## Interplay Between Graph Isomorphism and Earth Mover's Distance in the Query and Communication Worlds

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#### Abstract

The graph isomorphism distance between two graphs  $G_u$  and  $G_k$  is the fraction of entries in the adjacency matrix that has to be changed to make  $G_u$  isomorphic to  $G_k$ . We study the problem of estimating, up to a constant additive factor, the graph isomorphism distance between two graphs in the query model. In other words, if  $G_k$  is a known graph and  $G_u$  is an unknown graph whose adjacency matrix has to be accessed by querying the entries, what is the query complexity for testing whether the graph isomorphism distance between  $G_u$  and  $G_k$  is less than  $\gamma_1$  or more than  $\gamma_2$ , where  $\gamma_1$  and  $\gamma_2$  are two constants with  $0 \le \gamma_1 < \gamma_2 \le 1$ . It is also called the tolerant property testing of graph isomorphism in the dense graph model. The non-tolerant version (where  $\gamma_1$  is 0) has been studied by Fischer and Matsliah (SICOMP'08).

In this paper, we prove a (interesting) connection between tolerant graph isomorphism testing and tolerant testing of the well studied Earth Mover's Distance (EMD). We prove that deciding tolerant graph isomorphism is equivalent to deciding tolerant EMD testing between multi-sets in the query setting. Moreover, the reductions between tolerant graph isomorphism and tolerant EMD testing (in query setting) can also be extended directly to work in the two party Alice-Bob communication model (where Alice and Bob have one graph each and they want to solve tolerant graph isomorphism problem by communicating bits), and possibly in other sublinear models as well.

Testing tolerant EMD between two probability distributions is equivalent to testing EMD between two multi-sets, where the multiplicity of each element is taken appropriately, and we sample elements from the unknown multi-set with replacement. In this paper, our (main) contribution is to introduce the problem of (tolerant) EMD testing between multi-sets (over Hamming cube) when we get samples from the unknown multi-set without replacement and to show that this variant of tolerant testing of EMD is as hard as tolerant testing of graph isomorphism between two graphs. Thus, while testing of equivalence between distributions is at the heart of the non-tolerant testing of graph isomorphism, we are showing that the estimation of the EMD over a Hamming cube (when we are allowed to sample without replacement) is at the heart of tolerant graph isomorphism. We believe that the introduction of the problem of testing EMD between multi-sets (when we get samples without replacement) opens an entirely new direction in the world of testing properties of distributions.

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## 1 Introduction

Graph isomorphism (GI) has been one of the most celebrated problems in computer science. Roughly speaking, the graph isomorphism problem asks whether two graphs are structure-preserving. Namely, given two graphs  $G_u$  and  $G_k$ , graph isomorphism of  $G_u$  and  $G_k$  is a bijection  $\psi: V(G_u) \to V(G_k)$  such that for all pair of vertices  $u, v \in V(G_u)$ , the edges  $\{u, v\} \in E(G_u)$  if and only if  $\{\psi(u), \psi(v)\} \in E(G_k)^{-1}$ . One central open problem in complexity theory is whether the graph isomorphism problem can be solved in polynomial time. Recently in a breakthrough result, Babai [5] proved that the graph isomorphism problem could be decided in quasi-polynomial time.

For a central problem like the graph isomorphism, naturally, one would like to understand its (and related problems) computational complexity for various models of computation. While most of the focus has been on the standard time complexity in the RAM model for various classes of graphs (and hyper-graphs), other complexity measures like space complexity, parameterized complexity, and query complexity have also been studied over the past few decades (see the Dagstuhl Report [7] and PhD thesis of Sun [24]).

A natural extension of the GI problem is to estimate the "graph isomorphism distance" between two graphs. In other words, given two graphs  $G_u$  and  $G_k$ , what fraction of edges are necessary to add or delete to make the graphs isomorphic.

▶ **Definition 1.1.** Let  $G_u = (V_u, E_u)$  and  $G_k = (V_k, E_k)$  be two graphs with  $|V_u| = |V_k| = n$ . Given a bijection  $\phi : V_u \to V_k$ , the distance between the graphs  $G_u$  and  $G_k$  with respect to the bijection  $\phi$  is

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d_{\phi}(G_u, G_k) := |\{(u, v) : \text{Exactly one among } (u, v) \in E_u \text{ or } (\phi(u), \phi(v)) \in E_k \text{ holds}\}|.
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The Graph Isomorphism Distance (or GI-distance in short) between graphs  $G_u$  and  $G_k$  is defined as  $\min_{\phi: V_u \to V_k} d_{\phi}(G_u, G_k)/n^2$ , and is denoted by  $\delta_{GI}(G_u, G_k)$  (we will use  $d(G_u, G_k)$  to mean  $n^2 \delta_{GI}(G_u, G_k)$ ).

The problem of computing GI-distance between two graphs is known to be #P-hard [18]. The next natural question is:

What is the complexity for approximating (either by a constant additive or multiplicative factor) the graph isomorphism distance between two graphs?

In [18], it was also proven that the problem of computing GI-distance between two graphs is APX-hard. So, approximating  $\delta_{GI}(G_u, G_k)$  up to a constant multiplicative factor is NP-hard. In this paper, we study this problem of approximating (up to a constant additive factor) the GI-distance between two graphs in the query model and two party communication complexity model.

## 1.1 Property Testing of Graph Isomorphism

Formally speaking, the main problem is: given two graphs  $G_u$  and  $G_k$  and an approximation parameter  $\zeta \in (0,1)$ , the goal is to output an estimate  $\alpha$  such that

$$\delta_{GI}(G_u, G_k) - \zeta \le \alpha \le \delta_{GI}(G_u, G_k) + \zeta.$$

<sup>&</sup>lt;sup>1</sup> In a graph G, V(G) and E(G) denote the sets of vertices and edges in G, respectively.

In the query model, the problem is equivalent (up to a constant factor) to the tolerant property testing of graph isomorphism in the dense graph model (introduced in the work of Parnas, Ron and Rubinfeld [21]). For  $0 \le \gamma < 1$ , two graphs  $G_u$  and  $G_k$ , with n vertices, are called  $\gamma$ -close or  $\gamma$ -far to isomorphic<sup>2</sup> if  $d(G_u, G_k) \le \gamma n^2$  or  $d(G_u, G_k) \ge \gamma n^2$ , respectively. In  $(\gamma_1, \gamma_2)$ -tolerant GI testing, we are given two graphs  $G_u$  and  $G_k$ , and two parameters  $0 \le \gamma_1 < \gamma_2 \le 1$ , with the guarantee that either the graphs are  $\gamma_1$ -close or  $\gamma_2$ -far. One of the graphs (usually denoted as  $G_u$ ) is accessed by querying the entries of its adjacency matrix. In contrast, the other graph (usually denoted as  $G_k$ ) is known to the query algorithm, and no cost for accessing the entries of the adjacency matrix of  $G_k$  is incurred. The query complexity is the number of queries (to the adjacency matrix of  $G_u$ ) that are required for testing, (with correctness probability at least 2/3 4), whether  $G_u$  and  $G_k$  are  $\gamma_1$ -close or  $\gamma_2$ -far. The query algorithm is assumed to have unbounded computational power.

The non-tolerant property testing version of the graph isomorphism problem (that is, when  $\gamma_1 = 0$ ) was first studied by Fischer and Matsliah [13] and subsequently, Babai and Chakraborty [6] studied the non-tolerant property testing version of the hypergraph isomorphism problem. Recently, the non-tolerant testing of GI has been considered in various other models (like Goldreich [15] studied the problem for the bounded degree graph model of property testing and Levi and Medina [17] considered the problem in the distributed setting). However, the tolerant version of the problem remains elusive and it is surprising that the tolerant version of a fundamental problem like graph isomorphism (in query model) is not addressed in the literature, though the non-tolerant version of GI testing problem has been resolved more than a decade ago in [13] (when one graph is unknown). On a different note, there are also studies of non-tolerant version of graph isomorphism testing in the literature when both the graphs are unknown [13, 19]. We will not discuss much about that case as the main focus of this paper is different.

Before proceeding further, we want to note that there is a simple algorithm with query complexity  $\widetilde{\mathcal{O}}(n)$  for tolerant testing of graph isomorphism (when one of the graphs is known in advance). Basically, one goes over all possible n! bijections  $\phi: V_u \to V_k$  and estimates the distance between  $G_u$  and  $G_k$  with respect to the permutation. The samples may be reused<sup>5</sup>, and hence we have the following observation.

▶ Observation 1.2. Given a known graph  $G_k$  and an unknown graph  $G_u$  and any approximation parameter  $\zeta \in (0,1)$ , there is a query algorithm that makes  $\widetilde{\mathcal{O}}(n)$  queries and outputs a number  $\alpha$  such that, with probability at least 2/3, the following holds:

$$\delta_{GI}(G_u, G_k) - \zeta \le \alpha \le \delta_{GI}(G_u, G_k) + \zeta.$$

But obtaining a lower bound matching (at least up to a polylog factor) the upper bound of Observation 1.2 is not at all obvious. This paper's main contribution is to show an equivalence between tolerant testing of graph isomorphism and tolerant EMD testing between multi-sets (in the query setting).

<sup>&</sup>lt;sup>2</sup> As a shorthand, rather than saying  $\gamma$ -close or  $\gamma$ -far to isomorphic, we will just say  $\gamma$ -close or  $\gamma$ -far respectively.

<sup>&</sup>lt;sup>3</sup>  $G_u$  and  $G_k$  denote the unknown and known graphs, respectively.

<sup>&</sup>lt;sup>4</sup> The correctness probability can be made any  $1 - \delta$  by incurring a multiplicative factor of  $O(\log \frac{1}{\delta})$  in the query complexity.

<sup>&</sup>lt;sup>5</sup> If the samples are  $\Theta(\log(n!))$ , then the error probability can be bounded using the union bound.

Like many other property testing problems, the core difficulty in the testing of GI is understanding certain properties of distributions. In the case of the non-tolerant version of GI, it has been shown in [13] that the core problem is testing the variation distance between two distributions. Their upper bound result can be restated as: if there is a property testing algorithm, with query complexity q(n) for testing equivalence between two distributions, on support size  $n^6$ , then GI can be tested using  $\widetilde{\mathcal{O}}(q(n))$  queries, where the tilde hides a polylogarithmic factor of n (number of vertices). And since the query complexity for testing identity of distributions (from [8], [20], [1], [26]) is known to be  $\mathcal{O}(\frac{\sqrt{n}}{\epsilon^2})$ , the query complexity for non tolerant GI-testing is  $\widetilde{\mathcal{O}}(\sqrt{n})$ .

In the lower bound proof of [13], there is no direct reduction of the graph isomorphism problem to the variation distance problem. But it is important to note that lower bound proofs for both of these problems use the tightness of the *birthday paradox*. So, in some sense, one can say that the heart of the non-tolerant testing of GI is in testing variation distance between two distributions.

## 1.2 Earth Mover's Distance (EMD)

Let  $H = \{0,1\}^n$  be a Hamming cube of dimension n, and p,q be two probability distributions on H. The Earth Mover's Distance between p and q is denoted by EMD(p,q) and defined as the optimum solution to the following linear program:

$$\mathbf{Minimize} \sum_{i,j \in H} f_{ij} d_H(i,j) \quad \mathbf{Subject to} \ \sum_{j \in H} f_{ij} = p(i) \ \forall i \in H, \ \mathbf{and} \ \sum_{i \in H} f_{ij} = q(j) \ \forall j \in H.$$

A standard way to think of sampling from any probability distribution is to consider it as a multi-set of elements with appropriate multiplicities, and samples are drawn with replacement from that multi-set. While estimating EMD between two multi-sets, although the most natural way to access the unknown multi-set is sampling with replacement, we introduce the problem of tolerant EMD testing over multi-sets with the access of samples without replacement.

▶ Definition 1.3 (EMD over multi-sets while sampling with and without replacement). Let  $S_1$  and  $S_2$  denote two multi-sets, over n-dimensional Hamming cube  $H = \{0, 1\}^n$  such that  $|S_1| = |S_2| = n$ . Consider the two distributions  $p_1$  and  $p_2$  over the Hamming cube H that are naturally defined by the sets  $S_1$  and  $S_2$  where for all  $x \in H$  probability of x in  $p_1$  (and  $p_2$ ) is the number of occurrences of x in  $S_1$  (and  $S_2$ ) divided by n. We then define the EMD between the multi-sets  $S_1$  and  $S_2$  as

$$EMD(S_1, S_1) \triangleq n \cdot EMD(p_1, p_2).$$

The problem of estimating the EMD over multi-sets while sampling with (or without) replacement means designing an algorithm, that given any two constants  $\beta_1, \beta_2$  such that  $0 \le \beta_1 < \beta_2 \le 1$ , a known multi-set  $S_k$  and access to the unknown multi-set  $S_u$  by sampling with (or without) replacement, decides whether  $EMD(S_k, S_u) \le \beta_1 n^2$  or  $EMD(S_k, S_u) \ge \beta_2 n^2$  with probability at least 2/3. Note that estimating the EMD over multi-sets while sampling with replacement is exactly same as estimating EMD between the distributions  $p_u$  and  $p_k$  with samples drawn according to  $p_u$ .

<sup>&</sup>lt;sup>6</sup> Testing identity between two distributions means to test if the unknown distribution (from where the samples are drawn) is identical to the known distribution or if the variation distance between them more than  $\epsilon$ .

We will denote by  $\text{QWR}_{\text{EMD}}(n, \beta_1, \beta_2)$  (and  $\text{QWoR}_{\text{EMD}}(n, \beta_1, \beta_2)$ ) the number of samples **with** (or **without**) replacement required to decide the above from the unknown multi-set  $S_u$ . For ease of presentation, we will write  $\text{QWoR}_{\text{EMD}}(n)$  ( $\text{QWR}_{\text{EMD}}(n)$ ) instead of  $\text{QWoR}_{\text{EMD}}(n, \beta_1, \beta_2)$  ( $\text{QWR}_{\text{EMD}}(n, \beta_1, \beta_2)$ ) when the proximity parameters are clear from the context.

Earth Mover's Distance (EMD) is a fundamental metric over the space of distributions supported on a fixed metric space. Estimating EMD between two distributions, up to a multiplicative factor, has been extensively studied in mathematics and computer science. It is closely related to the embedding of the EMD metric into a  $\ell_1$  metric. Even the problem of estimation of EMD between distributions up to an additive factor has been well studied, for reference see [12], [23]. The hardness of estimating EMD between distributions depends heavily on the structure of the domain on which the distributions are supported. In [12], the authors have proved a lower bound of  $\Omega((\Delta/\epsilon)^d)$  on the query complexity for estimating (up to an additive error of  $\epsilon$ ) EMD between two distributions supported on the real cube  $[0, \Delta]^d$ . At the same time, it is not hard to see that if the support has certain structures, estimating EMD may be easy. In this paper, we focus on the estimation of EMD between two distribution when the metric space is the Hamming cube.

As noted earlier, sample access to a probability distribution is precisely the same as uniform sampling from a multi-set with replacement. Thus, from the results of Valiant and Valiant [25], it can be shown that the sample complexity for estimating the EMD between two distribution over the Hamming cube of dimension n is  $\Omega(n/\log n)$ . In other words,  $\mathrm{QWR}_{\mathrm{EMD}}(n) = \Omega(n/\log n)$ , and this is tight ignoring polynomial factor in  $\log n$  (See Theorem B.10 of Appendix B). But what about  $\mathrm{QWOR}_{\mathrm{EMD}}(n)$ ? To the best of our knowledge, the sample complexity measure when the distributions are accessed by sampling a multi-set without replacement has never been studied before (for testing/estimating distances between distributions/multi-sets). However, it is interesting to note that, sampling without replacement model has been considered before in a different context by Raskhodnikova, Ron, Shpilka and Smith [22] for proving a lower bound of distinct elements problem. Also, recently Goldreich [15] considered a similar sampling without replacement model while studying the non-tolerant graph isomorphism in the bounded degree model.

Coming back to our context, it can be proven that: if  $\mathrm{QWoR}_{\mathrm{EMD}}(n) = o(\sqrt{n})$ , then  $\mathrm{QWR}_{\mathrm{EMD}}(n) = o(\sqrt{n})$  (See Proposition B.7 of Appendix B). As  $\mathrm{QWR}_{\mathrm{EMD}}(n) = \Omega(\frac{n}{\log n})$ , we have a lower bound of  $\Omega(\sqrt{n})$  on  $\mathrm{QWoR}_{\mathrm{EMD}}(n)$ . To the best of our knowledge, there is no known better lower bound than  $\Omega(\sqrt{n})$  for  $\mathrm{QWoR}_{\mathrm{EMD}}(n)$ , although a lower bound of  $\Omega(\frac{n}{\log n})$  exists for  $\mathrm{QWR}_{\mathrm{EMD}}(n)$  (using observation in [12]). We verified that the proof of [27] also goes through for  $\mathrm{QWoR}_{\mathrm{EMD}}(n)$  as well (See Theorem 1.5). We now present the following conjecture:

▶ Conjecture 1. There exist two constants  $\beta_1$  and  $\beta_2$  with  $0 < \beta_1 < \beta_2 < 1$  such that in order to decide whether  $EMD(S_k, S_u) \le \beta_1 n^2$  or  $EMD(S_k, S_u) \ge \beta_2 n^2$ , with probability at least 2/3,  $\Omega\left(\frac{n}{\text{poly}(\log n)}\right)$  samples without replacement from the unknown multi-set  $S_u$  are necessary.

One of our main contributions in this paper is introducing this complexity measure of  $QWoR_{EMD}(n)$  as well as the above conjecture. In the rest of the paper, we focus on exploring the connection between  $QWoR_{EMD}(n)$  and the query complexity of tolerant GI-testing. For a formal discussion on EMD over Hamming cube, please refer to Appendix B.

#### 1.3 Our Results

Our main result of this paper is that we prove estimating GI-distance is as hard as tolerant EMD testing over multi-sets with the access of samples **without** replacement over the unknown multi-set  $S_u$ , ignoring polynomial factors of  $\log n$ .

▶ Theorem 1.4 (Main Result). Let  $G_k$  and  $G_u$  denote the known and the unknown graphs on n vertices, respectively, and  $Q_{GI}(G_u, G_k)$  denotes the number of adjacency queries to  $G_u$ , required by the best algorithm that takes two constants  $\gamma_1, \gamma_2$  with  $0 \le \gamma_1 < \gamma_2 \le 1$  and decides whether  $d(G_u, G_k) \le \gamma_1 n^2$  or  $d(G_u, G_k) \ge \gamma_2 n^2$  with probability at least 2/3. Then

$$Q_{GI}(G_u, G_k) = \widetilde{\Theta} \left( \text{QWoR}_{EMD}(n) \right)$$

where  $\widetilde{\Theta}(\cdot)$  hides polynomial factors in  $\frac{1}{\gamma_2-\gamma_1}$  and  $\log n$ .

## 1.3.1 Implication of Theorem 1.4 to Query Complexity of Tolerant GI

It is interesting to note that our lower bound proof is via a pure reduction from tolerant graph isomorphism to tolerant testing of EMD of multi-sets over the Hamming cube using samples without replacement. Thus our reductions also hold for other computational models such as the communication complexity model. Regarding the lower bound on the sample complexity of tolerant EMD testing of multi-sets (in the with replacement model), using observation in [12], we note that the tolerant EMD testing is as hard as tolerant testing of variation distance. In [27], they gave a lower bound of  $\Omega(n^{1-o(1)})$  on the sample complexity for tolerant  $\ell_1$  testing. Although the proof of [27] uses samples with replacement (when we think of a distribution as a multi-set), it can be verified that the proof also works for samples without replacement.

▶ Theorem 1.5 (Follows from [27]). For any constants  $0 < \alpha < \beta < 1$ , distinguishing between distribution pairs with statistical distance less than  $\alpha$  from those with distance greater than  $\beta$  requires  $n^{1-o(1)}$  samples without replacement.

From Theorem 1.5, a similar lower bound follows for tolerant EMD testing of multi-sets without replacement. Thus, from Theorem 1.4, we have the following corollary:

▶ Corollary 1.6. Let  $G_k$  and  $G_u$  be the known and unknown graphs on n vertices, respectively. For any constants  $0 < \gamma_1 < \gamma_2 < 1$ , distinguishing between isomorphism distance of  $d(G_u, G_k) \le \gamma_1 n^2$  with  $d(G_u, G_k) \ge \gamma_2 n^2$  requires  $n^{1-o(1)}$  queries to the adjacency matrix of  $G_u$ . On the other hand, for any constants  $0 < \gamma_1 < \gamma_2 < 1$ , distinguishing between isomorphism distance of  $d(G_u, G_k) \le \gamma_1 n^2$  with  $d(G_u, G_k) \ge \gamma_2 n^2$  can be done in  $\widetilde{O}(n)$  queries.

The lower bound of [27] was later improved to  $\Omega(\frac{n}{\log n})$  in [25]. However, the arguments of [25] are much more delicate and it is not completely clear to us whether their result of  $\Omega(\frac{n}{\log n})$  can be carried over to the **without** replacement setting, even if we allow a loss of polylogarithmic factor. So, we propose the following conjecture:

▶ Conjecture 2. Let  $G_k$  and  $G_u$  be the known and unknown graphs on n vertices, respectively. For any constants  $0 < \gamma_1 < \gamma_2 < 1$ , distinguishing between isomorphism distance of  $d(G_u, G_k) \le \gamma_1 n^2$  with  $d(G_u, G_k) \ge \gamma_2 n^2$  requires  $\Omega(\frac{n}{\log n})$  queries to the adjacency matrix of  $G_u$ .

Note that Conjecture 1 and Conjecture 2 are equivalent. Besides, the difference between sampling with and without replacement is much more subtle. Freedman [14] has shown the difference when we sample elements with replacement from a set and that without replacement from the same set. However, when the number of samples is  $o(\sqrt{n})$ , the distribution of answers to the queries when samples are drawn with replacement is very close (in  $\ell_1$  distance) to the distribution of answers to the queries when samples are drawn without replacement. Thus, following Proposition B.7 along with Theorem 1.4, we can get an alternative proof of the following lower bound proved by Fischer and Matsliah [13].

▶ Corollary 1.7 (Fischer and Matsliah [13]). There exists a constant  $\zeta \in (0,1)$  such that any query algorithm that decides, with probability at least 2/3, if a known graph  $G_k$  and an unknown graph  $G_u$  is isomorphic or  $\gamma$ -far from isomorphic, with  $\gamma \leq \zeta$ , must make  $\Omega(\sqrt{n})$  queries.

## 1.3.2 Implication of Theorem 1.4 to Communication Complexity of Tolerant GI

One of the central models of computation (particularly in the context of theoretical computer science) is the 2-player communication game introduced by Yao [28] in 1979. Communication complexity is one of the most studied complexity measures and has wide-ranging applications in many different areas of computer science. But surprisingly, as far as we know, the communication complexity problem of GI (where Alice has graph  $G_a$  and Bob has graph  $G_b$ , and they want to decide if  $G_a$  and  $G_b$  are isomorphic) has never been studied. One of the main reasons may be that, in the communication setup, the standard GI problem reduces to the string equality checking problem, and hence GI in the (randomized) communication setup is not that interesting anymore, since the randomized communication complexity, trivially, becomes O(1) (see the full version for the proof).

But when it comes to tolerant GI testing, the communication version is not at all obvious. So, if Alice and Bob are given two graphs  $G_a$  and  $G_b$  respectively, what is the (randomized) communication complexity for checking if  $d(G_a, G_b) \leq \gamma_1 n^2$  or  $d(G_a, G_b) \geq \gamma_2 n^2$ ? While we don't have a complete answer to this question yet, the following theorem holds from Theorem 1.2:

▶ Theorem 1.8 (Informally stated). If Alice and Bob are given two graphs  $G_a$  and  $G_b$  with n vertices respectively and the (randomized) communication complexity for checking if the graphs are  $\gamma_1$ -close or  $\gamma_2$ -far is  $c(n, \gamma_1, \gamma_2)$  then the following holds: There exists an absolute constant C such that if Alice and Bob are given two n-grained distributions r over the Cn-dimension Hamming cube, then the (randomized) communication complexity of checking if the Earth Mover's Distance between the distributions is at most  $\beta_1 n$  or at least  $\beta_2 n$  is  $\widetilde{\Theta}(c(n, \gamma'_1, \gamma'_2))$ , where  $\gamma'_1$  and  $\gamma'_2$  are constants that depend only on  $\beta_1$  and  $\beta_2$ , and  $\widetilde{\Theta}(\cdot)$  hides multiplicative factor of poly (log n).

Theorem 1.8 says that the communication complexity of solving tolerant graph isomorphism and tolerant EMD testing are essentially the same, ignoring the polylog factor. Note that in the case of the communication setting, the distinction between **with** replacement and **without** replacement is not present. Also, it is important to point out that the lower bounds on tolerant EMD in the sampling model ([27] and [25]) does not give a lower bound

<sup>&</sup>lt;sup>7</sup> The probability of each element in the sample space is an integer multiple of  $\frac{1}{n}$ .

in the communication setting. Though the tolerant graph isomorphism problem has not been addressed at all in the literature of communication complexity, EMD (for different metric spaces) has been considered in communication, streaming, and sketching models [16, 3, 2, 4]. However, the EMD problem that we have considered in this paper is different from those considered in the literature, and we believe that it will be of independent interest.

We also observe that the deterministic communication complexity of graph isomorphism is  $\Omega(n^2)$  even for the non-tolerant setting.

▶ **Theorem 1.9.** Deterministic communication complexity of non-tolerant version of Graph Isomorphism testing (hence the tolerant version) is  $\Theta(n^2)$ .

The proof of the above theorem is present in the full version of the paper [10].

**Organization of the paper.** In Section 2, we discuss the proof techniques of our main results. We prove the lower bound part (tolerant graph isomorphism is as hard as tolerant EMD testing) and upper bound part (tolerant EMD testing is as hard as tolerant graph isomorphism) of Theorem 1.4 in Sections 3 and 4 respectively. We finally conclude in Section 5. For space constraint, we could not add all possible proofs. Please see [10] for the full version of the paper.

**Notations.** All graphs considered here are undirected, unweighted, and have no self-loops or parallel edges. For a graph G(V, E), V(G) and E(G) will denote the vertex set and the edge set of G, respectively. Since we are considering undirected graphs, we write an edge  $(u, v) \in E(G)$  as  $\{u, v\}$ . The *Hamming distance* between two points x and y in a Hamming cube  $\{0, 1\}^k$  will be denoted by  $d_H(x, y)$ .

## 2 Discussion on our proof of Theorem 1.4

## 2.1 Reduction from tolerant EMD testing to tolerant graph isomorphism testing (Lower bound part of Theorem 1.4)

In this reduction, we crucially use the fact that the multi-sets are composed of elements from the Hamming cube. The reduction is based upon an involved gadget construction. In fact, we prove the lower bound for a slightly more powerful query model rather than the standard adjacency matrix query model. The most interesting part of our lower bound proof is that thanks to our reduction, we get to observe the importance of the model of accessing the multi-set **without** replacement in the context of EMD testing.

Now, we discuss the overview of our reduction. Let  $S_k$  and  $S_u$  denote the known and the unknown multi-sets, over a Hamming cube  $\{0,1\}^d$  (of dimension d) with  $d = \Theta(n)$ , having n elements each. To start with, let us assume that we know both  $S_k$  and  $S_u$ . We will construct two graphs  $G_k$  and  $G_u$  on d+n vertices as follows:

- The vertex set of  $G_k$  (and  $G_u$ ) are partitioned into two sets  $A_k$  and  $B_k$  (and  $A_u$  and  $B_u$ ) with  $|A_k| = |A_u| = n$  and  $|B_k| = |B_u| = d$ .
- The graph induced by  $A_k$  is a clique, and similarly the graph induced by  $A_u$  is a clique.
- The graphs induced by  $B_k$  and  $B_u$  are copies of a special graph with certain nice properties which enable our reduction to work. The existence of such a graph is proved (in Lemma 3.3) using a probabilistic argument.
- Finally, for the cross edges between  $A_k$  and  $B_k$  (and  $A_u$  and  $B_u$ ), we have: there is an edge between the *i*-th vertex of  $A_k$  (or  $A_u$ ) and the *j*-th vertex of  $B_k$  (or  $B_u$ ) if and only if the *j*-th coordinate of the *i*-th element of  $S_k$  (or  $S_u$ ) is 1.
- Finally, a random permutation  $\pi$  is applied to the vertices of  $G_u$ .

The permutation  $\pi$  is not known to the GI-tester. Note that we can construct  $G_k$  explicitly as  $S_k$  is known. However, that is not the same with  $G_u$  as  $S_u$  is unknown. But since we know the permutation  $\pi$ , any query to the adjacency matrix of the graph  $G_u$  can be answered by a single query to one bit of  $S_u$ . But unfortunately we don't have query access to  $S_u$ , and only have sample access to  $S_u$ . To deal with this problem, it is easier to consider a slightly more powerful query. Say, the GI-tester wants to query the (i,j)-th bit of the graph  $G_u$ . Of course, if both i and j are in  $A_u$  or both are in  $B_u$ , we can answer without even sampling from  $S_u$ . But if i is in  $A_u$  and j is in  $B_u$ , then what we intend to do is to give the whole neighborhood of i in  $B_u$  as the answer to the query. This would be like neighbourhood query in a bipartite graph. But the question remains: how do we intend to answer the query by sampling. The key observation here is that since the GI-tester does not know the permutation  $\pi$  that was applied to the vertices in  $G_u$ , to its eye, all the vertices that have not been touched so far look same. So, every time it queries for (i,j), where  $i \in A_u$  and  $j \in B_u$ , either of the two cases can happen:

- Either, previously a query of the form  $(i, j_1)$  was asked where  $j_1$  is also in  $B_u$ , but in that case, it must have already got the answer of (i, j) as we must have given all the neighbors of i in  $B_u$ . So in that case, we can give back the same answer without sampling.
- Or, previously i did not participate in any query of the form  $(i, j_1)$  where  $j_1$  is in  $B_u$ . In this case, to the GI-tester's eye, i is just a new vertex from  $A_u$ . We can then sample without replacement from  $S_u$  and whatever sample of the multi-set we have, we can assume that it is the element i and answer accordingly. Note that this is the exact place where sampling without replacement is crucial.

To complete our proof, we need to prove how the GI-distance between  $G_k$  and  $G_u$  is connected to the EMD between  $S_k$  and  $S_u$ . Consider the set  $\Phi$  of all SPECIAL bijections from  $V(G_k)$  to  $V(G_u)$  that maps  $A_k$  into  $A_u$  and  $B_k$  into  $B_u$  such that the *i*-th vertex of  $B_k$  is mapped to the *i*-th vertex of  $B_u$ . Observe that  $d_{\Phi}(G_k, G_u) = 2 \cdot EMD(S_k, S_u)$ , where  $d_{\Phi}(G_k, G_u) = \min_{\phi \in \Phi} d_{\phi}(G_k, G_u)$  (See [10], Lemma 3.5 for a formal proof). The factor 2 is because of the way we define  $d_{\phi}(G_k, G_u)$  (See Definition 1.1). This implies that tolerant isomorphism testing between  $G_k$  and  $G_u$  is at least as hard as tolerant EMD testing between  $S_k$  and  $S_u$  if we restrict the bijection from  $V(G_k)$  to  $V(G_u)$  to be a SPECIAL bijection. The reduction works for all possible bijections, because of the careful choice of the subgraph of  $G_k$  (and  $G_u$ ) induced by  $B_k$  (and  $B_u$ ), thus ensuring  $d(G_k, G_u)$  is close to  $d_{\Phi}(G_k, G_u)$  (See [10] Lemma 3.6 for a formal proof).

One might compare our proof technique to the lower bound proof of (non-tolerant) testing of GI from [13]. In [13],  $\Omega(\sqrt{n})$  lower bound was proved directly (using Yao's lemma) by constructing two distributions of YES instances and NO instances - the construction of the YES and NO instances were inspired from the tightness of the birthday paradox, which was also the core idea behind the lower bound proof of the equivalence testing of two probability distributions. But, there was no direct reduction from GI testing to equivalence testing of two probability distributions. But in our lower bound proof, we establish a direct reduction to estimating EMD of multi-sets on the Hamming cube with access to samples **without** replacement. This can be of much importance, mainly while considering other models of computation, like in the communication model. From our reduction, we can obtain an alternative proof of  $\Omega(\sqrt{n})$  lower bound for the (non-tolerant) GI testing via the  $\Omega(\sqrt{n})$  lower bound of the equivalence testing of distributions, as pointed out in Corollary 1.7.

## 2.2 Reduction from tolerant graph isomorphism to tolerant EMD testing (Upper bound part of Theorem 1.4)

Given a known graph  $G_k$  and query access to an unknown graph  $G_u$  (both on n vertices), we present an algorithm for tolerant testing of graph isomorphism between  $G_k$  and  $G_u$  by using a tolerant EMD tester (for distributions over H) as a blackbox. Note that this will prove the upper bound part of Theorem 1.4.

## Algorithm for tolerant graph isomorphism using algorithm for tolerant EMD testing as a black box:

Our testing algorithm is inspired by the algorithm of Fischer and Matsliah [13] for non-tolerant GI testing. But our algorithm significantly differs from that of Fischer-Matsliah in some crucial points. As we explain the high level picture of our algorithm, we will point out some of the crucial differences.

We split our algorithm into three phases. In Phase 1, we first choose a  $\mathcal{O}\left(\frac{1}{\gamma_2-\gamma_1}\right)$  size collection of random subset of vertices, i.e,  $coresets\ \mathcal{C}_u$  from the unknown graph  $G_u$  where each  $C_u \in \mathcal{C}_u$  is of size  $\mathcal{O}(\log n)$ . Thereafter we find all embeddings of  $C_u$  inside the known graph  $G_k$ . Let the embeddings be  $\eta_1, \eta_2, \ldots, \eta_J$  where  $C_k^i = \eta_i(C_u)$ . Now each  $C_u$  (as well as each  $C_k^i$ ) defines a label distribution of the vertices of  $G_u$  (as well as  $G_k$ ). Let us denote the set of labels as  $X_{C_u}$  (and  $Y_{C_k^i}$ ). Now we test if the EMD between  $X_{C_u}$  and  $Y_{C_k^i}$  is close or far for each  $i \in [J]$  (See Claim 4.2). We keep only those  $(C_u, \eta_i)$  for Phase 2 such that  $EMD(X_{C_u}, Y_{C_k^i}) \leq \left(\gamma_1 + \frac{\gamma_2 - \gamma_1}{2000}\right) n |C_u|$ .

Although Phase 1 of our algorithm is similar to the algorithm of [13], there is a striking difference. Since the authors of [13] were testing the non-tolerant version of graph isomorphism, they were testing the identity of the label distributions of  $X_{C_u}$  and  $Y_{C_k^i}$ . However, since we are solving the tolerant version of the problem, we need to allow some error among the label distributions. We need to pass only those placements of  $C_u$  that under good bijections do not produce much error and testing of tolerant EMD fits exactly for this purpose. It is worth noting that Fischer-Matsliah uses an equivalence tester in their algorithm to identify the placements that do not produce "any" error. But, the proof of correctness of the algorithm would not go through even if we use the tolerant testing of the equivalence of distributions. The use of EMD in this phase is crucial for the proof of correctness of our algorithm to hold.

In Phase 2, we choose  $\mathcal{O}\left(\frac{\log^2 n}{(\gamma_2 - \gamma_1)^3}\right)$  many vertices from the unknown graph  $G_u$  randomly and call it W. We further find the labels of all the vertices of W under  $C_u$ -labelling by querying the corresponding entries of  $G_u$  for each  $C_u$  that has passed Phase 1. Then we try to match the vertices of W to the set of all possible labels  $\{l_1, l_2, \ldots, l_t\}$  of the vertices of  $G_k$  under  $C_k^i$ -labelling where  $C_k^i = \eta_i(C_u)$ , for those  $\eta_i$  that have passed Phase 1. Ideally, we would like to find a mapping  $\psi: W \to \{l_1, l_2, \ldots, l_t\}$  such that the total distance between the labels of the matched vertices is not too large. If no such  $\psi$  is possible, we reject the current embedding and try some other embedding that has passed Phase 1.

In Phase 3, we construct a random partial bijection  $\hat{\phi}: W \to V(G_k)$  that maps the vertices of W to the vertices of  $G_k$  while preserving the labels according to  $\psi$ . We achieve this by mapping each  $w \in W$  to one vertex of  $G_k$  randomly that has same label as determined by  $\psi$ . Finally, we randomly pair the vertices of W and find the fraction of edge mismatches between the paired up vertices of W and  $\hat{\phi}(W)$ . If this fraction is at most  $5\gamma_1 + \frac{3}{5}(\gamma_2 - \gamma_1)$ , we accept and say that  $G_u$  and  $G_k$  are  $\gamma_1$ -close. If there is no such embedding of any  $C_u \in \mathcal{C}_u$  that achieves this, we report that  $G_u$  and  $G_k$  are  $\gamma_2$ -far.

The proofs of completeness and soundness follow kind of similar route as Fischer-Matsliah's proof but the arguments are way more complicated. Many things that were trivial or obvious

in the non-tolerant setting become major hurdles in the tolerant setting, and we overcome them with significantly difficult technical arguments. The proofs are present in the full version of the paper [10].

## 3 Tolerant graph isomorphism is as hard as tolerant EMD testing

In this section, we prove that it is necessary to perform  $\Omega(\text{QWoR}_{\text{EMD}}(n))$  many queries to the adjacency matrix of  $G_u$  to solve  $(\gamma_1, \gamma_2)$ -tolerant GI testing of  $G_k$  and  $G_u$ .

▶ **Theorem 3.1** (Restatement of the lower bound part of Theorem 1.4). Let  $G_k$  be the known and  $G_u$  be the unknown graph on n vertices, where  $n \in N$  is sufficiently large. There exists a constant  $\epsilon_{ISO} \in (0,1)$  such that for any given constants  $\gamma_1, \gamma_2$  with  $0 < \gamma_1 < \gamma_2 < \epsilon_{ISO}$ , any algorithm that decides whether the graphs are  $\gamma_1$ -close or  $\gamma_2$ -far, requires QWoR<sub>EMD</sub>(n) adjacency queries to the unknown graph  $G_u$  where QWoR<sub>EMD</sub> is as defined in Definition 1.3.

In Section 2.1, we have discussed an overview of of our idea to prove the above theorem. To prove Theorem 3.1, we show a reduction from tolerant GI testing to tolerant EMD testing over multi-sets when we have samples **without** replacement from the unknown multi-set.

▶ Lemma 3.2. Suppose there is a constant  $\epsilon_0 \in (0, \frac{1}{2})$  such that for all constants  $\gamma_1, \gamma_2$  with  $0 < \gamma_1 < \gamma_2 < \epsilon_0$  and any constant  $T \in \mathbb{N}$ , the following holds: There exists a  $(\gamma_1, \gamma_2)$ -tolerant tester for GI that, given a known graph  $G_k$  and an unknown graph  $G_u$  with  $|V(G_u)| = |V(G_k)| = (T+1)n$ , can distinguish whether  $d(G_u, G_k) \leq \gamma_1 T n^2$  or  $d(G_u, G_k) \geq \gamma_2 T n^2$  by performing Q adjacency queries to  $G_u$ .

Then, for any constants  $\beta_1$  and  $\beta_2$  with  $0 < \beta_1 < \beta_2 < \frac{\epsilon_0}{2}$ , the following holds where  $\kappa = \frac{\beta_2 - \beta_1}{8}$  and  $T_{\kappa} = \lceil \frac{30}{\kappa(2-\kappa)} \rceil$ . There is a tolerant tester for EMD such that, given a known and an unknown multi-set  $S_k$  and  $S_u$  respectively, of the Hamming cube  $\{0,1\}^{T_{\kappa}n}$  with  $|S_k| = |S_u| = n$ , can distinguish whether  $EMD(S_k, S_u) \leq \beta_1 T_{\kappa} n^2$  or  $EMD(S_k, S_u) \geq \beta_2 T_{\kappa} n^2$  with Q many samples without replacement from  $S_u$ .

▶ Remark 1. Observe that Lemma 3.2 talks about tolerant EMD testing between multi-sets with n elements over a Hamming cube of dimension  $T_{\kappa}n$ . But Theorem 3.1 states the lower bound of QWoR<sub>EMD</sub>(n), that is, of tolerant EMD testing of multi-sets with n elements over a Hamming cube of dimension n. However, the query complexity of EMD testing increases with the dimension of the Hamming cube (See Proposition B.9). So, we will be done with the proof of Theorem 3.1 by proving Lemma 3.2.

### 3.1 Tolerant GI to Tolerant EMD testing: Proof of Lemma 3.2

To define the necessary reduction for the proof of Lemma 3.2, we need to show the existence of a graph  $G_p$  satisfying some unique properties.

- ▶ Lemma 3.3. Let  $\kappa \in (0,1)$  and  $s \geq 3$  be given constants. Then for  $C_{\kappa,s} = \lceil \frac{6s}{\kappa(2-\kappa)} \rceil$  and sufficiently large  $n \in \mathbb{N}$  <sup>8</sup>, there exists a graph  $G_p$  with  $C_{\kappa,s}n$  many vertices such that the following conditions hold.
  - (i) The degree of each vertex in  $G_p$  is at least  $((1-\kappa)C_{\kappa,s}+1)n-1$ .
- (ii) The cardinality of symmetric difference between the sets of neighbors of any two (distinct) vertices in  $G_p$  is at least sn-2.

<sup>&</sup>lt;sup>8</sup> The lower bound of n is a constant that depends on  $\kappa$  and s.

The proof of Lemma 3.3 uses probabilistic method (See [10] for the proof). Let  $ALG(\gamma_1, \gamma_2, T)$  be the algorithm that takes  $\gamma_1$  and  $\gamma_2$  with  $0 < \gamma_1 < \gamma_2 < \epsilon_0$  as input and decides whether  $d(G_k, G_u) \leq \gamma_1 T n^2$  or  $d(G_k, G_u) \geq \gamma_2 T n^2$ , where  $|V(G_k)| = |V(G_u)| = (T+1)n$ . Now we show that for any two constants  $\beta_1$  and  $\beta_2$  with  $0 < \beta_1 < \beta_2 < \frac{\epsilon_0}{2}$ ,  $\kappa = \frac{\beta_2 - \beta_1}{8}$  and  $T_{\kappa} = \lceil \frac{6s}{\kappa(2-\kappa)} \rceil$ , there exists an algorithm  $\mathcal{A}(\beta_1, \beta_2, \kappa, T_{\kappa})$  that can test whether two multi-sets  $S_k$  and  $S_u$  over the  $T_{\kappa}n$ -dimensional Hamming cube have EMD less than  $T_{\kappa}\beta_1 n^2$  or more than  $T_{\kappa}\beta_2 n^2$  with Q many queries to the multi-set  $S_u$ . To be specific, algorithm  $\mathcal{A}(\beta_1, \beta_2, \kappa, T_{\kappa})$  for EMD testing will use algorithm  $ALG(\gamma_1, \gamma_2, T)$  for  $(\gamma_1, \gamma_2)$ -tolerant GI such that  $\gamma_1 = 2\beta_1$ ,  $\gamma_2 = 2\beta_2 - 2\kappa$  and  $T = T_{\kappa}$ . Note that, as  $0 < \beta_1 < \beta_2 < \frac{\epsilon_0}{2}$  and  $\kappa = \frac{\beta_2 - \beta_1}{8}$ ,  $0 < \gamma_1 < \gamma_2 < \epsilon_0$  holds. The details of the reduction, that is, algorithm  $\mathcal{A}$  is described below. Because of space constraint, we are not presenting the proof of correctness of the reduction in this extended abstract. Please refer to our full version [10].

### Description of the reduction

**Input:** A known multi-set  $S_k = \{k_1, \dots, k_n\}$  over  $H_{T_{\kappa}n} = \{0, 1\}^{T_{\kappa}n}$  and query access to an unknown multi-set  $S_u = \{u_1, \dots, u_n\}$  over  $H_{T_{\kappa}n}$ .

**Goal:** To decide whether  $EMD(S_k, S_u) \leq T_{\kappa}\beta_1 n^2$  or  $EMD(S_k, S_u) \geq T_{\kappa}\beta_2 n^2$ .

Construction of  $G_k$  and  $G_u$  from  $S_k$  and  $S_u$ : Let us first construct the graph  $G_k$  from  $S_k$ .  $G_k$  has  $(T_{\kappa}+1)n$  vertices partitioned into two parts  $A_k=\{a_1,\ldots,a_n\}$  and  $B_k=\{b_1,\ldots,b_{T_{\kappa}n}\}$ . Now the edges of  $G_k$  are described as follows:

- $G_k[A_k]$  is a clique with n vertices.
- $G_k[B_k]$  is a copy of the graph  $G_p(V_p, E_p)$  on  $T_{\kappa}n$  vertices as stated in Lemma 3.3 with parameters s = 5,  $\kappa = \frac{\beta_2 \beta_1}{8}$  and  $T_{\kappa} = C_{\kappa,5}$ .
- For the cross edges between the vertices in  $A_k$  and  $B_k$ , we add the edge  $(a_i, b_j)$  to  $E(G_k)$  if and only if the j-th coordinate of  $k_i$  is 1 for all  $i \in [n]$  and  $j \in [T_{\kappa}n]$ .

Note that the graph  $G_k$  constructed above is unique for a given multi-set  $S_k$ . The graph  $G_u$  with the vertex sets  $A_u = \{a'_1, \ldots, a'_n\}$  and  $B_u = \{b'_1, \ldots, b'_{T_k n}\}$  is constructed from the multi-set  $S_u$  in a similar fashion, but at the end, the vertices of  $A_u$  are permuted using a random permutation. So,

- $G_u[A_u]$  is a clique with n vertices.
- $G_u[B_u]$  is a copy of the graph  $G_p(V_p, E_p)$  on  $T_{\kappa}n$  vertices as stated in Lemma 3.3, with parameters s = 5,  $\kappa = \frac{\beta_2 \beta_1}{8}$  and  $T_{\kappa} = C_{\kappa,5}$ .
- Let us first pick a random permutation  $\pi$  on [n]. For the cross edges between the vertices in  $A_u$  and  $B_u$ , we add the edge  $(a'_{\pi(i)}, b_j)$  to  $E(G_u)$  if and only if the j-th coordinate of  $u_i$  is 1 for all  $i \in [n]$  and  $j \in [T_{\kappa}n]$ .

Note that our final objective is to prove a lower bound on the query complexity for tolerant testing of GI, that is, when we have an adjacency query access to  $G_u$ . We will instead show that the lower bound holds even if we have the following query access, named as  $A_u$ -neighborhood-query: the tester can choose a vertex  $a'_i \in A_u$  and in one go obtain the information about the entire neighborhood of  $a'_i$  in  $B_u$ .

Observe that the only part of  $G_u$  that is not known to the tester is the cross edges between  $A_u$  and  $B_u$ . So, in this case, the  $A_u$ -neighborhood query is way more stronger than the standard queries to  $G_u$ , and a lower bound for the  $A_u$ -neighborhood query would imply a lower bound on adjacency query.

## Simulating Queries to $G_u$ by samples drawn from $S_u$ without replacement

Following the above discussion, we will only have to show how to simulate  $A_u$ -neighborhood queries using samples drawn from  $S_u$  without replacement. So, we can assume that the queries are of the form: what are the neighbors of  $a'_i$  in  $B_u$ ? And since in each query the entire neighborhood of  $a'_i$  is obtained, the tester would pick different  $a'_i$  for every query. Note that in  $G_u$ , by construction, the vertices of  $A_u$  were permuted using a random permutation. So, from the point of view of the tester, the  $a'_i$  are just randomly drawn from  $A_u$  minus the set of  $a'_i$  already queried. In other word, the  $a'_i$  are just randomly drawn from  $A_u$  without replacement. Now because of the way the edges between  $A_u$  and  $B_u$  are constructed, the neighborhood of a random  $a'_i$  drawn from  $A_u$  without replacement is same as obtaining random samples from  $S_u$  without replacement. It is also important to note that because of the randomness, the queries made by the tester are actually non-adaptive.

### Description of algorithm $\mathcal{A}$ for testing $EMD(S_k, S_u)$

Run ALG on  $G_k$  and  $G_u$  with parameters  $\gamma_1 = 2\beta_1$  and  $\gamma_2 = 2\beta_2 - 2\kappa$ . If ALG reports  $d(G_k, G_u) \leq T_{\kappa} \gamma_1 n^2$ , output that  $EMD(S_k, S_u) \leq T_{\kappa} \beta_1 n^2$ . Similarly, if ALG reports that  $d(G_k, G_u) \geq T_{\kappa} \gamma_2 n^2$ , then output  $EMD(S_k, S_u) \geq T_{\kappa} \beta_2 n^2$ .

# Tolerant EMD testing is as hard as tolerant graph isomorphism testing

In this section, we prove the following theorem, that discusses about algorithm for tolerant graph isomorphism testing with a blackbox access to tolerant EMD testing over multi-sets.

- ▶ Theorem 4.1 (Restatement of the upper bound part of Theorem 1.4). Let  $G_k$  and  $G_u$  be the known and unknown graphs, respectively. There exists an algorithm that takes parameters  $\gamma_1$  and  $\gamma_2$  as input such that  $0 \le \gamma_1 < \gamma_2 \le 1$ , performs  $\widetilde{\mathcal{O}}\left(\mathrm{QWoR}_{\mathrm{EMD}}(n)\right)$  many queries to the adjacency matrix of  $G_u$  for appropriate  $\beta_1$  and  $\beta_2$  depending on  $\gamma_1$  and  $\gamma_2$ , and decides whether  $d(G_u, G_k) \le \gamma_1 n^2$  or  $d(G_u, G_k) \ge \gamma_2 n^2$ , with probability at least 2/3. Here  $\widetilde{\mathcal{O}}(\cdot)$  hides a polynomial factor in  $\frac{1}{\beta_2 \beta_1}$  and  $\log n$ .
- ▶ Remark 2. The theorem stated above works for any  $\gamma_1, \gamma_2$  such that  $0 \le \gamma_1 < \gamma_2 \le 1$ . However, for simplicity of representation, we have assumed  $\gamma_2 \ge 11\gamma_1$ .
- ▶ Remark 3. Note that Theorem 4.1 can also be stated in terms of  $QWR_{EMD}(n)$  as  $QWOR_{EMD}(n) \leq QWR_{EMD}(n)$  as we can simulate samples with replacement when we have query access to samples without replacement (See Proposition B.5).

Our algorithm for tolerant GI testing, as stated in Theorem 4.1, uses a special kind of tolerant EMD tester over multi-sets: we know t many multi-sets, one multi-set is unknown and two parameters  $\epsilon_1$  and  $\epsilon_2$  are given; the objective is to test tolerant EMD of each known multi-set with the unknown one. The following theorem gives us the special EMD tester.

▶ Theorem 4.2. Let  $H = \{0,1\}^n$  be a n-dimensional Hamming cube. Let  $\{S_k^i : i \in [t]\} \cup \{S_u\}$  denote the multi-sets with n elements from H where  $\{S_k^i : i \in [t]\}$  denote the set of t many known multi-sets and  $S_u$  denotes the unknown multi-set. There exists an algorithm ALG-EMD that takes two proximity parameters  $\epsilon_1, \epsilon_2$  with  $0 \le \epsilon_1 < \epsilon_2 \le 1$  and a  $\delta \in (0,1)$  as input and decides whether  $EMD(S_u, S_k^i) \le \epsilon_1 n^2$  or  $EMD(S_u, S_k^i) \ge \epsilon_2 n^2$ , with probability at least  $1 - \delta$ , for each  $i \in [t]$ . Moreover, ALG-EMD uses  $QWOR_{EMD}(n) \cdot \mathcal{O}\left(\log \frac{t}{\delta}\right)$  many samples without replacement from  $S_u$ .

The above theorem follows from the definition of  $QWoR_{EMD}(n)$  (See Definition 1.3) along with union bound and standard argument for amplifying the success probability.

▶ Remark 4. The algorithm of Theorem 4.1, to be discussed in Section 4.1, formulates a tolerant EMD instance of multi-sets having n elements in  $H = \{0,1\}^d$ , where  $d = \mathcal{O}(\log n/(\gamma_2 - \gamma_1))$ . But ALG-EMD is an algorithm for tolerant EMD testing between two multi-sets having n elements in  $\{0,1\}^n$ . This is not a problem as the query complexity of EMD is an increasing function in dimension (See Proposition B.9 in Appendix B). Moreover, the algorithm in Section 4.1 calls ALG-EMD with parameters  $\epsilon_1 = (\gamma_1 + \frac{\gamma_2 - \gamma_1}{2000})$ ,  $\epsilon_2 = \gamma_2/5$ ,  $t = 2^{\mathcal{O}(\log^2 n/(\gamma_2 - \gamma_1))}$  and  $\delta$  is a suitable constant depending upon  $\gamma_1$  and  $\gamma_2$ , where  $\gamma_1$  and  $\gamma_2$  are parameters as stated in Theorem 4.1. So, each call to ALG-EMD, in our context, makes  $\widetilde{\mathcal{O}}(\text{QWoR}_{EMD}(n))$  many queries.

### 4.1 Algorithm for tolerant graph isomorphism testing

For our algorithm, we need the following definitions of label and embedding.

- ▶ **Definition 4.3.** (Label of a vertex) Given a graph G and  $C \subset V(G) = \{c_1, \ldots c_{|C|}\}$ , the C-labelling of V(G) is a function  $\mathcal{L}_C : V(G) \to \{0,1\}^{|C|}$  such that the i-th entry of  $\mathcal{L}_C(v)$  is 1 if and only if v is a neighbor of  $c_i \in C$ . Also,  $\mathcal{L}_C(v)$  is referred as the label of v under C-labelling of V(G).
- ▶ **Definition 4.4.** (*Embedding* of a Vertex Set into another Vertex Set) Let  $G_u$  and  $G_k$  be two graphs. Consider  $A \subseteq V(G_u)$  and  $B \subseteq V(G_k)$  such that  $|A| \leq |B|$ . An injective mapping  $\eta$  from A to B is referred as an *embedding* of A into B.

Now we present our query algorithm  $TolerantGI(G_u, G_k, \gamma_1, \gamma_2)$  that comprises three phases. The technical overview of the algorithm is already presented in Section 2.2

## Formal Description of TolerantGI( $G_u$ , $G_k$ , $\gamma_1$ , $\gamma_2$ ):

The three phases of our algorithm are as follows:

#### 4.1.1 Phase 1

The first phase of our algorithm consists of the following three steps.

- **Step 1** First we sample a collection  $C_u$  of  $\mathcal{O}(\log n)$  sized random subsets of  $V(G_u)$  with  $|C_u| = \mathcal{O}(\frac{1}{\gamma_2 \gamma_1})$ . We perform **Step 2** and **Step 3** for each  $C_u \in C_u$ .
- Step 2 We determine all possible embeddings, that is,  $\eta_1, \ldots, \eta_J$ , of  $C_u$  into  $V(G_k)$ , where  $J = \binom{n}{\mathcal{O}(\log n)} \leq 2^{\mathcal{O}(\log^2 n)}$ . For each  $i \in [J]$ , let  $C_k^i$  be the set of images of  $C_u$  under the i-th embedding of  $C_u$  into  $V(G_k)$ , that is,  $C_k^i = \eta_i(C_u)$ . For all  $i \in [J]$ , we construct the multi-set  $Y_{C_k^i}$  that contains  $C_k^i$ -labellings of all the vertices of  $G_k$ .
- Step 3 Now for each vertex  $v \in V(G_u)$ , there is a  $C_u$ -labelling of v. Let  $X_{C_u}$  be the multi-set of  $C_u$ -labellings of all the vertices in  $V(G_u)$ . However,  $X_{C_u}$  is unknown to the algorithm. We call ALG-EMD (as stated in Theorem 4.2) by setting parameters as described in Remark 4 to decide whether  $EMD(X_{C_u}, Y_{C_k^i}) \leq (\gamma_1 + \frac{\gamma_2 \gamma_1}{2000})n |C_u|$  or  $EMD(X_{C_u}, Y_{C_k^i}) \geq \gamma_2 n |C_u|/5$ , for each  $i \in [J]$ . Let us pair up  $C_u$ 's and their accepted embeddings into  $G_k$  and call the set  $\Gamma$ , that is,

$$\Gamma = \left\{ (C_u, \eta_i) \mid \text{ALG-EMD decides } EMD(X_{C_u}, Y_{C_k^i}) \le (\gamma_1 + \frac{\gamma_2 - \gamma_1}{2000}) n |C_u| \right\}.$$

### 4.1.2 Phase 2

In the second phase, the algorithm performs the following two steps.

- **Step 1** We sample a subset W of  $\mathcal{O}(\log^2 n/(\gamma_2 \gamma_1)^3)$  vertices randomly from  $G_u$ .
- **Step 2** For each  $(C_u, \eta_i) \in \Gamma$  that has passed **Phase 1**, we perform the following steps:
  - (i) We find the  $C_k^i = \eta_i(C_u)$ -labelling of the vertices of  $G_k$ . Let  $l_1, \ldots, l_t$  be the labels of the vertices where  $t = 2^{|C_k^i|}$  and  $V_j \subseteq V(G_k)$  be the set of vertices with label  $l_j$ .
  - (ii) We define a matrix M of size  $|W| \times 2^{|C_k^i|}$  where each row represents the label of a vertex  $w \in W$  and each column represents one of the possible  $C_k^i$ -labelling of  $V(G_k)^9$ . The (i,j)-th entry of M is defined as:  $M_{ij} = d_H(\mathcal{L}_{C_u}(w_i), l_j)$ .
- (iii) We choose a function  $\psi: W \to \{l_1, \dots l_t\}$  randomly satisfying

$$\sum_{w \in W} d_H(\mathcal{L}_{C_u}(w), \psi(w)) \le \frac{2\gamma_2}{5} |C_u| |W| \text{ and } |\{w : \psi(w) = l_j\}| \le |V_j| \, \forall \, j \in [t]. \tag{1}$$

Let  $\Gamma_W$  be the set of tuples such that

$$\Gamma_W = \{(C_u, \eta_i, \psi) : (C_u, \eta_i) \in \Gamma \text{ and } \psi \text{ satisfies Equation (1)} \}.$$

### 4.1.3 Phase 3

The third phase of our algorithm comprises the following four steps.

- **Step 1** We randomly pair up the vertices of W. Let  $\{(a_1, b_1), \ldots, (a_p, b_p)\}$  be the pairs of the vertices, where  $p = \mathcal{O}(\log^2 n/(\gamma_2 \gamma_1)^3)$ . We now determine which  $(a_i, b_i)$  pairs form edges in  $G_u$  by querying the corresponding entries of the adjacency matrix of  $G_u$ .
- Step 2 For each  $(C_u, \eta_i, \psi) \in \Gamma_W$  that has passed **Phase 2**, we perform **Step 3** and **Step 4** as follows.
- Step 3 We choose an embedding  $\hat{\phi}: W \to V(G_k)$  randomly, satisfying  $\hat{\phi}(w) \in V_j$  if and only if  $\psi(w) = l_j$  and modulo permutation of the vertices in  $V_j$  for all  $j \in [t]$ . In other words, we map each  $w \in W$  to a vertex in  $G_k$  randomly having  $\psi(w) = l_j$  as its  $C_k^i$ -labelling in  $G_k$ .
- Step 4 We find the fraction  $\zeta(C_u, \eta_i, \psi, \hat{\phi}) = |\{(a_i, b_i) : \mathbb{1}_{(a_i, b_i)} = 1\}| / p$ , where  $\mathbb{1}_{(a_i, b_i)} = 1$  if exactly one among  $(a_i, b_i) \in E(G_u)$  and  $(\hat{\phi}(a_i), \hat{\phi}(b_i)) \in E(G_k)$  holds. If  $\zeta(C_u, \eta_i, \psi, \hat{\phi}) \leq 5\gamma_1 + \frac{3}{5}(\gamma_2 \gamma_1)$ , then **HALT and REPORT** that  $G_u$  and  $G_k$  are  $\gamma_1$ -close.

While executing **Step 3** and **Step 4** for each tuple in  $\Gamma_W$ , if we did not **HALT**, then we **HALT** now and **REPORT** that  $G_u$  and  $G_k$  are  $\gamma_2$ -far.

### 5 Conclusion

In this paper, we proved that the query complexity of tolerant GI testing between a known graph  $G_k$  and an unknown graph  $G_u$  is the same as (up to polylogarithmic factor) tolerant testing of EMD between a known multi-set  $S_k$  and an unknown multi-set  $S_u$  when we have

<sup>&</sup>lt;sup>9</sup> Let  $C_u = \left\{ x_1, \dots, x_{\mathcal{O}(\log n/(\gamma_2 - \gamma_1))} \right\}$ . Note that for each  $w_i \in W$ ,  $\mathcal{L}_{C_u}(w_i) \in \left\{ 0, 1 \right\}^{\mathcal{O}(\log n/(\gamma_2 - \gamma_1))}$  such that the j-th coordinate is 1 if and only if  $w_i$  is a neighbour of  $x_j$ , where  $i \in \left[ \mathcal{O}(\log^2 n/(\gamma_2 - \gamma_1)^3) \right]$  and  $j \in \left[ \mathcal{O}(\log n/(\gamma_2 - \gamma_1)) \right]$ . Similarly,  $l_j \in \left\{ 0, 1 \right\}^{\mathcal{O}(\log n/(\gamma_2 - \gamma_1))}$  such that the i-th coordinate of  $l_j$  is 1 if and only if  $\eta(x_i)$  is a neighbour of  $v \in V_j$ , where  $j \in \left[ 2^{|C_k^i|} \right]$ .

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samples without replacement from  $S_u$ . In Lemma B.10, we have shown that the sample complexity of testing of EMD between a known multi-set  $S_k$  and an unknown multi-set  $S_u$  when we have samples with replacement from  $S_u$  is  $\Omega(n/\log n)$ . Thus the natural open question is

What is the query complexity of tolerant EMD testing when we have samples without replacement from the unknown multi-set?

As mentioned before, it is interesting to note that our lower bound proof is via a pure reduction from tolerant graph isomorphism to tolerant testing of EMD of multi-sets over the Hamming cube using samples without replacement. Using our lower bound technique (and Proposition B.7), we can get an alternative proof of Fischer and Matsliah's lower bound result for testing non-tolerant graph isomorphism [13]. Our upper bound proof is also a pure reduction from tolerant testing of EMD of multi-sets over the Hamming cube to tolerant graph isomorphism problem. Thus our reductions also hold for other computational models such as the communication complexity model. So, in the communication model (that is, when Alice and Bob have graphs  $G_a$  and  $G_b$  respectively and they want to estimate the GI-distance between them), the amount of bits of communication is same (up to a polylogarithmic factors) to the problem of estimating the EMD between two distributions over Hamming cube, where Alice and Bob have access to one distribution each. The question we would like to pose is:

What is the randomized communication complexity of testing tolerant graph isomorphism problem?

Fischer and Matsliah [13] studied the non-tolerant version of the graph isomorphism problem in two scenarios: (i) one graph is known and the other graph is unknown, (ii) both the graphs are unknown. They resolved the query complexity of (i), whereas Onak and Sun [19] resolved (ii). With this paper, we initiate the study of tolerant graph isomorphism problem in the query and communication world. So, another natural open question to look for is:

What is the query complexity of tolerant graph isomorphism when both the graphs are unknown?

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### A Preliminaries

All graphs considered here are undirected, unweighted and have no self-loops or parallel edges. For a graph G(V, E), V(G) and E(G) will denote the vertex set and the edge set of G, respectively. Since we are considering undirected graphs, we write an edge  $(u, v) \in E(G)$  as  $\{u, v\}$ . The *Hamming distance* between two points x and y in a Hamming cube  $\{0, 1\}^k$  will be denoted by  $d_H(x, y)$ .

### A.1 Notion of distance between two graphs

First let us define the notion of Decider of a vertex and then the notion of distance between two graphs, using decider of vertices, that is conceptually same as that of Graph Isomorphism Distance defined in Definition 1.1.

▶ **Definition A.1.** (DECIDER of a vertex) Given two graphs  $G_k$  and  $G_u$  and a bijection  $\phi: V(G_u) \to V(G_k)$ , DECIDER of a vertex  $x \in V(G_u)$  with respect to  $\phi$  is defined as the set of vertices of  $G_u$  that create the edge difference in x and  $\phi(x)$ 's neighbourhood in  $G_u$  and  $G_k$ , respectively. Formally,

 $\mathrm{DECIDER}_{\phi}(x) := \{ y \in V(G_u) : \text{ one of the edges } \{x,y\} \text{ and } \{\phi(x),\phi(y)\} \text{ is not present} \}$ 

▶ **Definition A.2.** (DISTANCE between two graphs) Let  $G_u$  and  $G_k$  be two graphs and  $\phi: V(G_u) \to V(G_k)$  be a bijection from the vertex set of  $G_u$  to that of  $G_k$ . The *distance* between  $G_u$  and  $G_k$  under  $\phi$  is defined as the sum of the sizes of the deciders of all the vertices in  $G_u$ , that is,

$$d_{\phi}(G_u, G_k) := \sum_{x \in V(G_u)} |\text{Decider}_{\phi}(x)|.$$

The distance between two graphs  $G_u$  and  $G_k$  is the minimum distance under all possible bijections  $\phi$  from  $V(G_u)$  to  $V(G_k)$ , that is,  $d(G_u, G_k) := \min_{\phi} d_{\phi}(G_u, G_k)$ .

▶ Remark 5. Recall the definition of  $\delta_{GI}(G_u, G_k)$ , Graph Isomorphism Distance between  $G_u$  and  $G_k$ , that is given in Definition 1.1. Observe that  $d(G_u, G_k) = 2\binom{n}{2}\delta_{GI}(G_u, G_k)$ . Though,  $d(G_u, G_k)$  and  $\delta_{GI}(G_u, G_k)$  represent the same thing, conceptually, we will do our calculations by using  $d(G_u, G_k)$  for simplicity of presentation.

Next we define the concept of closeness between two graphs.

▶ Definition A.3. (CLOSE and FAR) For  $\gamma \in [0,1)$ , two graphs  $G_u$  and  $G_k$  with n vertices are  $\gamma$ -close to isomorphic if  $d(G_u, G_k) \leq \gamma n^2$ . Otherwise, we say  $G_u$  and  $G_k$  are  $\gamma$ -far from being isomorphic. <sup>10</sup>

<sup>&</sup>lt;sup>10</sup> By abuse of notation, we will say  $G_u$  and  $G_k$  are  $\gamma$ -far when  $d(G_u, G_k) \geq \gamma n^2$ .

## A.2 Property Testing of Distribution Properties

Understanding different properties of probability distributions have been an active area of research in property testing (For reference, see [9]). The authors studied these problems assuming random sample access from the unknown distributions. Considering the relation between the distributions and their corresponding representative multi-sets, we can say that all these results hold for multi-sets along with access over sampling with replacement.

Although it seems that the change of query model from sample with replacement to sample without replacement does not make much difference, following the work of Freedman [14], we know that the variation distance between probability distributions when accessed via samples with and without replacement, becomes arbitrary close to 1/2 when the number of samples is  $\Omega(\sqrt{n})$ . Because of this reason, many techniques developed for sampling with replacement for various problems no longer work anymore. Most importantly, proving any lower bound better than  $\Omega(\sqrt{n})$  is often nontrivial.

## **B** Earth Mover's Distance (EMD) over Hamming Cube

In this section, we study some properties of *Earth Mover's* distance (EMD) over probability distributions and multi-sets, which are crucial in the context of both our lower and upper bound. Before proceeding to the discussion on EMD, let us first recall the definition of  $\ell_1$  distance between two distributions.

▶ **Definition B.1** ( $\ell_1$  distance between two distributions). Let p and q be two probability distributions over [n]. The  $\ell_1$  distance between p and q is defined as

$$d_{l_1}(p,q) = \sum_{i=1}^{n} |p(i) - q(i)|$$

▶ **Definition B.2** (EMD between two probability distributions). Let  $H = \{0,1\}^d$  be a Hamming cube of dimension d, and p,q be two probability distributions on H. The EMD between p and q is denoted by EMD(p,q) and defined as the optimum solution to the following linear program:

Minimize 
$$\sum_{x,y\in H} f_{xy}d_H(x,y)$$
 Subject to 
$$\sum_{y\in H} f_{xy} = p(x) \ \forall x\in H, \ \text{and} \ \sum_{x\in H} f_{xy} = q(y) \ \forall y\in H.$$

Now we define EMD between two multi-sets.

▶ Definition B.3 (*EMD* between two multi-sets). Let  $S_1, S_2$  be two multi-sets on a Hamming cube  $H = \{0,1\}^d$  of dimension d with  $|S_1| = |S_2|$ . The *EMD* between  $S_1$  and  $S_2$  is denoted by  $EMD(S_1, S_2)$  and defined as  $EMD(S_1, S_2) = \min_{\phi: S_1 \to S_2} \sum_{x \in S_1} d_H(x, \phi(x))$  where  $\phi$  is a bijection from  $S_1$  to  $S_2$ .

Note that an unknown distribution p is accessed by taking samples from p. However, a multi-set is accessed as follows:

▶ **Definition B.4** (Query accesses to multi-sets). A multi-set S of n elements is accessed in one of the following ways:

Sample Access with replacement: Each element of S is reported uniformly at random independent of all previous queries.

**Sample Access without replacement:** Let us assume we make Q queries to S, where  $Q \leq n$ . The answer to the first query, say  $s_1$ , is an element from S chosen uniformly at random. For any  $0 \leq i \leq Q$ , the answer of the i-th query is an element chosen uniformly at random from  $S \setminus \{s_1, \ldots, s_{i-1}\}$ . Here  $s_i, 1 \leq j \leq Q$ , denotes the answer to the j-th query.

Although sampling **with** replacement is more natural query model, we need sampling **without** replacement for our lower bound proof. We now note that we can simulate samples **with** replacement when we have samples **without** replacement.

▶ Proposition B.5 (Simulating samples with replacement from samples without replacement). Given Q many samples without replacement from an unknown multi-set  $S_u$  with n elements, we can simulate Q many samples with replacement from  $S_u$  where  $Q \leq n$ .

For a formal proof of the above proposition, see [10]. The following observation connects the EMD between two probability distributions with that of between two multi-sets.

▶ Observation B.6. Let p, q be two K-grained probability distributions  $^{11}$  on a n dimensional Hamming cube  $H = \{0,1\}^n$ . Then p and q induces two multi-sets  $S_1$  and  $S_2$  on H, respectively, as follows.  $S_1$  ( $S_2$ ) is the multi-set containing  $x \in H$  with multiplicity p(x)K (q(x)K) for each  $x \in H$ . Moreover,  $EMD(p,q) = \frac{EMD(S_1,S_2)}{K}$ .

See [10] for a formal proof.

- ▶ **Remark 6.** Note that sample access from a probability distribution is exactly same as uniform sampling from a multi-set **with** replacement.
- ▶ Proposition B.7. Let  $\mathcal{D}$  be the set of all multi-sets of size n over a universe [m]; let  $S_k$  and  $S_u$  in  $\mathcal{D}$  denote the known and unknown multi-sets over [n]; and Prop :  $\mathcal{D} \times \mathcal{D} \to \{0,1\}$  be a boolean function. Then the following holds:

If there exists an algorithm that determines PROP by Q many samples without replacement from  $S_u$  with probability at least 2/3, then there exists an algorithm that determines PROP by  $\min\{Q, \sqrt{\min\{n, m\}}\}$  many samples with replacement from  $S_u$  with probability at least 2/3 - o(1).

This follows from the fact that when  $Q = o(\sqrt{n})$  and  $D_{WR}$  ( $D_{WoR}$ ) be the probability distribution over all the subsets having Q elements from [n] with (without) replacement, the  $\ell_1$  distance between  $D_{WR}$  and  $D_{WoR}$  is o(1).

▶ Definition B.8 (EMD over multi-sets while sampling with and without replacement). Let  $S_k$  and  $S_u$  denote the known and the unknown multi-sets, respectively, over n-dimensional Hamming cube  $H = \{0,1\}^n$  such that  $|S_u| = |S_k| = n$ . Consider the two distributions  $p_u$  and  $p_k$  over the Hamming cube H that are naturally defined by the sets  $S_u$  and  $S_k$  where for all  $x \in H$  probability of x in  $p_u$  (and  $p_k$ ) is the number of occurrences of x in  $S_u$  (and  $S_k$ ) divided by n. We then define the EMD between the multi-sets  $S_u$  and  $S_k$  as

$$EMD(S_u, S_k) \triangleq n \cdot EMD(p_u, p_k).$$

The problem of estimating the EMD over multi-sets while sampling with (or without) replacement means designing an algorithm, that given any two constants  $\beta_1, \beta_2$  such that  $0 \le \beta_1 < \beta_2 \le 1$ , and access to the unknown set  $S_u$  by sampling with (or without)

<sup>&</sup>lt;sup>11</sup> The probability of each element in the sample space is an integer multiple of  $\frac{1}{K}$ .

replacement decides whether  $EMD(S_k, S_u) \leq \beta_1 n^2$  or  $EMD(S_k, S_u) \geq \beta_2 n^2$  with probability at least 2/3.

Note that estimating the EMD over multi-sets while sampling with replacement is exactly same as estimating EMD between the distributions  $p_u$  and  $p_k$  with samples drawn according to  $p_u$ .

Let  $\mathrm{QWR}_{\mathrm{EMD}}(n,d,\beta_1,\beta_2)$  (and  $\mathrm{QWoR}_{\mathrm{EMD}}(n,d,\beta_1,\beta_2)$ ) denote the number of samples **with** (and **without**) replacement required to decide the above from the unknown multi-set  $S_u$ . For ease of presentation, we write  $\mathrm{QWoR}_{\mathrm{EMD}}(n,d)$  ( $\mathrm{QWR}_{\mathrm{EMD}}(n,d)$ ) instead of  $\mathrm{QWoR}_{\mathrm{EMD}}(n,d)$  ( $\mathrm{QWR}_{\mathrm{EMD}}(n,\beta_1,\beta_2)$ ) when the proximity parameters are clear from the context.

- ▶ **Proposition B.9** (Query complexity of EMD increases with number of points as well as dimension). Let  $n, n_1, n_2, d, d_1, d_2 \in \mathbb{N}$  be such that  $d_1 \leq d_2$  and  $n_1 \leq n_2$ . Then
  - (i)  $QWR_{EMD}(n_1, d) \leq QWR_{EMD}(n_2, d)$ ;
- (ii)  $QWoR_{EMD}(n_1, d) \leq QWoR_{EMD}(n_2, d);$
- (iii)  $QWR_{EMD}(n, d_1) \leq QWR_{EMD}(n, d_2)$ ; and
- (iv)  $QWoR_{EMD}(n, d_1) \leq QWoR_{EMD}(n, d_2)$ .
- ▶ Remark 7. For d=n (as considered in Definition 1.3),  $\mathrm{QWoR}_{\mathrm{EMD}}(n,d)$  (and  $\mathrm{QWR}_{\mathrm{EMD}}(n,d)$ ) are denoted as  $\mathrm{QWoR}_{\mathrm{EMD}}(n)$  (and  $\mathrm{QWR}_{\mathrm{EMD}}(n)$ ).

Now let us state the lower bound of  $QWR_{EMD}(n)$ .

- ▶ Theorem B.10.  $QWR_{EMD}(n) = \Omega(\frac{n}{\log n})$ . Thus following Proposition B.7, we have
- ▶ Theorem B.11.  $QWoR_{EMD}(n) = \Omega(\sqrt{n})$ .

Note that an upper bound of  $\mathrm{QWoR}_{\mathrm{EMD}}(n) = \mathcal{O}(n)$  is trivial. In the rest of the section, we focus on proving Theorem B.10 that states the lower bound on  $\mathrm{QWR}_{\mathrm{EMD}}(n)$ . We also provide an upper bound for  $\mathrm{QWR}_{\mathrm{EMD}}(n)$  at Lemma B.16 that shows that  $\mathcal{O}(n)$  many samples with replacement from  $S_u$  to estimate  $\mathrm{QWR}_{\mathrm{EMD}}(n)$ . Note that by Remark 6, it is enough to show the following lemma that states the lower bound for tolerant EMD testing between two distributions.

▶ Lemma B.12. Let S be a subset of a Hamming cube  $H = \{0,1\}^n$  such that the minimum distance between any pair of points in S is at least  $\frac{n}{2}$ . Also, let p and q be two known and unknown distributions, respectively, supported over a subset of S. Then there exists a constant  $\epsilon_{EMD}$  such that the following holds. Given two constants  $\beta_1, \beta_2$  with  $0 < \beta_1 < \beta_2 < \epsilon_{EMD}(c)$ ,  $\Omega\left(\frac{n}{\log n}\right)$  samples from the distribution q are necessary in order to decide whether  $EMD(p,q) \leq \beta_1 n$  or  $EMD(p,q) \geq \beta_2 n$ . More over,  $\epsilon_{EMD} = \frac{1-\epsilon_{\ell_1}}{4}$ , where  $\epsilon_{\ell_1}$  is the constant that is mentioned in Theorem B.14.

To prove the above lower bound, let us first consider the following lower bound for tolerant  $\ell_1$  testing between two probability distributions.

▶ Theorem B.13 (Valiant and Valiant [25]). Let p and q be two known and unknown probability distributions respectively over [n]. There is an absolute constant  $\epsilon$  such that in order to decide whether  $||p-q||_1 \leq \epsilon$  or  $||p-q||_1 \geq 1-\epsilon$ ,  $\Omega(\frac{n}{\log n})$  samples, from the distribution q, are necessary. 12

<sup>&</sup>lt;sup>12</sup> Note that this is rephrasing of the result proved in [25]. For reference, see Chapter 5 of the survey by Canonne [9].

Now, we restate the above result for our purpose.

▶ Theorem B.14. Let p and q be two known and unknown probability distributions, having support size n, over a Hamming cube  $H = \{0,1\}^n$ . There is an absolute constant  $\epsilon_{\ell_1}$  such that in order to decide whether  $\|p-q\|_1 \leq \alpha_1$  or  $\|p-q\|_1 \geq \alpha_2$  with  $0 < \alpha_1 < \alpha_2 \leq 1 - \epsilon_{\ell_1}$ ,  $\Omega(\frac{n}{\log n})$  samples, from the distribution q, are necessary.

As noted earlier, we will prove Theorem B.10 by using Lemma B.14. However, Theorem B.10 is regarding EMD between two distributions whereas Lemma B.14 is regarding  $\ell_1$  distance between two distributions. The following observation (from [12]) gives a connection between EMD between two distributions with the  $\ell_1$  distance between them, which will be required in lower bound proof.

▶ Proposition B.15 ([12]). Let (M, D) be a finite metric space and p and q be two probability distributions on M. Minimum distance between any two points of M is  $\Delta_{\min}$  and diameter of M is  $\Delta_{\max}$ . Then the following condition holds:

$$\frac{\|p-q\|_1\Delta_{\min}}{2} \leq EMD(p,q) \leq \frac{\|p-q\|_1\Delta_{\max}}{2}.$$

Note that the above proposition gives interesting result when  $\frac{\Delta_{\max}}{\Delta_{\min}}$  is bounded by a constant. Note that  $S \subset \{0,1\}^n$  satisfies  $\frac{\Delta_{\max}}{\Delta_{\min}} \leq 2$ .

**Proof of Lemma B.12.** In  $S \subset H = \{0,1\}^n$ , the pairwise Hamming distance between any two elements in S is at least  $\frac{n}{2}$ , to have  $\frac{\Delta_{\max}}{\Delta_{\min}} \leq 2$  in our context. It is well known that  $|S| = \Omega(n)$ . We will show that if there exists an algorithm  $\mathcal{A}$  that decides  $EMD(p,q) \leq \beta_1 n$  or  $EMD(p,q) \geq \beta_2 n$  by using t samples from q, then there exists an algorithm  $\mathcal{P}$  that decides whether  $||p-q||_1 \leq \alpha_1$  or  $||p-q||_1 \geq \alpha_2$  by using t samples from q, where  $\alpha_1 = 2\beta_1$  and  $\alpha_2 = 4\beta_2$ . Note that we have  $0 < \beta_1 < \beta_2 < \frac{1-\epsilon_{\ell_1}}{4}$ . So,  $0 < \alpha_1 < \alpha_2 < 1-\epsilon_{\ell_1}$ , which satisfies the requirement of Theorem B.14.

#### Algorithm $\mathcal{P}$ :

- (1) First run algorithm A.
- (2) If the output of algorithm  $\mathcal{A}$  is  $EMD(p,q) \leq \beta_1 n$ , algorithm  $\mathcal{P}$  returns  $||p-q||_1 \leq \alpha_1$ .
- (3) If the output of algorithm  $\mathcal{A}$  is  $EMD(p,q) \geq \beta_2 n$ , algorithm  $\mathcal{P}$  returns  $||p-q||_1 \geq \alpha_2$ .

To complete the proof, we only need to show that  $\mathcal{P}$  gives desired output with probability at least 2/3. The result then follows from Theorem B.14.

Let us first consider the case  $||p-q||_1 \leq \alpha_1$ . Then by Observation B.15, we can say that  $EMD(p,q) \leq \frac{\alpha_1 n}{2} = \beta_1 n$ . Therefore algorithm  $\mathcal{A}$  will output that  $EMD(p,q) \leq \beta_1 n$ . This implies that the algorithm  $\mathcal{P}$  will output  $||p-q||_1 \leq \alpha_1$ .

Now, let us consider the case  $||p-q||_1 \ge \alpha_2$ . Using the fact that any pair elements in  $S \subset H$  is at least  $\frac{n}{2}$  along with Observation B.15, we get  $EMD(p,q) \ge \frac{\alpha_2 n}{4} = \beta_2 n$ . This implies  $\mathcal{P}$  will output  $||p-q||_1 \ge \alpha_2$ .

Till now, we were discussing the proof of Lemma B.12 that states  $\mathrm{QWR}_{\mathrm{EMD}}(n) = \Omega(\frac{n}{\log n})$ . The lower bound is almost tight, up to a polynomial factor of  $\log n$ . The upper bound is stated in the following observation.

▶ Observation B.16. QWR<sub>EMD</sub> $(n) = \widetilde{\mathcal{O}}(n)$ , where  $\widetilde{\mathcal{O}}(\cdot)$  hides a polynomial factor in  $\frac{1}{\beta_2 - \beta_1}$  and log n.

Instead of proving the above observation, we prove the following lemma that states the upper bound of tolerant EMD testing between two distributions when we know one distribution and have sample access to the unknown distribution. By Remark 6, we will be done with the proof of Observation B.16.

▶ Lemma B.17. Let  $H = \{0,1\}^n$  be a n-dimensional Hamming cube, and let p and q denote two known and unknown n-grained distribution over H. There exists an algorithm that takes two parameters  $\beta_1, \beta_2$  with  $0 \le \beta_1 < \beta_2 \le 1$  and a  $\delta \in (0,1)$  as input and decides whether  $EMD(p,q) \le \beta_1 n$  or  $EMD(p,q) \ge \beta_2 n$  with probability at least  $1-\delta$ . Moreover, the algorithm ALG-EMD queries for  $\widetilde{\mathcal{O}}(n)$  many samples from q, where  $\widetilde{\mathcal{O}}(\cdot)$  hides a polynomial factor in  $\frac{1}{\beta_2-\beta_1}$  and  $\log n$ .

**Proof.** Let  $\epsilon$  be a constant less than  $(\beta_2 - \beta_1)$ . We construct a probability distribution q' such that the  $\ell_1$  distance between q and q' will be at most  $\epsilon$ , that is,  $\sum_{i \in [L]} |q(i) - q'(i)| \le \epsilon$ .

Note that such a q' can be constructed with probability at least  $1-\delta$  by querying for  $\widetilde{\mathcal{O}}(n)$  many samples of q which follows from [11]. Then, we find EMD(p,q'). Observe that  $|EMD(p,q)-EMD(p,q')| \leq \frac{\epsilon n}{2}$ . This is because

$$|EMD(p,q) - EMD(p,q')| \leq |EMD(p,q') + EMD(q',q) - EMD(p,q')|$$
  
$$\leq EMD(q,q')$$
  
$$\leq \frac{\epsilon d}{2} \text{ (By Proposition B.15)}$$

As  $EMD(p,q) \leq \beta_1 n$  or  $EMD(p,q) \geq \beta_2 n$ , by the above observation, we will get either  $EMD(p,q') \leq \left(\beta_1 + \frac{\epsilon}{2}\right) n$  or  $EMD(p,q') \geq \left(\beta_1 + \frac{\epsilon}{2}\right) n$ , respectively. By our choice of  $\epsilon < \beta_2 - \beta_1$ , we can decide  $EMD(p,q) \leq \beta_1 n$  or  $EMD(p,q) \geq \beta_2 n$  from the value of EMD(p,q').