha_i\alpha_j(E_{i,j} - E_{j,i})$ . Since  $\mathcal{A}_G$  has a basis of elementary alternating matrices, the action of D on this basis is just to re-scale each basis element, and thus  $D^t\mathcal{A}_GD = \mathcal{A}_G$ . Thus, we have  $P^t\mathcal{A}_GP = \mathcal{A}_H$ .

Finally, note that  $P^t(E_{i,j} - E_{j,i})P = E_{\pi(i),\pi(j)} - E_{\pi(j),\pi(i)} = A_{\pi(e)}$ , where  $\pi \in S_n$  is the permutation corresponding to P, and by abuse of notation we write  $\pi(e) = \pi(\{i,j\}) = \{\pi(i),\pi(j)\}$  as well. Since the elementary alternating matrices are linearly independent, and  $A_H$  has a basis of elementary alternating matrices, the only way for  $A_{\pi(e)}$  to be in  $A_H$  is for it to be equal to one of the basis elements (one of the matrices in  $A_H$ ). In other words,  $\pi(e)$  must be an edge of H. As P is invertible, we thus have that P gives an isomorphism  $G \cong H$ .

# **4.2 Search-to-decision reduction for** Alternating Matrix Space Isometry

▶ Theorem A'. Given an oracle deciding Alternating Matrix Space Isometry, the task of finding an isometry between two alternating matrix spaces  $\mathcal{A}, \mathcal{B} \in \Lambda(n, \mathbb{F}_q)$ , if it exists, can be solved using at most  $q^{O(n)}$  oracle queries each of size at most  $O(n^2)$ , and in time either  $q^{O(n)} \cdot n! = q^{\tilde{O}(n)}$ , or  $q^{O(n+m)}$ .

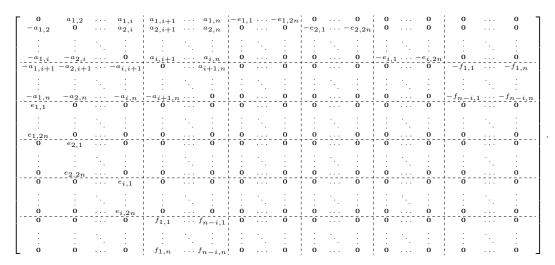
**Proof.** We first present the gadget construction. Then based on this gadget, we present the search-to-decision reduction.

**Gadget construction.** Let  $\mathbf{A} = (A_1, \dots, A_m)$  be an ordered linear basis of  $\mathcal{A}$ , and let  $\mathbf{A} \in \mathbf{T}(n \times n \times m, \mathbb{F}_q)$  be the 3-way array constructed from  $\mathbf{A}$ , so we can write

$$\mathbf{A} = \begin{bmatrix} \mathbf{0} & a_{1,2} & a_{1,3} & \dots & a_{1,n} \\ -a_{1,2} & \mathbf{0} & a_{2,3} & \dots & a_{2,n} \\ -a_{1,3} & -a_{2,3} & \mathbf{0} & \dots & a_{3,n} \\ \vdots & \ddots & \ddots & \ddots & \vdots \\ -a_{1,n} & -a_{2,n} & -a_{3,n} & \dots & \mathbf{0} \end{bmatrix},$$

where  $a_{i,j} \in \mathbb{F}^m$ ,  $1 \leq i < j \leq n$  thought of as a vector coming out of the page.

We first consider a 3-way array  $\tilde{A}_i$  constructed from A, for any  $1 \leq i \leq n-1$ , as  $\tilde{A}_i =$ 



where  $e_{j,k}$  is the (m+2n(j-1)+k)th standard basis vector, and  $f_{j,k}$  is the (m+2ni+n(j-1)+k)th standard basis vector. A pictorial description can be seen by combining Figure 2 (for the  $e_{j,k}$ ) and [32, Figure 3] (for the  $f_{j,k}$ ).

We claim the following.

 $\triangleright$  Claim 10. If there exist invertible matrices P and Q to satisfy  $P^t \tilde{A}_i P = \tilde{B}_i^Q$ , then P must

be in the form  $\begin{bmatrix} P_{1,1} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & P_{2,2} & \mathbf{0} \\ P_{3,1} & P_{3,2} & P_{3,3} \end{bmatrix}$ , where  $P_{1,1}$  is a monomial matrix of size  $i \times i$ ,  $P_{2,2}$  is of

size  $(n-i) \times (n-i)$ , and  $P_{3,3}$  is of size  $(2ni+n) \times (2ni+n)$ .

Furthermore, there exist such P and Q if and only if  $\mathbf{A}$  and  $\mathbf{B}$  are isometric by a matrix of the form  $\begin{bmatrix} P_{1,1} & \mathbf{0} \\ \mathbf{0} & P_{2,2} \end{bmatrix}$  where  $P_{1,1}$  is a monomial matrix of size  $i \times i$ .

Proof. This claim is immediate by combining the arguments for the FGS gadget [28] as used in [32], and the monomial-restricting gadget introduced in Section 4.1. We only outline the argument and point out some subtle issues here.

First, observe that for the lateral slices of  $\tilde{A}_i$ :

- The first i lateral slices have rank in [2n, 3n). Note that the rank is *strictly* less than 3n because some tube fibers (coming out of the page) are **0** in the upper-left  $n \times n$  sub-array.
- The next n-i lateral slices have rank in [n,2n).
- The remaining 2ni + n lateral slices have rank in [1, n) (since  $i \ge 1$ .)

Because of the above, for P and Q to satisfy  $P^t \tilde{A}_i P = \tilde{B}_i^Q$ , P must be in the required form.

It is the furthermore statement that requires certain care. The only if direction is straightforward: after observing that P has to be of the above form, we can easily verify that  $\begin{bmatrix} P_{1,1} & \mathbf{0} \\ \mathbf{0} & P_{2,2} \end{bmatrix}$  is an isometry from A to B. For the if direction, starting from  $\begin{bmatrix} P_{1,1} & \mathbf{0} \\ \mathbf{0} & P_{2,2} \end{bmatrix}$  and  $Q_{1,1} \in \mathrm{GL}(m,\mathbb{F})$ , we need to design  $P_{2,2} \in \mathrm{GL}(2ni+n,\mathbb{F})$  and  $Q_{2,2} \in \mathrm{GL}(2ni+n(n-i),\mathbb{F})$ 

 $Q_{1,1} \in \operatorname{GL}(m,\mathbb{F}), \text{ we need to design } P_{3,3} \in \operatorname{GL}(2ni+n,\mathbb{F}) \text{ and } Q_{2,2} \in \operatorname{GL}(2ni+n(n-i),\mathbb{F})$ such that letting  $P = \begin{bmatrix} P_{1,1} & \mathbf{0} & \mathbf{0} \\ \mathbf{0} & P_{2,2} & \mathbf{0} \\ 0 & 0 & P_{3,3} \end{bmatrix}$  and  $Q = \begin{bmatrix} Q_{1,1} & 0 \\ 0 & Q_{2,2} \end{bmatrix}$ , we have  $P^t \tilde{\mathbf{A}}_i P = \tilde{\mathbf{B}}_i^Q$ .

This can be achieved by combining the arguments for the only if directions in the proofs of Lemma 8 and [32, Proposition 3.3].

The search-to-decision reduction. Given these preparations, we now present the search-to-decision reduction for Alternating Matrix Space Isometry. Recall that this requires us to use the decision oracle  $\mathcal{O}$  to compute an explicit isometry transformation  $P \in \mathrm{GL}(n,q)$ , if  $\mathcal{A}$  and  $\mathcal{B}$  are indeed isometric. Think of P as sending the standard basis  $(\vec{e_1},\ldots,\vec{e_n})$  to another basis  $(v_1,\ldots,v_n)$ , where  $\vec{e_i}$  and  $v_i$  are in  $\mathbb{F}_q^n$ .

In the first step, we guess  $v_1$ , the image of  $\vec{e_1}$ , and a complement subspace of  $\langle v_1 \rangle$ , at the cost of  $q^{O(n)}$ . For each such guess, let  $P_1$  be the matrix which sends  $\vec{e_1} \mapsto v_1$  and sends  $\langle \vec{e_2}, \ldots, \vec{e_n} \rangle$  to the chosen complementary subspace arbitrarily. We apply  $P_1$  to A, and still call the resulting 3-way array A in the following. Then construct  $\tilde{A}_1$  and  $\tilde{B}_1$ , and feed these two instances to the oracle  $\mathcal{O}$ . Note that, since  $P_{1,1}$  (using notation as above) must be monomial, any equivalence between  $\tilde{A}_1$  and  $\tilde{B}_1$  must preserve our choice of  $v_1$  up to scale. Thus, clearly, if A and B are indeed isometric and we guess the correct image of  $\vec{e_1}$ , then the oracle  $\mathcal{O}$  will return yes (and conversely).

In the second step, we guess  $v_2$ , the image of  $\vec{e}_2$ , and a complement subspace of  $\langle v_2 \rangle$  within  $\langle \vec{e}_2, \dots, \vec{e}_n \rangle$ , at the cost of  $q^{O(n)}$ . Note here that the previous step guarantees that there is an isometry respecting the direct sum decomposition  $\langle v_1 \rangle \oplus \langle \vec{e}_2, \dots, \vec{e}_n \rangle$ , so we need only search for a complement of  $v_2$  within  $\langle \vec{e}_2, \dots, \vec{e}_n \rangle$ , and not a more general complement of  $\langle v_1, v_2 \rangle$  in all of  $\mathbb{F}_q^n$ . This is crucial for the runtime, as at the n/2 step, the latter strategy would result in searching through  $q^{\Theta(n^2)}$  possibilities.

For each such guess, we apply the corresponding transformation to A (and again call the resulting 3-way array A). Then construct  $\tilde{A}_2$  and  $\tilde{B}_2$ , and feed these two instances to the oracle  $\mathcal{O}$ . Clearly, if  $\mathcal{A}$  and  $\mathcal{B}$  are indeed isometric and we guess the correct image of  $\vec{e_2}$  (and  $\vec{e_1}$  from the previous step), then the oracle  $\mathcal{O}$  will return yes. However, there is a small caveat here, namely we may guess some image of  $e_2$ , such that  $\mathcal{A}$  and  $\mathcal{B}$  are actually isometric by some matrix P of the form  $\begin{bmatrix} P_{1,1} & \mathbf{0} \\ \mathbf{0} & P_{2,2} \end{bmatrix}$  where  $P_{1,1}$  is a monomial matrix of size 2 (instead of the more desired diagonal matrix). But this is fine, as it still ensures  $P_{1,1}$  to be monomial, which is the key property to keep. This means that our choices of  $\{v_1, v_2\}$  is correct as a set up to scaling, so we proceed.

In general, in the ith step, we maintain the property that  $\mathcal{A}$  and  $\mathcal{B}$  are isometric by some  $P = \begin{bmatrix} P_{1,1} & \mathbf{0} \\ \mathbf{0} & P_{2,2} \end{bmatrix}$  where  $P_{1,1}$  is a monomial matrix of size  $(i-1) \times (i-1)$ . We guess  $v_i$ , the image of  $\vec{e_i}$  in  $\langle \vec{e_i}, \dots, \vec{e_n} \rangle$ , and a complement subspace of  $\langle v_i \rangle$  within  $\langle \vec{e_i}, \dots, \vec{e_n} \rangle$ . This cost is  $q^{O(n)}$ . For each such guess, we apply the corresponding transformation to  $\mathbf{A}$  (and call the resulting 3-way array  $\mathbf{A}$ ). Then construct  $\tilde{\mathbf{A}}_i$  and  $\tilde{\mathbf{B}}_i$ , and feed these two instances to the oracle  $\mathcal{O}$ . Once we guess correctly, we ensure that  $\mathcal{A}$  and  $\mathcal{B}$  are isometric by  $P = \begin{bmatrix} P_{1,1} & \mathbf{0} \\ \mathbf{0} & P_{2,2} \end{bmatrix}$  where  $P_{1,1}$  is a monomial matrix of size  $i \times i$ .

So after the (n-1)th step, we know that  $\mathcal{A}$  and  $\mathcal{B}$  are isometric by a monomial transformation. As the number of all monomial transformations is  $(q-1)^n \cdot n! \leq q^n \cdot 2^{n\log n} = q^{\tilde{O}(n)}$ , we can enumerate all monomial transformations and check correspondingly. This gives an algorithm in time  $q^{\tilde{O}(n)}$ . By resorting to Proposition 11 which solves ALTERNATING MATRIX SPACE MONOMIAL ISOMETRY in time  $q^{O(n+m)}$ , we have an algorithm in time  $q^{O(n+m)}$ .

Note that all the instances we feed into the oracle  $\mathcal{O}$  are of size  $O(n^2)$ . This concludes the proof.

# 4.3 A simply-exponential algorithm for monomial isometry of alternating matrix spaces

We now state the algorithm for monomial isometry used in Theorem A'.

▶ **Proposition 11.** Let  $A, B \leq \Lambda(n, q)$  be m-dimensional. Then there exists a  $q^{O(n+m)}$ -time algorithm that decides whether A and B are monomially isometric, and if so, computes an explicit monomial isometry.

**Proof.** Let  $\mathcal{A}, \mathcal{B} \leq \Lambda(n,q)$  be two m-dimensional alternating matrix spaces. Clearly, by incurring a multiplicative factor of  $q^n$ , we can reduce to the problem of testing whether  $\mathcal{A}$  and  $\mathcal{B}$  are permutationally isometric, i.e. whether there exists a permutation matrix  $T \in \mathrm{GL}(n,q)$ , such that  $T^t \mathcal{A}T = \mathcal{B}$ . We will solve this problem in time  $2^{O(n)} \cdot q^{O(m)}$ . This would give an algorithm with total running time  $q^n \cdot 2^{O(n)} \cdot q^{O(m)} = q^{O(n+m)}$ . The basic idea of the algorithm comes from Luks's dynamic programming technique for Hypergraph Isomorphism [53].

Reducing to a generalized linear code equivalence problem. Suppose  $\mathcal{A} = \langle A_1, \dots, A_m \rangle$ , and  $\mathcal{B} = \langle B_1, \dots, B_m \rangle$ . Let A and B be the  $n \times n \times m$  3-way arrays formed by the given bases of A and B. For  $S \subseteq [n]$  of size s, let  $(A_i)_S$  be the submatrix of  $A_i$  with row and column indices in S. Then let  $A_S$  be the  $s \times s \times m$  3-way array formed by  $((A_1)_S, \dots, (A_m)_S)$ . Similarly we can define  $B_S$  for  $S \subseteq [n]$ .

For each  $S \subseteq [n]$  of size s, let  $\operatorname{Iso}(\mathtt{A}_{[s]},\mathtt{B}_S)$  be the coset in  $S_n \times \operatorname{GL}(m,q)$ , such that  $(A,B) \in S_n \times \operatorname{GL}(m,q)$  if and only if the natural action of (A,B) sends  $\mathtt{A}_{[s]}$  to  $\mathtt{B}_S$ . Since all the matrices are alternating, their diagonal entries are zero, and thus  $\mathtt{A}_{\{i\}}$  and  $\mathtt{B}_{\{i\}}$  are both the  $1 \times 1 \times m$  zero vector for any i. It follows that if s = 1 and  $S = \{i\}$ ,  $\operatorname{Iso}(\mathtt{A}_{[1]},\mathtt{B}_S) = G \times \operatorname{GL}(m,q)$ , where G is the coset of  $S_n$  consisting of permutations sending 1 to i.

Suppose we have computed  $\operatorname{Iso}(\mathtt{A}_{[s]},\mathtt{B}_S)$  for all s < t. Fix  $T \subseteq [n]$ , |T| = t, and let us compute  $\operatorname{Iso}(\mathtt{A}_{[t]},\mathtt{B}_T)$ . For any  $(A,B) \in \operatorname{Iso}(\mathtt{A}_{[t]},\mathtt{B}_T)$ , A sends [t-1] to some  $T' \subseteq T$  of size t-1. So in this case,  $(A,B) \in \operatorname{Iso}(\mathtt{A}_{[t-1]},\mathtt{B}_{T'})$ , which has been computed. Let  $T \setminus T' = \{t'\}$ . On the other hand, for  $(A,B) \in \operatorname{Iso}(\mathtt{A}_{[t-1]},\mathtt{B}_{T'})$  to be in  $\operatorname{Iso}(\mathtt{A}_t,\mathtt{B}_T)$ , (A,B) needs to send the tth horizontal slice of  $\mathtt{A}_{[t]}$  to the t'th horizontal slice of  $\mathtt{B}_T$ .

We first identify T' with [t-1]. We then note that every horizontal slice of  $\mathbb{A}_{[t]}$  has a row of zeros. So the problem now becomes: given two  $(t-1) \times m$  matrices P and Q over  $\mathbb{F}_q$ , decide whether P and Q are the same under  $G \leq \mathrm{S}_{t-1} \times \mathrm{GL}(m,q)$ . (Note that  $G = \mathrm{Iso}(\mathbb{A}_{[t-1]},\mathbb{B}_{T'})$  from above.) Clearly, this is a generalization of the LINEAR CODE EQUIVALENCE problem. Furthermore, if we could solve this problem in time  $2^{O(n)} \cdot q^{O(m)}$ , we would have achieved our original goal.

Solving the generalized linear code equivalence problem. We solve the above problem again by a dynamic programming scheme as follows. For  $R \subseteq [t-1]$  of size r,  $P_R$  denotes the  $r \times m$  submatrix of P with row indices from R. Let  $\mathrm{Iso}'(P_{[r]}, Q_R)$  be the coset in  $\mathrm{S}_{t-1} \times \mathrm{GL}(m,q)$ , such that  $(C,D) \in \mathrm{Iso}'(P_{[r]},Q_R)$  if and only if the natural action of (C,D) sends  $P_{[r]}$  to  $Q_R$ . If r=0, then  $\mathrm{Iso}'(P_\emptyset,Q_\emptyset)=G$  where  $G \leq \mathrm{S}_{t-1} \times \mathrm{GL}(m,q)$  is given as an input.

Suppose we have computed  $\operatorname{Iso}'(P_{[r]},Q_R)$  for any r < u. Fix  $U \subseteq [t-1]$ , |U| = u, and let us compute  $\operatorname{Iso}'(P_{[u]},Q_U)$ . For any  $(C,D) \in \operatorname{Iso}'(P_{[u]},Q_U)$ , C sends [u-1] to some  $U' \subseteq U$  of size u-1. So in this case,  $(A,B) \in \operatorname{Iso}(P_{[u-1]},Q_U)$ , which has been computed. Let  $U \setminus U' = \{u'\}$ . On the other hand, for  $(C,D) \in \operatorname{Iso}(P_{[u-1]},Q_{U'})$  to be in  $\operatorname{Iso}(P_{[u]},Q_U)$ , D

needs to send the uth row of  $P_{[u]}$  to the u'th row of  $Q_U$ . This subcoset of  $\operatorname{Iso}(P_{[u-1]},Q_{U'})$ can be computed in time  $q^{O(m)}$ , by treating GL(m,q) as a permutation group on  $\mathbb{F}_q^m$ . We then take a union over size-(u-1) subsets U' to obtain a generating set for  $Iso(P_{[u]}, Q_U)$ . If necessary, we can reduce the generating set size by applying the standard permutation group machinery, as our time bound is  $2^{O(n)} \cdot q^{O(m)}$ , which is quite generous.

#### 5 Counting-to-decision reduction by restricting to diagonal groups

In this section, we devise a gadget to achieve the restriction to the group of diagonal matrices, and use it to do the counting to decision reduction for Alternating Matrix Space ISOMETRY.

#### 5.1 **Preliminaries**

Some preparations are in order.

▶ **Observation 12.** Let  $n \ge 23$ . Then any permutation  $\sigma \in S_n$  either fixes a set of 6 points  $P \subseteq [n]$ , or moves a set of 6 points  $P \subseteq [n]$  to another set of 6 points  $Q \subseteq [n]$  such that these two sets are disjoint.

**Proof.** Suppose  $\sigma$  fixes at most 5 points. Then there are at least 18 points that are not fixed by  $\sigma$ . Suppose  $\sigma$  has t non-trivial cycles of length  $l_1,\ldots,l_t$ , such that  $\sum_i l_i \geq 18$ . For a cycle  $(p_1,\ldots,p_s)$ , we can choose  $p_1,p_3,\ldots,p_{2\cdot|s/2|-1}$  and put them in P, and  $p_2,p_4,\ldots,p_{2\cdot|s/2|}$ in Q. Do this for every cycle, we obtain the desired P and Q. The worst case is when every cycle is of length 3. Since there are at least 18 points not fixed by  $\sigma$ , P is of size  $\geq 6$ .

We shall make repeated uses of the following facts.

### ▶ Fact 13.

- 1. Given  $a_i \in \mathbb{R}$ ,  $0 \le a_i \le 1$ ,  $i \in [m]$ ,  $\prod_{i \in [m]} (1 a_i) \ge 1 \sum_{i \in [m]} a_i$ . 2. Let  $m, N \in \mathbb{N}$  and  $1 \le m \le N$ . A random matrix  $A \in M(N \times m, q)$  is of rank m with  $probability \ge 1 - 2/q^{N-m+1}.$
- **3.** For  $n \in \mathbb{N}$ ,  $0 \le d \le n$ , the number of dimension-d subspaces of  $\mathbb{F}_q^n$  is equal to the Gaussian binomial coefficient

$$\binom{n}{d}_{q} := \frac{(q^{n}-1) \cdot (q^{n}-q) \cdot \ldots \cdot (q^{n}-q^{d-1})}{(q^{d}-1) \cdot (q^{d}-q) \cdot \ldots \cdot (q^{d}-q^{d-1})}.$$

4. The Gaussian binomial coefficient satisfies:

$$q^{(n-d)d} \le \binom{n}{d}_a \le q^{(n-d)d+d}.$$

**5.** For  $d \in \mathbb{N}$ , the number of complement subspaces of a fixed dimension-d subspace of  $\mathbb{F}_q^n$  is  $q^{d(n-d)}$ .

**Proof.** For (2), 
$$\Pr[\operatorname{rk}(A) = m] = (1 - \frac{1}{q^N}) \cdot (1 - \frac{q}{q^N}) \cdot \dots \cdot (1 - \frac{q^{m-1}}{q^N})$$
. By (1), we have  $\Pr[\operatorname{rk}(A) = m] \ge 1 - \sum_{i=N-m+1}^{N} \frac{1}{q^i} = 1 - \frac{1}{q^{N-m+1}} - \sum_{i=N-m+2}^{N} \frac{1}{q^i} \ge 1 - \frac{2}{q^{N-m+1}}$ .

# Describing the gadget

Let  $A \leq \Lambda(n,q)$  be an alternating matrix space, and let  $\mathbf{A} = (A_1, \dots, A_m) \in \Lambda(n,q)^m$  be an ordered linear basis of A. Let  $A \in T(n \times n \times m, \mathbb{F}_q)$  be the 3-way array constructed from A, i.e. the *i*th frontal slice of A is  $A_i$ .

We shall assume  $n = \Omega(1)$ , and  $q = n^{\Omega(1)}$  throughout the remainder of this section.

The form of the gadget. To describe the gadget, it is easier to view A from the lateral viewpoint. That is, for  $i \in [n]$ , let  $C_i = [A_1e_i, \ldots, A_me_i] \in M(n \times m, q)$ . Let  $\mathbf{C} = (C_1, \ldots, C_n) \in M(n \times m, q)^n$ . Then construct  $\mathbf{C}' = (C'_1, \ldots, C'_n)$ ,  $C'_i = \begin{bmatrix} C_i & 0 \\ 0 & G_i \end{bmatrix}$ , where  $G_i$  is of size  $6n \times 4n^2$ . For  $i \in [n]$ ,  $G_i = \begin{bmatrix} 0 & \ldots & 0 & H_i & 0 & \ldots & 0 \end{bmatrix}$ , where  $H_i$  is of size  $6n \times 4n$  in the ith block, and 0 denotes an all-zero matrix of size  $6n \times 4n$ . The  $H_i$  will be described below.

Note that from the frontal viewpoint of looking at A,  $G_i$ 's are inserted, vertically, below and behind A. So to preserve the alternating structure,  $-G_i$ 's also need to be inserted, horizontally, on the right and behind A. We therefore get  $\tilde{A}$ , which is of size  $7n \times 7n \times (m+4n^2)$ .

Conditions imposed on the  $H_i$ 's. Of course, the key to the construction above lies in the properties of the  $H_i$ 's. Let  $V_i \leq \mathbb{F}_q^{6n}$  be the subspace spanned by the columns of  $H_i$ . We shall impose the following conditions on  $H_i$ .

- 1. For any  $i \in [n]$ ,  $\operatorname{rk}(H_i) = \dim(V_i) = 4n$ .
- **2.** For any  $i, j \in [n], i \neq j$ ,  $rk([H_iH_j]) = dim(V_i \cup V_j) = 6n$ .
- **3.** For any  $(i_1, i_2, i_3, i_4, i_5, i_6) \in [n]^6$  and  $(j_1, j_2, j_3, j_4, j_5, j_6) \in [n]^6$ , such that  $|\{i_1, \dots, i_6\} \cup \{j_1, \dots, j_6\}| = 12$ , i.e.  $i_k$  and  $j_\ell$  all different, the coset  $C = \{T \in GL(6n, q) : \forall k \in [6], T(V_{i_k}) = V_{j_k}\}$  is empty. Note that for any  $i \in [n], T(V_i)$  is spanned by the columns of  $TH_i$ .
- **4.** For any  $(i_1, i_2, i_3, i_4, i_5, i_6) \in [n]^6$ ,  $i_k$  all different, the group  $S = \{T \in GL(6n, q) : \forall k \in [6], T(V_{i_k}) = V_{i_k}\}$  consists of only of scalar matrices.
- ▶ Remark 14. Given  $H_1, \ldots, H_n \in M(6n \times 4n, q)$ , whether they satisfy the four conditions can be verified in polynomial time.

Conditions (1) and (2) are easily verified in deterministic polynomial time.

For condition (3), it can be formulated as a linear algebraic problem as follows. Let X be a  $6n \times 6n$  variable matrix. Let  $Y_k$ ,  $k \in [6]$ , be  $4n \times 4n$  variable matrices. Set up the equations  $XH_{i_k} = H_{j_k}Y_k$ , and solve the linear equations to get a subspace of  $\mathbb{F}_q^{(6n)^2 + 6 \cdot (4n)^2}$ . The question is then whether this subspace contains  $(T, R_1, \dots, R_6)$  where  $T \in GL(6n, q)$  and  $R_i \in GL(4n, q)$ . This is an instance of the symbolic determinant identity testing (SDIT) problem, so it admits a randomized efficient algorithm when  $q = n^{\Omega(1)}$ .

In fact, this instance of SDIT problem can be solved in deterministic polynomial time. For this let us also check out condition (4). Here, let X and  $Y_i$  be from above, and set up the equations  $XH_{i_k} = H_{i_k}Y_k$ . Solve the linear equations to get a subspace of  $\mathbb{F}_q^{(6n)^2+6\cdot(4n)^2}$ . This subspace turns out to be an algebra under the natural multiplications. Indeed, if  $AH_{i_k} = H_{i_k}B_k$  and  $A'H_{i_k} = H_{i_k}B'_k$ , then  $AA'H_{i_k} = H_{i_k}B_kB'_k$ . To compute the unit group in a matrix algebra can be solved by a polynomial-time Las Vegas algorithm by [16]. Given the unit group, whether it consists of only scalar matrices can be verified easily in deterministic polynomial time.

Then the linear space in condition (3) is a module over the algebra defined in the last paragraph. Because of this structure, the SDIT problem for such instances can be solved in deterministic polynomial time [14, 19, 37].

# 5.3 Construction and properties of the gadget

The following three propositions reveal the construction and functions of the gadget described above.

First about the construction. Instead of constructing the above  $H_i$ 's explicitly in a deterministic way, we shall show that random choices suffice.

▶ Proposition 15. Let  $H_i \in M(6n \times 4n, q)$ ,  $i \in [n]$ , be random matrices. Then  $H_i$ 's satisfy the four conditions in Section 5.2 with probability  $\geq 1 - \frac{n^{O(1)}}{q^{\Omega(1)}}$ .

Second about the functionality. The following proposition formally explains this.

▶ Proposition 16. Suppose A and B are two 3-tensors constructed from ordered bases of m-dimensional alternating matrix spaces  $\mathcal{A}, \mathcal{B} \leq \Lambda(n,q)$ . Let  $\tilde{A}$  and  $\tilde{B}$  be constructed as above, and let  $\tilde{\mathcal{A}}$  and  $\tilde{\mathcal{B}}$  be the alternating matrix spaces spanned by the frontal slices of  $\tilde{A}$  and  $\tilde{\mathcal{B}}$ , respectively. Then  $\mathcal{A}$  and  $\mathcal{B}$  are isometric via a diagonal matrix if and only if  $\tilde{\mathcal{A}}$  and  $\tilde{\mathcal{B}}$  are isometric.

Finally we shall use this gadget to achieve a counting-to-decision reduction for Alternating Matrix Space Isometry. Formally, we have the following.

▶ Proposition 17. Suppose we are given  $\mathcal{A}, \mathcal{B} \leq \Lambda(n,q)$  and a decision oracle for Alternating Matrix Space Isometry. Then there exists a Las Vegas randomized algorithm that computes the number of isometries from  $\mathcal{A}$  to  $\mathcal{B}$  in time  $q^{O(n)}$ .

The next three subsections are devoted to the proofs of Propositions 15 (Section 5.3.3), 16 (Section 5.3.1), and 17 (Section 5.3.2). Note that, because the proof of Proposition 15 is more complicated compared to the other two, we postpone it to the last.

▶ Remark 18. In fact, we expect that this construction works even for small finite fields. The bottleneck lies in Proposition 15. If the probability  $\frac{n^{O(1)}}{q^{\Omega(1)}}$  could be improved to  $\frac{n^{O(1)}}{q^{\Omega(n)}}$ , then we would be done. We believe it possible to utilize the structure of invariant subspaces under matrix actions over  $\mathbb{F}_q$  to achieve this. However, we expect that the calculations will be tedious and heavy, so we hope to leave this to a future work.

## 5.3.1 Restricting to the diagonal group

Briefly speaking, conditions 1 and 2 ensure that we first restrict to monomial matrices. Conditions 3 and 4 prevent non-trivial permutations due to the following. As we assume  $n = \Omega(1)$ , by Observation 12,  $\sigma \in S_n$  either fixes 6 elements in [n], or moves a set of 6 elements to another, disjoint, set of 6 elements. Condition 3 ensures that the second case could not happen. Condition 4 ensures that in the first case, the only possible invertible matrices that "preserves" the matrices  $G_i$  for  $i \in P$  when multiplying from the left are scalar matrices.

We now prove Proposition 16.

**Proof of Proposition 16.** Recall that we construct such  $\tilde{A}$  and  $\tilde{B}$  from A and B, respectively, using the method in Section 5.2. Let  $\tilde{A}$  and  $\tilde{B}$  be alternating matrix spaces in  $\Lambda(7n,q)$ , spanned by the frontal slices of  $\tilde{A}$  and  $\tilde{B}$ , respectively.

We want to show that  $\tilde{\mathcal{A}}$  and  $\tilde{\mathcal{B}}$  are isometric if and only if  $\mathcal{A}$  and  $\mathcal{B}$  are isometric via diagonal matrices. The if direction is straightforward. Suppose there exist  $P = \operatorname{diag}(\alpha_1, \dots, \alpha_n) \in \operatorname{diag}(n,q)$  and  $Q \in \operatorname{GL}(m,q)$  such that  $P^t A P = B^Q$ . Let  $\tilde{P} = \begin{bmatrix} P & 0 \\ 0 & I_{6n} \end{bmatrix} \in \operatorname{GL}(7n,q)$ . Let

$$\tilde{Q} = \begin{bmatrix} Q & 0 \\ 0 & Q' \end{bmatrix} \in GL(m+4n^2)$$
, where  $Q' = \operatorname{diag}(\alpha_1 I_{4n}, \dots, \alpha_n I_{4n})$ . Then it is easy to verify that  $\tilde{P}^t \tilde{\mathbb{A}} \tilde{P} = \tilde{\mathbb{B}}^{\tilde{Q}}$ .

Now we turn to the only if direction. If  $\tilde{\mathbf{A}}$  and  $\tilde{\mathcal{B}}$  are isometric, then there exists  $\tilde{P} \in \mathrm{GL}(7n,q)$  and  $\tilde{Q} \in \mathrm{GL}(m+4n^2,q)$ , such that  $\tilde{P}^t\tilde{\mathbf{A}}\tilde{P}=\tilde{\mathbf{B}}^{\tilde{Q}}$ . Let  $\tilde{P}=\begin{bmatrix}P_{1,1}&P_{1,2}\\P_{2,1}&P_{2,2}\end{bmatrix}$ , where  $P_{1,1}$  is of size  $n\times n$ . It can be checked easily, from the lateral viewpoint, that  $P_{1,2}=0$ . As

if not, then some  $H_i$  would appear in one of the last 6n lateral slices in  $\tilde{A}\tilde{P}$ . This would set this slice to be of rank  $\geq 4n$  by condition (1), which contradicts that the corresponding lateral slice of  $\tilde{B}^{\tilde{Q}}$  is of rank  $\leq n$ . It follows that  $P_{1,1} \in GL(n,q)$  and  $P_{2,2} \in GL(6n,q)$ .

We first claim that  $P_{1,1}$  has to be a monomial matrix. If not, then one of the first n lateral slice of  $\tilde{A}\tilde{P}$  has two distinct  $H_i$  and  $H_j$ . By condition (2), this slice is of rank  $\geq 6n$ , which contradicts that the corresponding lateral slice of  $\tilde{B}^{\tilde{Q}}$  is of rank  $\leq 5n$ .

We further claim that  $P_{1,1}$  has to be a diagonal matrix. If not, then suppose the non-trivial permutation underlying  $P_{1,1}$  is  $\sigma \in S_n$ . Since we assumed  $n = \Omega(1)$ , by Observation 12, one of the following two cases has to happen.

■  $\exists \{i_1, \ldots, i_6\} \subseteq [n], \{j_1, \ldots, j_6\} \subseteq [n], |\{i_1, \ldots, i_6\} \cup \{j_1, \ldots, j_6\}| = 12$ , such that  $\sigma(i_k) = j_k$  for  $k \in [6]$ . We then claim the following.

ightharpoonup Claim 19. For  $\tilde{P}^t \tilde{\mathtt{A}} \tilde{P} = \tilde{\mathtt{B}}^{\tilde{Q}}$  to hold, a necessary condition is that  $\forall k \in [6], P_{2,2} H_{j_k}$  and  $H_{i_k}$  have the same linear span.

Proof. To see this, note that the  $i_k$ th lateral slice of  $\tilde{P}^t\tilde{\mathbf{A}}\tilde{P}$  is the  $j_k$ th lateral slice of  $\tilde{P}^t\tilde{\mathbf{A}}$  (up to a scalar multiple). It is equal to the  $i_k$ th lateral slice of  $\tilde{\mathbf{B}}^{\bar{Q}}$ . Then  $\tilde{P}^t$  acts on the left on the  $j_k$ th lateral slice of  $\tilde{\mathbf{A}}$ . Noting that  $P^t = \begin{bmatrix} P_{1,1}^t & P_{2,1}^t \\ 0 & P_{2,2}^t \end{bmatrix}$  and the  $j_k$ th lateral slice of  $\tilde{\mathbf{A}}$  is  $C'_{j_k} = \begin{bmatrix} C_{j_k} & 0 \\ 0 & G_{j_k} \end{bmatrix}$ , we see that  $P^tC'_{j_k} = \begin{bmatrix} * & * \\ 0 & P_{2,2}^tG_{j_k} \end{bmatrix}$ . (Here,  $C_i$  and  $G_i$  are defined in Section 5.2.) On the other hand, we see that the  $i_k$ th lateral slice of  $\tilde{\mathbf{B}}^{\bar{Q}}$  is the  $i_k$ th lateral slice multiplied from the right by  $\tilde{Q}$ . Our claim follows then by comparing the last 6n rows.

But the condition (3) excludes the existence of such  $P_{2,2}$ , so this cannot happen.

■  $\exists \{i_1, \ldots, i_6\} \subseteq [n], i_k$  all different, such that  $\sigma(i_k) = i_k$ . In this case, for  $\tilde{P}^t \tilde{\mathbb{A}} \tilde{P} = \tilde{\mathbb{B}}^{\tilde{Q}}$  to hold, by the same argument as in the proof of Claim 19, a necessary condition is that  $P_{2,2}H_{i_k}$  and  $H_{i_k}$  have the same linear span. Then the condition (4) ensures that  $P_{2,2} = \lambda I_{6n}$  for some  $\lambda \neq 0 \in \mathbb{F}$  in this setting. Then because  $\sigma$  is non-trivial,  $\sigma$  moves some  $i \in [n]$  to  $j \in [n], i \neq j$ . By comparing the jth lateral slice of  $\tilde{P}^t \tilde{\mathbb{A}}$  and the ith lateral slice of  $\tilde{\mathbb{B}}^{\tilde{Q}}, P_{2,2}H_i = \lambda H_i$  and  $H_j$  have the same linear span, which is not possible because the condition (2) ensures that  $H_i$  and  $H_j$  span different subspaces.

We then have shown that  $P_{1,1}$  must be a diagonal matrix. By comparing the top-left-front sub-tensors of size  $n \times n \times m$  of  $\tilde{P}^t \tilde{\mathbf{A}} \tilde{P}$  and  $\tilde{\mathbf{B}}^{\tilde{Q}}$ , we arrive at the desired conclusion that  $\mathcal{A}$  and  $\mathcal{B}$  are isometric via the diagonal matrix  $P_{1,1}$ .

# 5.3.2 Using the gadget for counting-to-decision reduction

The strategy follows closely the counting to decision reduction for graph isomorphism.

We first review the strategy for counting to decision reduction for graph isomorphism [54]. Suppose we are given two graphs with the vertex set being [n], i.e.  $G, H \subseteq {[n] \choose 2}$ . We first use the decision oracle to decide whether G and H are isomorphic. If not, the number of isomorphisms is 0. If so, we turn to compute the order of  $\operatorname{Aut}(G)$ . Let  $A = \operatorname{Aut}(G)$ . For  $i \in [n]$ , let  $A_i = \{\sigma \in A : \forall 1 \leq j \leq i, \sigma(j) = j\}$ . Set  $A_0 = A$ . We then have the tower of subgroups  $A_0 \geq A_1 \geq \cdots \geq A_n = \{\operatorname{id}\}$ . The order of  $A_0$  is then the product of  $[A_i : A_{i+1}]$ , the index of  $A_{i+1}$  in  $A_i$ , for  $i = 0, 1, \ldots, n-1$ . Let  $A_i$  be the graph with the first i vertices in  $A_i$  individualized. Then  $\operatorname{Aut}(G_i) \cong A_i$ . To compute  $A_i$  in  $A_i$ , we note that it is equal to the size of the orbit of the vertex i+1 under  $A_i$ . For each  $i \geq i+1$ , construct from  $A_i$  two

graphs  $G'_i$  and  $G''_i$  as follows. In  $G'_i$ , individualize i+1, and in  $G''_i$ , individualize j. Then j is in the orbit of i+1 under  $A_i$  if and only if  $G'_i$  and  $G''_i$  are isomorphic. Enumerating over  $j \geq i+1$  gives us the size of the orbit of i+1 under  $A_i$ . This finishes an overview of the idea for counting to decision reduction for graph isomorphism.

We then apply the above strategy to get a counting to decision reduction for alternating matrix space isometry to prove Proposition 17.

**Proof of Proposition 17.** Our goal is to compute the number of isomorphisms from  $\mathcal{A}$  to  $\mathcal{B}$ , where  $\mathcal{A}, \mathcal{B} \leq \Lambda(n,q)$  are of dimension m. First, we use the decision oracle first to decide whether  $\mathcal{A}$  and  $\mathcal{B}$  are isometric. If not, the number of isometries is 0. If so, we need to caculate the order of the autometry group of  $\mathcal{A}$ ,  $\operatorname{Aut}(\mathcal{A})$ . To do that, we first randomly sample n  $6n \times 4n$  matrices  $H_1, \ldots, H_n$  over  $\mathbb{F}_q$ , and verify whether they satisfy the four conditions in Section 5.2 using Remark 14. Note that this is where the algorithm needs to be a Las Vegas algorithm.

Let  $A = \operatorname{Aut}(\mathcal{A})$ . Recall that  $e_i$  denotes the ith standard basis vector in  $\mathbb{F}_q^n$ . For  $i \in [n]$ , let  $A_i = \{T \in A : \forall 1 \leq j \leq i, T(e_i) = \lambda_i e_i, \lambda_i \neq 0 \in \mathbb{F}_q\}$ . Note that  $A_n = A \cap \operatorname{diag}(n, q)$ . We can calculate the order of  $A_n$  in time  $q^{O(n)}$  by brute-force, i.e., enumerating all invertible diagonal matrices. Set  $A_0 = A$ . We then have the tower of subgroups  $A_0 \geq A_1 \geq \cdots \geq A_n$ .

To compute the order of  $A_0$ , it is enough to compute  $[A_i:A_{i+1}]$ . Note that for  $T,T'\in A_i$ ,  $TA_{i+1}=T'A_{i+1}$  as left cosets in  $A_i$  if and only if  $T(e_{i+1})=\lambda T'(e_{i+1})$  for some  $\lambda\neq 0\in \mathbb{F}_q$ . So  $[A_i:A_{i+1}]$  is equal to the size of the orbit of  $e_{i+1}$  under  $A_i$  in the projective space. Let  $v\in \mathbb{F}_q^n$ . To test whether v is in the orbit of  $e_{i+1}$  under  $A_i$  in the projective space, we tranform  $\mathcal{A}$  by  $P^t\cdot P$ , where  $P\in \mathrm{GL}(n,q)$  sends  $e_{i+1}$  to v and  $e_j$  to  $e_j$  for  $j\neq i+1$ , to get  $\mathcal{A}'$ . We then add the diagonal restriction gadget to the first i+1 lateral slices and the first i+1 horizontal slices of  $\mathcal{A}$  and  $\mathcal{A}'$ , to obtain  $\tilde{\mathcal{A}}$  and  $\tilde{\mathcal{A}}'$  respectively. Then feed  $\mathcal{A}$  and  $\mathcal{A}'$  to the decision oracle. By the functionality of the diagonal restriction gadget, v is in the orbit of  $e_{i+1}$  in the projective space if and only if  $\tilde{\mathcal{A}}$  and  $\tilde{\mathcal{A}}'$  are isometric. Enumerating  $v\in \mathbb{F}_q^n$  up to scalar multiples gives us the size of the orbit of  $e_{i+1}$  under  $A_i$  in the projective space. This finishes the description of the algorithm.

A small caveat in the above is that our gadget requires  $n = \Omega(1)$ , so we cannot start from  $A_0$  at the beginning. This issue can be revolved by noting that the order of  $A_c$ , for any constant c, can be computed in time  $q^{O(n)}$ , by enumerating all possible images of  $e_1, \ldots, e_c$  in time  $q^{O(n)}$ , adding the diagonal restriction gadget, and utilizing the decision oracle.

# 5.3.3 Random $H_i$ 's satisfy the requirements when $q=n^{\Omega(1)}$

In the following we will encounter random matrices over  $\mathbb{F}_q$  as well as random subspaces in  $\mathbb{F}_q^n$ . There is a subtle point which we want to clarify now. Let  $m \leq n$ . Note that there are  $\binom{n}{m}_q$  subspaces of  $\mathbb{F}_q^n$ , and there are  $N_1 = (q^n - 1) \cdot \ldots \cdot (q^n - q^{m-1})$  rank-m matrices of size  $n \times m$ . It can be seen easily that each m-dimensional subspace V of  $\mathbb{F}_q^n$  has  $N_2 = (q^m - 1) \cdot \ldots \cdot (q^m - q^{m-1})$  many representations as rank-m matrices of size  $n \times m$ , i.e. the columns of the matrix span V. It follows that we can work with random rank-m matrices of size  $n \times m$  as if we are working with random m-dimensional subspaces of  $\mathbb{F}_q^n$ . Such correspondences will be used implicitly for other structures, including direct sum decompositions.

Now let us get back to our question. We shall show that a random choice of  $H_i$ ,  $i \in [n]$ , would satisfy the four conditions we imposed on  $H_i$ 's. We will prove that for conditions k = 1, 2, 3,

 $\Pr[\text{random } H_i \text{ not satisfy condition } k] \leq \frac{n^{O(1)}}{q^{\Omega(n)}}.$ 

Once these hold, by a union bound, we have

$$\Pr[\exists i \in [3], \text{random } H_i \text{ not satisfy condition } i] \leq \frac{n^{O(1)}}{q^{\Omega(n)}}.$$

For condition (4), we will prove that

$$\Pr[\text{random } H_i \text{ not satisfy condition } 4 \mid H_i \text{ satisfy conditions } 1, 2, 3] \leq \frac{n^{O(1)}}{q^{\Omega(1)}}.$$

This then would allow us to conclude that when  $q = n^{\Omega(1)}$ , random  $H_i$ 's satisfy all the four conditions.

We examine the first three conditions one by one.

- 1. For condition (1), by Fact 13 (2), we have  $\Pr[\exists i \in [n], \text{rk}(H_i) < 4n] \leq n \cdot \Pr[\text{rk}(H_i) < 4n] \leq \frac{2n}{q^{2n+1}}$ .
- 2. For condition (2), noting that the block matrix  $(H_iH_j)$  is a random  $6n \times 8n$  matrix over  $\mathbb{F}_q$ , by Fact 13 (2), we have  $\Pr[\exists i \neq j \in [n], \operatorname{rk}((H_iH_j)) < 6n] \leq \binom{n}{2} \cdot \frac{2}{q^{8n-6n+1}} \leq \frac{n^2}{q^{2n+1}}$ .

  3. For condition (3), let  $I = (H_{i_1} \dots H_{i_6})$ , and  $J = (H_{j_1} \dots H_{j_6})$ . We see that C is
- 3. For condition (3), let  $I = (H_{i_1} \dots H_{i_6})$ , and  $J = (H_{j_1} \dots H_{j_6})$ . We see that C is non-empty if and only if there exists  $L \in GL(6n,q)$  and  $R_k \in GL(4n,q)$ ,  $k \in [6]$ , such that  $LH_{i_k}R_k = H_{j_k}$ . Note that the orbit of I under this group action is of size at most  $q^{(6n)^2+6\cdot(4n)^2} = q^{132n^2}$ . Since  $i_k$  and  $j_\ell$  are all different, the probability of J belonging to this orbit is  $\leq \frac{q^{132n^2}}{q^{144n^2}} = \frac{1}{q^{12n^2}}$ . We then have  $\Pr[\exists i_k, j_k \in [n], k \in [6], i_k, j_k$  all different,  $C = \emptyset] \leq \binom{n}{12} \frac{2}{q^{12n^2}} \leq \frac{n^{12}}{q^{12n^2}}$ .

We now focus on condition (4). For condition (4), we first assume that the conditions (1) and (2) as above hold. Then  $V_i$ 's are random 4n-dimensional subspaces of  $\mathbb{F}_q^{6n}$ . Note that

$$\Pr[\exists i_k \in [n], k \in [6], i_k \text{ all different}, S \text{ non-scalar}] \leq n^6 \cdot \Pr[S \text{ non-scalar for } V_1, \dots, V_6].$$

So we turn to study  $\Pr[S \text{ non-scalar for } V_1, \ldots, V_6]$ , and will show that it is  $\leq \frac{1}{q^{\Omega(1)}}$ .

Let  $U_1 = V_1 \cap V_2$ ,  $U_2 = V_2 \cap V_3$ , and  $U_3 = V_1 \cap V_3$ . Let  $W_1 = V_4 \cap V_5$ ,  $W_2 = \mathring{V}_5 \cap V_6$ , and  $W_3 = V_4 \cap V_6$ . Since conditions (1) and (2) hold, we have  $\dim(U_i) = \dim(W_i) = 2n$ . We claim that with probability  $\geq 1 - 2/q$ ,  $\mathbb{F}_q^{6n} = U_1 \oplus U_2 \oplus U_3$ , i.e.,  $U_1 \cup U_2 \cup U_3$  span  $\mathbb{F}_q^{6n}$ . This can be seen as follows. Since we assumed conditions (1) and (2), this happens if and only if  $V_1 \cap V_2$  and  $V_3$  together span  $\mathbb{F}_q^{6n}$ . Therefore we calculate, using Fact 13 (1), (3), and (5), that

$$\begin{split} & \Pr[V_3 \text{ is a complement subspace of } V_1 \cap V_2] \\ &= q^{2n \cdot 4n} / \binom{6n}{4n}_q = \frac{(q^{6n} - q^{2n})(q^{6n} - q^{2n+1}) \dots (q^{6n} - q^{6n-1})}{(q^{6n} - 1)(q^{6n} - q) \dots (q^{6n} - q^{4n-1})} \\ &\geq \frac{(q^{6n} - q^{2n})(q^{6n} - q^{2n+1}) \dots (q^{6n} - q^{6n-1})}{q^{6n} \cdot q^{6n} \cdot \dots \cdot q^{6n}} = (1 - 1/q^{4n})(1 - 1/q^{4n-1}) \dots (1 - 1/q) \\ &\geq 1 - \sum_{i=1}^{4n} 1/q^i \geq 1 - 2/q. \end{split}$$

It follows that with probability  $\geq 1 - 4/q$ , we can assume in addition that  $W_i$  form a direct sum decomposition of  $\mathbb{F}_q^{6n}$ .

Therefore, we turn to bound the probability that there exists a non-scalar invertible matrix stabilizing these two direct sum decompositions of  $\mathbb{F}_q^{6n}$ . Since  $i_k$  are all different, the two direct sum decompositions  $U_1 \oplus U_2 \oplus U_3$  and  $W_1 \oplus W_2 \oplus W_3$  are independent.

So we can assume that  $U_i$  is spanned by those standard basis vectors  $e_{2n(i-1)+1}, \ldots, e_{2ni}, i=1,2,3$ . The group that stabilizes this direct sum decomposition  $U_1 \oplus U_2 \oplus U_3$  consists of

$$\begin{bmatrix} D_1 & 0 & 0 \\ 0 & D_2 & 0 \\ 0 & 0 & D_3 \end{bmatrix} \in \mathrm{GL}(6n, \mathbb{F}_q) \text{ where } D_i \text{ is of size } 2n \times 2n.$$

The question then becomes to bound the probability for a random  $W_1 \oplus W_2 \oplus W_3$  to be stabilized by a non-scalar matrix of the above form. This can be formulated as the following linear algebraic problem. (Recall the correspondence between random m-dimensional subspaces and random rank-m matrices as discussed at the beginning of the

subsection.) Let 
$$W = \begin{bmatrix} W_{11} & W_{12} & W_{13} \\ W_{21} & W_{22} & W_{23} \\ W_{31} & W_{32} & W_{33} \end{bmatrix} \in \mathrm{GL}(6n,q)$$
 be a block matrix where  $W_{ij}$  is of

size  $2n \times 2n$ . Suppose the columns of  $\begin{bmatrix} W_{1i} \\ W_{2i} \\ W_{3i} \end{bmatrix}$  span  $W_i$ . Then  $D = \text{diag}(D_1, D_2, D_3)$  stabilizes

 $W_1 \oplus W_2 \oplus W_3$  if and only if there exists a block diagonal matrix  $E = \text{diag}(E_1, E_2, E_3)$ ,  $E_i \in \text{GL}(2n, q)$ , such that

$$\begin{bmatrix} D_1 & 0 & 0 \\ 0 & D_2 & 0 \\ 0 & 0 & D_3 \end{bmatrix} \begin{bmatrix} W_{11} & W_{12} & W_{13} \\ W_{21} & W_{22} & W_{23} \\ W_{31} & W_{32} & W_{33} \end{bmatrix} = \begin{bmatrix} W_{11} & W_{12} & W_{13} \\ W_{21} & W_{22} & W_{23} \\ W_{31} & W_{32} & W_{33} \end{bmatrix} \begin{bmatrix} E_1 & 0 & 0 \\ 0 & E_2 & 0 \\ 0 & 0 & E_3 \end{bmatrix}.$$
 (5)

Note that each direct sum decomposition  $W_1 \oplus W_2 \oplus W_3$ ,  $\dim(W_i) = 2n$ , has  $6 \cdot |\mathrm{GL}(2n,q)|^3$  such matrix representations. (The factor 6 takes care of the orders of the three summands.) So the question becomes to bound the probability for a random invertible matrix to have a non-scalar D and E satisfying Equation 5.

First, note that Equation 5 holds if and only if  $D_iW_{i,j} = W_{i,j}E_j$  for  $i, j \in [3]$ .

$$\triangleright$$
 Claim 20. When  $q = \Omega(1)$ , we have  $\Pr[\forall i, j \in [3], \operatorname{rk}(W_{i,j}) = 2n] \ge 1 - \frac{20}{a}$ .

Proof. Let us work in the setting when W is a random matrix, not necessarily the one above. Then  $\Pr[\operatorname{rk}(W) = 6n] \geq 1 - \frac{2}{q}$ . For any  $i, j \in [3]$ ,  $\Pr[\operatorname{rk}(W_{i,j}) < 2n] \leq \frac{2}{q}$ , so  $\Pr[\exists i, j \in [3], \operatorname{rk}(W_{i,j}) < 2n] \leq \frac{18}{q}$ . It follows that  $\Pr[\exists i, j \in [3], \operatorname{rk}(W_{i,j}) < 2n \mid \operatorname{rk}(W) = 6n] = \Pr[\exists i, j \in [3], \operatorname{rk}(W_{i,j}) < 2n \wedge \operatorname{rk}(W) = 6n] / \Pr[\operatorname{rk}(W) = 6n] \leq \frac{18/q}{1-2/q} = \frac{18}{q-2} \leq \frac{20}{q}$ , where the last inequality uses that  $q = \Omega(1)$ .

So we assume that  $\operatorname{rk}(W_{i,j}) = 2n$  for all  $i, j \in [3]$  in the following, with a loss of probability  $\leq \frac{20}{a}$ .

For  $i \in [3]$ , by  $D_i W_{ii} = W_{ii} E_i$ , we have  $D_i = W_{ii} E_i W_{ii}^{-1}$ . For  $i \neq j$ , by  $(W_{jj} E_j W_{jj}^{-1}) W_{ji} = D_j W_{ji} = W_{ji} E_i$ , we have  $E_j = W_{jj}^{-1} W_{ji} E_i W_{ji}^{-1} W_{jj}$ . Again for  $i \neq j$ , we have  $W_{ii} E_i W_{ii}^{-1} W_{ij} = D_i W_{ij} = W_{ij} E_j = W_{ij} W_{jj}^{-1} W_{ji} E_i W_{ji}^{-1} W_{jj}$ . It follows that

$$\forall i, j \in [3], i \neq j, E_i W_{ii}^{-1} W_{ij} W_{ij}^{-1} W_{ji} = W_{ii}^{-1} W_{ij} W_{jj}^{-1} W_{ji} E_i.$$

In particular,  $E_3$  commutes with  $X = W_{33}^{-1}W_{32}W_{22}^{-1}W_{23}$  and  $Y = W_{33}^{-1}W_{31}W_{11}^{-1}W_{13}$ . Since  $W_{ij}$  are independent random invertible matrices, X and Y are independent random invertible matrices. We now resort to the following classical result.

▶ Theorem 21 ([41], cf. also [23,40]). Let X and Y be two random matrices in SL(n,q). Then the probability of X and Y not generating SL(n,q) is  $\leq \frac{1}{q^{\Omega(n)}}$ .

Back to our setting, the above theorem implies that the group G generated by random X and Y from GL(2n,q) contains SL(2n,q) with probability  $\geq 1 - \frac{1}{q^{\Omega(n)}}$ . It follows that  $E_3$  belongs to the centralizer of G, so  $E_3$  must be a scalar matrix. Then note that  $D_i$ 's and other  $E_i$ 's are all conjugates of  $E_3$ . So we have  $\forall i \in [3]$ ,  $D_i = E_i = \lambda I_{2n}$  for some  $\lambda \neq 0 \in \mathbb{F}_q$ .

Summarizing the above, we have

$$\begin{split} &\operatorname{Pr}[S \text{ non-scalar for } V_1, \dots, V_6] \\ &\leq &\operatorname{Pr}[S \text{ non-scalar for } V_i \wedge \mathbb{F}_q^{6n} = U_1 \oplus U_2 \oplus U_3 = W_1 \oplus W_2 \oplus W_3] + \frac{4}{q} \\ &\leq &\operatorname{Pr}[S \text{ non-scalar for } V_i \mid \mathbb{F}_q^{6n} = U_1 \oplus U_2 \oplus U_3 = W_1 \oplus W_2 \oplus W_3] + \frac{4}{q} \\ &\leq &\operatorname{Pr}[D \text{ non-scalar for } W \wedge \forall i,j \in [3], \operatorname{rk}(W_{ij}) = 2n] + \frac{20}{q} + \frac{4}{q} \\ &\leq &\operatorname{Pr}[D \text{ non-scalar for } W \mid \forall i,j \in [3], \operatorname{rk}(W_{ij}) = 2n] + \frac{24}{q} \\ &\leq &\frac{1}{q^{\Omega(n)}} + \frac{24}{q} \\ &\leq &\frac{1}{q^{\Omega(1)}}, \end{split}$$

when  $q = n^{\Omega(1)}$ . This concludes the proof of Proposition 15.

# 6 Application to p-Group Isomorphism, using constructive Baer and Lazard Correspondences

The applications to p-Group Isomorphism rely on the following well-known connections between alternating bilinear maps and Lie algebras on the one hand, and p-groups of "small" class on the other. We present these connections here, partly for audiences not from computational group theory, and partly because we will need to address some computational aspects of these procedures. We begin with some preliminaries.

# 6.1 Preliminaries

**TI-completeness.** As the proof of Theorem P in Section 6.3.1 uses a result on TI-completeness from [32], here we recall the definition of TI; see Definition 6 for the *d*-Tensor Isomorphism problem.

▶ Definition 22 (dTI, TI). For any field  $\mathbb{F}$ ,  $d\mathsf{T}I_{\mathbb{F}}$  denotes the class of problems that are polynomial-time Turing (Cook) reducible to d-Tensor Isomorphism over  $\mathbb{F}$ . Also let  $\mathsf{T}I_{\mathbb{F}} = \bigcup_{d \geq 1} d\mathsf{T}I_{\mathbb{F}}$ .

The relationship between TI over different fields remains an intriguing open question [32], but here we will only need TI over  $\mathbb{F}_p$ . One of the main results of [32] is that TI = dTI for any fixed  $d \geq 3$ .

**Algebras and their algorithmic representations.** A Lie algebra  $\mathcal{A}$  consists of a vector space V and a bilinear map  $[,]:V\times V\to V$  that is alternating ([v,v]=0 for all  $v\in V$ ; this is equivalent to skew-symmetry [u,v]=-[v,u] in characteristic not 2) and satisfies the Jacobi identity [x,[y,z]]+[z,[x,y]]+[y,[z,x]]=0. The Jacobi identity is essentially the "derivative" of associativity.

After choosing an ordered basis  $(b_1, \ldots, b_n)$  where  $b_i \in \mathbb{F}^n$  of  $V \cong \mathbb{F}^n$ , this bilinear map [,] can be represented by an  $n \times n \times n$  3-way array A, such that  $[b_i, b_j] = \sum_{k \in [n]} A(i, j, k) b_k$ . This is the structure constant representation of  $\mathcal{A}$ . Algorithms for Lie algebras have been studied intensively in this model, e.g., [21, 38].

It is also natural to consider matrix spaces that are closed under commutator. More specifically, let  $\mathcal{A} \leq \mathrm{M}(n,\mathbb{F})$  be a matrix space. If  $\mathcal{A}$  is closed under commutator, that is, for any  $A, B \in \mathcal{A}$ ,  $[A, B] = AB - BA \in \mathcal{A}$ , then  $\mathcal{A}$  is a matrix Lie algebra with the product being the commutator. (Protip: one way to remember the Jacobi identity is to derive it as the natural identity among nested commutators of three matrices.) Algorithms for matrix Lie algebras have also been studied, e.g., [24,36,38].

# 6.2 Constructive Baer Correspondence and Theorems A and B

Let us review Baer's Correspondence [7], which connects alternating bilinear maps with p-groups of class 2 and exponent p. Let P be a p-group of class 2 and exponent p, p > 2. Suppose the commutator subgroup  $[P,P] \cong \mathbb{Z}_p^m$  and  $P/[P,P] \cong \mathbb{Z}_p^n$ . Then the commutator map  $[,]: P/[P,P] \times P/[P,P] \to [P,P]$  is an alternating bilinear map. Conversely, let  $\phi: \mathbb{Z}_p^n \times \mathbb{Z}_p^n \to \mathbb{Z}_p^m$  be an alternating bilinear map. Then a p-group of class 2 and exponent p, denoted as  $P_{\phi}$  can be defined as follows. The group elements are from  $\mathbb{Z}_p^n \times \mathbb{Z}_p^m$ , and the group product  $\cdot$  is defined as

$$(u,v)\cdot(u',v')=(u+u',v+v'+\frac{1}{2}\phi(u,u')).$$

We say that  $(A, B) \in GL(n, p) \times GL(m, p)$  is a pseudo-autometry of  $\phi$ , if  $\phi = B \circ \phi \circ A$ . Wilson [71] elucidated the structure of  $Aut(P_{\phi})$  in terms of the pseudo-autometry group of  $\phi$ , that we denote  $\Psi Aut(\phi)$ . Here we recall the consequence of Wilson's result that we need for counting group isomorphisms.

▶ **Proposition 23** (Wilson [71, Prop. 3.8], see [15, Prop. 2.4] for notation closer to ours). For  $\phi: \mathbb{Z}_p^n \times \mathbb{Z}_p^n \to \mathbb{Z}_p^m$  an alternating bilinear map,

$$|\operatorname{Aut}(P_{\phi})| = |\Psi \operatorname{Aut}(\phi)| p^{nm},$$

where  $\Psi \text{Aut}(\phi)$  denotes the pseudo-autometry group of  $\phi$ .

We then state a lemma which can be viewed as a constructive version of Baer's Correspondence, communicated to us by James B. Wilson.

- ▶ Lemma 24 (Constructive version of Baer's Correspondence for matrix groups). Let p be an odd prime. Over the finite field  $\mathbb{F} = \mathbb{F}_{p^e}$ , ALTERNATING MATRIX SPACE ISOMETRY is equivalent to GROUP ISOMORPHISM for matrix groups over  $\mathbb{F}$  that are p-groups of class 2 and exponent p. More precisely, there are functions computable in time poly $(n, m, \log |\mathbb{F}|)$ :
- $G: \Lambda(n,\mathbb{F})^m \to \mathrm{M}(n+m+1,\mathbb{F})^{n+m}$  and
- $Alt: M(n, \mathbb{F})^m \to \Lambda(m, \mathbb{F})^{O(m^2)}$

such that: (1) for an alternating bilinear map  $\mathbf{A}$ , the group generated by  $G(\mathbf{A})$  is the Baer group corresponding to  $\mathbf{A}$ , (2) G and Alt are mutually inverse, in the sense that the group generated by  $G(Alt(M_1, \ldots, M_m))$  is isomorphic to the group generated by  $M_1, \ldots, M_m$ , and conversely  $Alt(G(\mathbf{A}))$  is pseudo-isometric to  $\mathbf{A}$ .

**Proof.** First, let G be a p-group of class 2 and exponent p given by m generating matrices of size  $n \times n$  over  $\mathbb{F}$ . Then from the generating matrices of G, we first compute a generating set of [G, G], by just computing all the commutators of the given generators. We can then

remove those redundant elements from this generating set in time poly( $\log |[G,G]|$ ,  $\log |\mathbb{F}|$ ), using Luks' result on computing with solvable matrix groups [51]. We then compute a set of representatives of a non-redundant generating set of G/[G,G], again using Luks's aforementioned result. From these data we can compute an alternating bilinear map representing the commutator map of G in time poly( $n, m, \log |\mathbb{F}|$ ).

Conversely, let an alternating bilinear map be given by  $\mathbf{A} = (A_1, \dots, A_m) \in \Lambda(n, \mathbb{F})^m$ . From  $\mathbf{A}$ , for  $i \in [n]$ , construct  $B_i = [A_1e_i, \dots, A_me_i] \in \mathbf{M}(n \times m, \mathbb{F})$ , where  $e_i$  is the *i*th standard basis vector of  $\mathbb{F}^n$ . That is, the *j*th column of  $B_i$  is the *i*th column of  $A_j$ . Then for  $i \in [n]$ , construct

$$\tilde{B}_{i} = \begin{bmatrix} 1 & e_{i}^{t} & 0\\ 0 & I_{n} & B_{i}\\ 0 & 0 & I_{m} \end{bmatrix} \in GL(1+n+m, \mathbb{F}),$$

where  $e_i \in \mathbb{F}^n$ , and for  $j \in [m]$ , construct

$$\tilde{C}_{j} = \begin{bmatrix} 1 & 0 & e_{j}^{t} \\ 0 & I_{n} & 0 \\ 0 & 0 & I_{m} \end{bmatrix} \in GL(1+n+m, \mathbb{F}),$$

where  $e_j \in \mathbb{F}^m$ . Let  $G(\mathbf{A})$  be the matrix group generated by  $\tilde{B}_i$  and  $\tilde{C}_j$ . Then it can be verified easily that,  $G(\mathbf{A})$  is isomorphic to the Baer group corresponding to the alternating bilinear map defined by  $\mathbf{A}$ . In particular,  $[G,G] \cong \mathbb{F}^m \cong \mathbb{Z}_p^{em}$  (isomorphism of abelian groups), and  $G/[G,G] \cong \mathbb{F}^n \cong \mathbb{Z}_p^{en}$ . This construction can be done in time poly $(n,m,\log |\mathbb{F}|)$ .

Given the above lemma, we can present search- and counting-to-decision reductions for testing isomorphism of a class of p-groups, proving Theorems A and B.

**Proof of Theorem A.** The search-to-decision reduction follows from Theorem A', using the  $q^{O(n+m)}$ -time algorithm, with the constructive version of Baer's Correspondence in the model of matrix groups over finite fields (Lemma 24).

In more detail, given Lemma 24 we can follow the procedure in the proof of Theorem A'. For the given p-groups, we compute their commutator maps. Then whenever we need to feed the decision oracle, we transform from the alternating bilinear map to a generating set of a p-group of class 2 and exponent p with this bilinear map as the commutator map. After getting the desired pseudo-isometry for the alternating bilinear maps, we can easily recover an isomorphism between the originally given p-groups.

**Proof of Theorem B.** For the counting-to-decision reduction, we basically follow the above routine, but with a twist, because of the minor distinction between alternating matrix space isometry, and alternating bilinear map pseudo-isometry. Let us briefly explain this issue. Suppose from an alternating bilinear map  $\phi: \mathbb{Z}_p^n \times \mathbb{Z}_p^n \to \mathbb{Z}_p^m$  we constructed the p-group  $P_{\phi}$  of class 2 and exponent p; by Proposition 23  $|\operatorname{Aut}(P_{\phi})| = p^{nm} |\Psi \operatorname{Aut}(\phi)|$ , so by multiplying the result by  $p^{nm}$ , it is necessary and sufficient to count the pseudo-autometries of  $\phi$ .

Towards that end, let  $(C_1, \ldots, C_m) \in \Lambda(n, p)$  be a matrix representation of  $\phi$ . If  $C_i$ 's are linearly independent, then for a pseudo-autometry  $(A, B) \in GL(n, p) \times GL(m, p)$ , given A there exists a unique B that makes (A, B) a pseudo-autometry. If  $C_i$ 's are not linearly independent, say the linear span of  $C_i$ 's is of dimension m', then the number of B such that (A, B) is a pseudo-autometry (assuming there are any) is  $|M((m-m') \times m', p)||GL(m-m', p)| = p^{m'(m-m')}|GL(m-m', p)|$ . To see this, suppose that we have taken linear combinations of the  $C_i$  so that  $C_1, \ldots, C'_m$  are linearly independent and  $C_{m'+1}, C_{m'+2}, \ldots, C_m$  are zero. Then

without changing the  $C_i$ , we may take any invertible linear combination among  $C_{m'+1}, \ldots, C_m$  (a copy of GL(m-m',p)), and we may add any linear combination of the last m-m' matrices to the first m' matrices (a copy of  $M((m-m')\times m',p))$ . The counting to decision reduction for Alternating Matrix Space Isometry computes the number of  $A \in GL(n,p)$  so that there exists some  $B \in GL(m,p)$  such that (A,B) is a pseudo-autometry. So it needs to be multiplied by a factor of  $p^{m'(m-m')}|GL(m-m',p)|$ .

# 6.3 Constructive Lazard's Correspondence and Theorem P

The Lazard Correspondence [46] is a correspondence between certain classes of groups and Lie algebras, which extends the usual correspondence between Lie groups and Lie algebras (say, over  $\mathbb{R}$ ) to some groups and Lie algebras in positive characteristic. Here we state just enough to give a sense of it; for further details and exposition we refer to Khukhro's book [43] and Naik's thesis [60]. While Naik's thesis is quite long, it also includes a reader's guide, and collects many results scattered across the literature or well-known to the experts in one place, building the theory from the ground up and with many examples.

Recall that a  $Lie\ ring$  is an abelian group L equipped with a bilinear map [,], called the Lie bracket, which is (1) alternating ([x,x]=0 for all  $x\in L)$  and (2) satisfies the Jacobi identity [x,[y,z]]+[y,[z,x]]+[z,[x,y]]=0 for all  $x,y,z\in L$  (in some sense the "derivative" of the associativity equation). Let  $L^1=L$ , and  $L^{i+1}=[L,L^i]$ , which is the subgroup (of the underlying additive group) generated by all elements of the form [x,y] for  $x\in L,y\in L^i$ . Then L is nilpotent if  $L^{c+1}=0$  for some finite c; the smallest such c is the  $nilpotency\ class$ . (Lie algebras are just Lie rings over a field.)

The correspondence between Lie algebras and Lie groups over  $\mathbb{R}$  uses the Baker–Campbell–Hausdorff (BCH) formula to convert between a Lie algebra and a Lie group, so we start there. The BCH formula is the solution to the problem that for non-commuting matrices  $X, Y, e^X e^Y \neq e^{X+Y}$  in general (where the matrix exponential here is defined using the power series for  $e^x$ ). Rather, using commutators [A, B] = AB - BA, we have

$$\exp(X) \exp(Y) = \exp\left(X + Y + \frac{1}{2}[X, Y] + \frac{1}{12}([X, [X, Y]] - [Y, [X, Y]]) + \cdots\right),$$

where the remaining terms are iterated commutators that all involve at least 4 Xs and Ys, and successive terms involve more and more. Applying the exponential function to a Lie algebra in characteristic zero yields a Lie group. The BCH formula can be inverted, giving the correspondence in the other direction.

In a nilpotent Lie algebra, the BCH formula has only finitely many nonzero terms, so issues of convergence disappear and we may consider applying the correspondence over finite fields or rings; the only remaining obstacle is that the denominators appearing in the formula must be units in the ring. It turns out that the correspondence continues to work in characteristic p so long as one does not need to use the p-th term of the BCH formula (which includes division by p), and the latter is avoided whenever a nilpotent group has class strictly less than p, or even when all subgroups generated by at most 3 elements have class strictly less than p. While the correspondence does apply more generally, here we only state the version for finite groups. For any fixed nilpotency class c, computing the Lazard Correspondence is efficient in theory; for how to compute it in practice when the groups are given by polycyclic presentations, see [20].

Let  $\mathbf{Grp}_{p,n,c}$  denote the set of finite groups of order  $p^n$  and class c, and let  $\mathbf{Lie}_{p,n,c}$  denote the set of Lie rings of order  $p^n$  and class c. We note that for nilpotency class 2, the Baer Correspondence is the same as the Lazard Correspondence.

▶ Theorem 25 (Lazard Correspondence for finite groups [46], see, e.g., [43, Ch. 9 & 10] or [60, Ch. 6]). For any prime p and any  $1 \le c < p$ , there are functions  $\log : \mathbf{Grp}_{p,n,c} \leftrightarrow \mathbf{Lie}_{p,n,c} : \mathbf{exp}$  such that (1)  $\log$  and  $\exp$  are inverses of one another, (2) two groups  $G, H \in \mathbf{Grp}_{p,n,c}$  are isomorphic if and only if  $\log(G)$  and  $\log(H)$  are isomorphic, and (3) if G has exponent p, then the underlying abelian group of  $\log(G)$  has exponent p. More strongly,  $\log$  is an isomorphism of categories  $\mathbf{Grp}_{p,n,c} \cong \mathbf{Lie}_{p,n,c}$ .

Part (3) can be found as a special case of [60, Lemma 6.1.2].

For p-groups given by  $d \times d$  matrices over the finite field  $\mathbb{F}_{p^e}$ , we will need one additional fact about the correspondence, namely that it also results in a Lie algebra of  $d \times d$  matrices. (Being able to bound the dimension of the Lie algebra and work with it in a simple linear-algebraic way seems crucial for our reduction to work efficiently.) In fact, the BCH Correspondence is easier to see for matrix groups using the matrix exponential and matrix logarithm; most of the work for BCH and Lazard is to get the correspondence to work even without the matrices. In some sense, this is thus the "original" setting of this correspondence. Though it is surely not new, we could not find a convenient reference for this fact about matrix groups over finite fields, so we state it formally here.

▶ Proposition 26 (cf. [43, Exercise 10.6]). Let  $G ext{ ≤ GL}(d, \mathbb{F}_{p^e})$  be a finite p-subgroup of exponent p, consisting of  $d \times d$  matrices over a finite field of characteristic p. Then  $\log(G)$  (from the Lazard Correspondence) can be realized as a finite Lie subalgebra of  $de \times de$  matrices over  $\mathbb{F}_p$ . Given a generating set for G of m matrices, a generating set for  $\log(G)$  can be constructed in  $poly(d, n, e \log p)$  time.

Khukhro [43] gives the characteristic zero analogue of this result (minus the straightforward complexity analysis) for the full group of upper unitriangular matrices as Exercise 10.6. One way to see Proposition 26 is to use the characteristic zero result, apply the fact that these isomorphisms are in fact equivalence of categories (and thus hold for subgroups/subalgebras as well), and note that the same formulae in characteristic zero apply in characteristic p so long as one never needs to divide by p. We now sketch the argument.

**Proof sketch.** First we use the standard embedding of  $\mathrm{GL}(d,\mathbb{F}_{p^e})$  into  $\mathrm{GL}(de,\mathbb{F}_p)$  (replace each element by an  $e\times e$  block which is the left regular representation of  $\mathbb{F}_{p^e}$  acting on itself as an e-dimensional  $\mathbb{F}_p$ -vector space), to realize G as a subgroup of  $\mathrm{GL}(de,\mathbb{F}_p)$ . G is conjugate in  $\mathrm{GL}(de,\mathbb{F}_p)$  to a group of upper unitriangular matrices (upper triangular with all 1s on the diagonal); this is a standard fact that can be seen in several ways, for example, by noting that the group U of all upper unitriangular matrices in  $\mathrm{GL}(de,\mathbb{F}_p)$  is a Sylow p-subgroup, and applying Sylow's Theorem. (Note that we do not need to do this conjugation algorithmically, though it is possible to do so [27,36,64]; this is only for the proof.) Thus we may write every  $g \in G$  as 1+n, where the sum here is the ordinary sum of matrices, 1 denotes the identity matrix, and n is strictly upper triangular. To see that we can truncate the Taylor series for logarithm before the p-th term (thus avoiding needing to divide by p), note that  $(1+n)^p=1$  since G is exponent g. We have  $(1+n)^p=1^p+\binom{p}{1}n+\binom{p}{2}n^2+\cdots+\binom{p}{p-1}n^{p-1}+n^p$ . Since these are matrices over a field of characteristic p, and  $p|\binom{p}{i}$  for all  $1\leq i\leq p-1$ , all the intermediate terms vanish and we have that  $(1+n)^p=1^p+n^p$ . Thus  $1=(1+n)^p=1+n^p$ , so we get that  $n^p=0$ . Thus, in the the Taylor series for the logarithm

$$\log(1+n) = n - \frac{n^2}{2} + \frac{n^3}{3} - \dots$$

the last nonzero term is  $n^{p-1}/(p-1)$ , so we may use this Taylor series even over  $\mathbb{F}_{p^e}$ .

The main things to check are that the set  $\log(G) := \{\log(1+n) : 1+n \in G\}$  is closed under scalar multiplication, matrix addition, and matrix commutator [X,Y] = XY - YX. Suppose  $g_1, g_2$  are matrices in G, and write them as  $g_i = 1 + n_i$  (i = 1, 2), as above. We recall that, because  $n_i^p = 0$  from above, the power series for both log and exp work to compute the matrix logarithm and exponential over  $\mathbb{F}_{p^e}$ , respectively, and that the usual rules of logarithms are satisfied for a single matrix A: whenever  $A \in M_{de}(\mathbb{F}_p)$  satisfies  $A^p = 0$ , we have  $\log \exp A = A$ ,  $\exp \log(1+A) = 1 + A$ ,  $\exp(nA) = (\exp A)^n$  for  $n \in \mathbb{Z}$ , and  $\log((1+A)^n) = n \log(1+A)$ .

- Scalar multiplication: For  $\alpha \in \mathbb{F}_p$ , we show that  $\alpha \log(1 + n_1)$  is in  $\log(G)$ . This is easy to show, as it follows directly from the rules of logarithms just mentioned:  $\alpha \log(1 + n_1) = \log((1 + n_1)^{\alpha})$  where on the right-hand side we treat  $\alpha$  as an integer in the range [0, p-1]. (This is the only point where we are using that we are working over  $\mathbb{F}_p$  now rather than  $\mathbb{F}_{p^e}$ .)
- Addition: Let  $x_i = \log(1 + n_i)$  for i = 1, 2. We want to show that  $x_1 + x_2$  is in  $\log(G)$ , or equivalently that  $\exp(x_1 + x_2) \in G$ . This follows from the first inverse BCH formula  $h_1$ , which satisfies  $\exp(\hat{x}_1 + \hat{x}_2) = h_1(\exp(\hat{x}_1), \exp(\hat{x}_2))$  for  $\hat{x}_i$  in the free nilpotent-of-class-c  $\mathbb{F}_p$ -Lie algebra, and then we may apply the homomorphism from the latter algebra to the subalgebra of  $M_n(\mathbb{F}_p)$  generated by the  $n_i$  to see that the same formula works. (We note, because a reviewer asked, that here we do not need this entire subalgebra to be in  $\{g-1:g\in G\}$ ; the use of that subalgebra is just convenient for talking about algebra homomorphisms in the proof. Rather, it suffices that the preceding equation holds for these particular elements  $n_i$ , which are by definition of the form  $g_i 1$  for some matrices  $g_i \in G$ .)
- Commutator:  $[\log(1+n_1), \log(1+n_2)]$ . A similar argument as in the previous case works, using the second inverse BCH formula  $h_2$ , which satisfies  $\exp([\hat{x}_1, \hat{x}_2]) = h_2(\exp(\hat{x}_1), \exp(\hat{x}_2))$ .

Equivalently, we may note that the derivation of the inverse BCH formulas in [43] uses a free nilpotent associative algebra as an ambient setting in which both the group (or rather, n such that 1 + n is in the group) and the corresponding Lie algebra live; in our case, we may replace the ambient free nilpotent associative algebra with the algebra of  $de \times de$  strictly upper-triangular matrices over  $\mathbb{F}_p$ , and all the derivations remain the same, mutatis mutandis. See, for example, [43, p. 105, "Another remark..."].

# 6.3.1 Class reduction in p-group isomorphism testing

Proposition 26 now allows us to prove Theorem P.

**Proof of Theorem P.** By the Lazard Correspondence (reproduced as Theorem 25) two p-groups of exponent p and class c < p are isomorphic if and only if their corresponding  $\mathbb{F}_p$ -Lie algebras are. By Proposition 26, we can construct a generating set for the corresponding  $\mathbb{F}_p$ -Lie algebra by applying the power series for logarithm to the generating matrices of G. This Lie algebra is thus a subalgebra of  $ne \times ne$  matrices over  $\mathbb{F}_p$ , so we can generate a basis for the entire Lie algebra (using the linear-algebra version of breadth-first search; its dimension is  $\leq (ne)^2$ ) and compute its structure constants in time polynomial in n, m, and  $e \log p$ . Then use [28] to reduce isomorphism of Lie algebras to 3-Tensor Isomorphism, and then use the fact that isomorphism of p-groups of exponent p and class 2 given by a matrix generating set over  $\mathbb{F}_p$  is TI-complete [32] to reduce to the latter problem.

# 7 Conclusion

In this paper, we gave first-of-their-kind results around search-to-decision, counting-to-decision, and reductions to hard instances in the context of Group Isomorphism. We focused on p-groups of class 2 (or more generally small class) and exponent p, as these are widely believed to be the hardest cases of GpI. They also have the closest connection with tensors.

We view this paper as the second in a planned series, focusing on isomorphism problems for tensors, groups, polynomials, and related structures. Although Graph Isomorphism (GI) is perhaps the most well-studied isomorphism problem in computational complexity – even going back to Cook's and Levin's initial investigations into NP (see [1, Sec. 1]) – it has long been considered to be solvable in practice [55,56], and Babai's recent quasi-polynomial-time breakthrough is one of the theoretical gems of the last several decades [3]. However, several isomorphism problems for tensors, groups, and polynomials seem to be much harder to solve, both in practice – they've been suggested as difficult enough to support cryptography [39,61] – and in theory: the best known worst-case upper bounds are barely improved from brute force (e. g., [49,66]). As these problems arise in a variety of areas, from multivariate cryptography and machine learning, to quantum information and computational algebra, getting a better understanding of their complexity is an important goal with many potential applications.

In the first paper in this series [32], we showed that numerous such isomorphism problems from many research areas are equivalent under polynomial-time reductions, creating bridges between different disciplines. The Tensor Isomorphism (TI) problem turns out to occupy a central position among these problems, leading us to define the complexity class TI, consisting of those problems polynomial-time reducible to the Tensor Isomorphism problem. The gadgets and TI-completeness result from that first paper in some cases opened the door, and in other cases are used as subroutines, in the main results of the current paper.

Finally, we list here some additional questions that we find interesting and approachable. One question is whether our tensor-based methods here can be extended or combined with other methods to get analogous results in wider classes of groups; for isomorphism algorithms, something along these lines was proposed by Brooksbank, Grochow, Li, Wilson, & Qiao [12], but there are many interesting open questions in this direction.

Getting the results of this paper to work in the Cayley table model would also be interesting from the complexity-theoretic perspective; the necessary ingredients are discussed in Remark 2.

Lastly, we mention that extending the results of the present paper, [28], and [32] to rings beyond fields would be very interesting. In particular, working with tensors over  $\mathbb{Z}/p^k\mathbb{Z}$  is an important step towards extending the results of this paper to p-groups of class 2 without restricting them to exponent p. (This is particularly important when p=2, as groups of exponent 2 are abelian, so the hardest instances of 2-groups, rather than "p-groups of class 2 and exponent p" with p=2, are often taken to be 2-groups of class 2 and exponent four.)

It seems conceivable that many of our arguments could extend to tensors over local rings—those with a unique maximal ideal—as many of our arguments are rank-based, and rank still has nice properties over local rings (e.g. Nakayama's Lemma). In particular, if R is a ring and  $\mathfrak{m}$  a maximal ideal, then  $R/\mathfrak{m}$  is a field; in a local ring, there is a unique maximal ideal, so the field  $R/\mathfrak{m}$  is canonically associated to R, and one can talk cleanly about rank and dimension of R-modules considered over the field  $R/\mathfrak{m}$ . Besides  $\mathbb{Z}/p^k\mathbb{Z}$ , another local ring of interest is the ring  $\mathbb{F}[[t]]$  of power series in one variable over a field  $\mathbb{F}$ ; a tensor over  $\mathbb{F}[[t]]$  is essentially a 1-parameter family of tensors over  $\mathbb{F}$ , so studying tensor problems over  $\mathbb{F}[[t]]$  could have applications to border rank and geometric complexity theory.

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