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*Aims and Scope*

The periodical *Dagstuhl Reports* documents the program and the results of Dagstuhl Seminars and Dagstuhl Perspectives Workshops.

In principal, for each Dagstuhl Seminar or Dagstuhl Perspectives Workshop a report is published that contains the following:

- an executive summary of the seminar program and the fundamental results,
- an overview of the talks given during the seminar (summarized as talk abstracts), and
- summaries from working groups (if applicable).

This basic framework can be extended by suitable contributions that are related to the program of the seminar, e. g. summaries from panel discussions or open problem sessions.

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Dagstuhl Reports, Editorial Office  
Oktavie-Allee, 66687 Wadern, Germany  
[reports@dagstuhl.de](mailto:reports@dagstuhl.de)  
<http://www.dagstuhl.de/dagrep>

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# The Graph Isomorphism Problem

Edited by

László Babai<sup>1</sup>, Anuj Dawar<sup>2</sup>, Pascal Schweitzer<sup>3</sup>, and  
Jacobo Torán<sup>4</sup>

1 University of Chicago, US, [laci@cs.uchicago.edu](mailto:laci@cs.uchicago.edu)

2 University of Cambridge, GB, [anuj.dawar@cl.cam.ac.uk](mailto:anuj.dawar@cl.cam.ac.uk)

3 RWTH Aachen University, DE, [schweitzer@informatik.rwth-aachen.de](mailto:schweitzer@informatik.rwth-aachen.de)

4 Universität Ulm, DE, [jacobo.toran@uni-ulm.de](mailto:jacobo.toran@uni-ulm.de)

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## Abstract

This report documents the program and the outcomes of Dagstuhl Seminar 15511 “The Graph Isomorphism Problem”. The goal of the seminar was to bring together researchers working on the numerous topics closely related to the Isomorphism Problem to foster their collaboration. To this end the participants of the seminar included researchers working on the theoretical and practical aspects of isomorphism ranging from the fields of algorithmic group theory, finite model theory, combinatorial optimization to algorithmics. A highlight of the conference was the presentation of a new quasi-polynomial time algorithm for the Graph Isomorphism Problem, providing the first improvement since 1983.

**Seminar** December 13–18, 2015 – <http://www.dagstuhl.de/15511>

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**Edited in cooperation with** Sandra Kiefer

## 1 Executive Summary

*Pascal Schweitzer*

*László Babai*

*Anuj Dawar*

*Jacobo Torán*

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The Graph Isomorphism Problem remains one of the two unresolved computational problems from Garey and Johnson’s list dating back to 1979 of problems with unknown complexity status. In very rough terms the problem asks to decide whether two given graphs are structurally different or one is just a perturbed variant of the other. The problem naturally arises when one is faced with the task of classifying relational structures (e.g., chemical molecules, websites and links, road networks).

While the Graph Isomorphism Problem was intensively studied from the point of view of computational complexity in the 1980s and early 1990s, in later years progress became slow and interest in the problem stalled. However, recent years have seen the emergence of a variety of results related to graph isomorphism in a number of research areas including algorithmic



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group theory, finite model theory, combinatorial optimization and parameterized algorithms, not to mention graph theory itself. Indeed, having been open and quite prominent for such a long time, the Graph Isomorphism Problem is repeatedly attacked with the abundance of algorithmic techniques that have been developed over the decades. While this has not led to resolution of the problem, it has led to applications of methods originally developed for the Graph Isomorphism Problem in other areas (such as machine learning and constraint satisfaction problem solving). It has also sparked fascinating concepts in complexity theory, led to a thriving compilation of techniques in algorithmic group theory, the development of software packages (such as canonical labeling tools) and perpetuating effects in algorithmic graph theory in general.

While a lot of other computational problems have a specific community associated with them, resulting in dedicated conferences, the situation for the isomorphism problem is different. This is due to the fact that the background of people working on the isomorphism problem is quite diverse which leads to infrequent encounters at regular conferences or other events. Moreover, there is a big gap between theory and practice, a phenomenon verbalized by Brendan McKay as two distinct galaxies with very few stars in between them. Indeed, the algorithms that are asymptotically fastest in theory are very different to the ones that prove to be the fastest in practical implementations. The original motivation of the seminar was to bring together researchers working on the many topics closely related to the Isomorphism Problem to foster their collaboration.

However, the face of the seminar was to change, as one of the organizers (László Babai) published a proof on the arXiv (<http://arxiv.org/abs/1512.03547>) on the night before the seminar that shows that graph isomorphism can be solved in quasi-polynomial time (see the abstract to the talk below). This is the first improvement over the moderately exponential algorithm for general graphs by Luks from 1983. Babai gave three intense blackboard presentations each with a duration of two hours on the new quasi-polynomial time algorithm. Apart from the presentations, there were a number of excellent talks including expository surveys on recent advances in a variety of aspects of the Graph Isomorphism Problem as detailed below.

Overall a memorable event, we hope that the seminar has encouraged future collaboration across the different areas which eventually brings us closer to the theoretical and practical resolution of the problem.

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### 3 Overview of Talks

#### 3.1 Graph Indistinguishability Through Hierarchies of Relaxations

*Albert Atserias (UPC – Barcelona, ES)*

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**Joint work of** Atserias, Albert; Maneva, Elitza

**Main reference** A. Atserias, E. N. Maneva, “Sherali-Adams Relaxations and Indistinguishability in Counting Logics”, *SIAM Journal on Computing*, 42(1):112–137, 2013.

**URL** <http://dx.doi.org/10.1137/120867834>

As with all other problems in NP, one can write the graph isomorphism problem as a 0-1 integer linear programming feasibility problem. The straightforward relaxations into a real or rational-valued linear program leads to the concept of fractional isomorphism as first studied by Tinhofer. A natural question to ask is what the levels of the Sherali-Adams (SA) hierarchy of linear programming relaxations give when they are applied to fractional isomorphism. In this talk I will spend a significant amount of time explaining what the SA-hierarchy of relaxations is, and what the answer to this natural question is.

#### 3.2 Graph Isomorphism in Quasipolynomial Time

*László Babai (University of Chicago, US)*

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**Main reference** L. Babai, “Graph Isomorphism in Quasipolynomial Time”, arXiv:1512.03547 [cs.DS], 2015.

**URL** <http://arxiv.org/abs/1512.03547v2>

We show that the Graph Isomorphism (GI) problem and the related problems of String Isomorphism (under group action) (SI) and Coset Intersection (CI) can be solved in quasipolynomial ( $\exp((\log n)^{O(1)})$ ) time. The best previous bound for GI was  $\exp(O(\sqrt{n \log n}))$ , where  $n$  is the number of vertices (Luks, 1983); for the other two problems, the bound was similar,  $\exp(\tilde{O}(\sqrt{n}))$ , where  $n$  is the size of the permutation domain (Babai, 1983).

The algorithm builds on Luks’s SI framework and attacks the barrier configurations for Luks’s algorithm by group theoretic “local certificates” and combinatorial canonical partitioning techniques. We show that in a well-defined sense, Johnson graphs are the only obstructions to effective canonical partitioning.

Luks’s barrier situation is characterized by a homomorphism  $\varphi$  that maps a given permutation group  $G$  onto  $S_k$  or  $A_k$ , the symmetric or alternating group of degree  $k$ , where  $k$  is not too small. We say that an element  $x$  in the permutation domain on which  $G$  acts is *affected* by  $\varphi$  if the  $\varphi$ -image of the stabilizer of  $x$  does not contain  $A_k$ . The affected/unaffected dichotomy underlies the core “local certificates” routine and is the central divide-and-conquer tool of the algorithm.

### 3.3 A Near-Optimal Lower Bound on the Number of Refinement Steps of the Weisfeiler-Leman Algorithm

Christoph Berkholz (HU Berlin, DE)

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**Joint work of** Berkholz, Christoph; Nordström, Jakob

We show that there are pairs of non-isomorphic  $n$ -element relational structures that can be distinguished by the  $k$ -dimensional Weisfeiler-Leman Algorithm, but not within  $n^{o(k/\log k)}$  refinement steps. This lower bound holds for all  $k < n^{0.01}$  and nearly matches the  $n^k$  upper bound, the best previous lower bound was linear in  $n$  [Fürer 2001]. The hard examples are based on unsatisfiable XOR formulas (encoded as relational structures) and it remains open to prove a similar lower bound for graphs.

This result is part of an unpublished joint work with Jakob Nordström.

### 3.4 Query Complexity for Testing Graph Isomorphism and Related Questions

Sourav Chakraborty (Chennai Mathematical Institute, IN)

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**Joint work of** Alon, Noga; Babai, László; Blais, Eric; Chakraborty, Sourav; Fischer, Eldar; Garcia-Soriano, David; Matsliah, Arie

**Main reference** L. Babai, S. Chakraborty, “Property Testing of Equivalence under a Permutation Group Action”, Electronic Colloquium on Computational Complexity (ECCC), 15(040), 2008.

**URL** <http://eccc.hpi-web.de/eccc-reports/2008/TR08-040/index.html>

We study the graph isomorphism from the point of view of query complexity. That is, how many queries to the adjacency matrix of the graph is necessary to decide if two graphs are isomorphic (or “far” from isomorphic). We also study generalizations of the graph isomorphism problem: namely the uniform hyper-graph isomorphism problem and the string isomorphism under the action of a transitive group. We also talk about the query complexity for function isomorphism.

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### 3.5 Finding Canonical Representations for Circular-Arc Graphs

Maurice Chandoo (Leibniz Universität Hannover, DE)

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**Main reference** M. Chandoo, “Deciding Circular-Arc Graph Isomorphism in Parameterized Logspace”, in Proc. of the 33rd Symp. on Theoretical Aspects of Computer Science (STACS’16), LIPIcs, Vol. 47, pp. 26:1–26:13, Schloss Dagstuhl – Leibniz-Zentrum für Informatik, 2016.

**URL** <http://dx.doi.org/10.4230/LIPIcs.STACS.2016.26>

In [1] it is shown how to find canonical representations for Helly CA graphs by reducing it to the problem of finding a canonical representation for interval graphs. The idea is to find a sequence of algebraic flips [2] that turns a Helly CA graph into an interval graph. Such a sequence corresponds to a subset of vertices, which we shall call flip set.

We show that for a large subclass of CA graphs containing HCA graphs it is quite easy to find such flip sets. More interestingly, however, is the fact that the remaining class of CA graphs have a quite restricted structure and finding flip sets for this class boils down to developing an understanding of a certain substructure in these graphs. The goal of the talk is to give a rough understanding of what these difficult CA graphs look like and what the substructure of interest is.

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- 2 Ross M. McConnell. Linear-Time Recognition of Circular-Arc Graphs. *Algorithmica*, 37(2):93–147, 2003. DOI: 10.1007/s00453-003-1032-7

### 3.6 Isomorphism through Coherent Algebras on Finite Fields

Anuj Dawar (University of Cambridge, GB)

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**Joint work of** Dawar, Anuj; Holm, Bjarki

The  $k$ -dimensional Weisfeiler-Lehman method for distinguishing graphs is usually described in combinatorial terms as an iterative refinement procedure classifying  $k$ -tuples of vertices. The method also has an alternative characterization through coherent algebras (also called cellular algebras or coherent configurations) of complex matrices. This was the original form proposed by Weisfeiler and Lehman, for the 2-dimensional case. In this talk, I explore a variation of the method obtained by considering coherent algebras over finite fields, instead of the complex field. This yields a family of isomorphism tests which are polynomial-time decidable and of strictly wider applicability than the Weisfeiler-Lehman method. I explore the extent and limitations of the method, showing in particular that it can decide isomorphism on graphs of colour-class size 4.

### 3.7 Logspace Canonizations for Graphs of Bounded Tree Width and Graphs of Bounded Genus

Michael Elberfeld (RWTH Aachen University, DE)

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Finding a polynomial-time canonization algorithm for a class of graphs opens up the question of whether we can improve the algorithm with respect to its sequential and parallel runtime or memory footprint. This talk presents two recently developed canonization algorithms that have a logarithmic memory (logspace) footprint. The first applies to every class of graphs with a constant tree width [2] while the second applies to every class of graphs with a constant genus [1]. After motivating and presenting the results, we focus on the proof techniques: The first technique is an extension of Lindell’s tree canonization [4] to dynamically refining tree decompositions and the second extends the idea of using universal exploration sequences for traversing 3-connected planar graphs [3] to uniquely-embeddable graphs of bounded genus.

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### 3.8 Decomposition Techniques for Graph Isomorphism Testing

Martin Grohe (RWTH Aachen University, DE)

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My talk was about various types of decompositions of graphs and other structures, such as tree decompositions, rank decompositions, and more generally branch decompositions of general connectivity systems, and their applications in graph isomorphism testing. Two such applications are our recent polynomial isomorphism tests for graph classes excluding a topological subgraph (with Daniel Marx) and for graph classes of bounded rank width (with Pascal Schweitzer).

### 3.9 Graphs Identified by the Weisfeiler-Leman Algorithm

*Sandra Kiefer (RWTH Aachen University, DE)*

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**Joint work of** Kiefer, Sandra; Schweitzer, Pascal; Selman, Erkal

**Main reference** S. Kiefer, P. Schweitzer, E. Selman, “Graphs identified by logics with counting”, arXiv:1503.08792 [cs.LO], 2015.

**URL** <http://arxiv.org/abs/1503.08792v1>

I present a classification of graphs and, more generally, finite relational structures that are identified by Color Refinement, i.e., by the 1-dimensional Weisfeiler-Leman algorithm. Using this classification, I describe how it can be decided in almost linear time whether a structure is identified by Color Refinement. The classification implies that for every identified graph, all vertex-colored versions of it are also identified. A similar statement is true for finite relational structures. The classification yields another nice result: Every class of graphs indistinguishable by Color Refinement contains a graph whose orbits are exactly the classes of the color partition of its vertex set and which has a single automorphism witnessing this fact. Considering higher-dimensional versions of the Weisfeiler-Leman algorithm, I explain why such statements are not true: I present examples of graphs of size linear in  $k$  which are identified by the 2-dimensional Weisfeiler-Leman algorithm but for which the orbit partition is strictly finer than the partition induced by the  $k$ -dimensional algorithm. These graphs have vertex-colored versions that are not identified by the  $k$ -dimensional algorithm, which can be seen using a pebble game argument.

This is joint work with Pascal Schweitzer and Erkal Selman.

### 3.10 Canonical Representation of Some Classes of Circular-Arc Graphs

*Sebastian Kuhnert (HU Berlin, DE)*

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**Joint work of** Köbler, Johannes; Kuhnert, Sebastian; Laubner, Bastian; Verbitsky, Oleg

The frontier for efficient isomorphism testing runs right through the class of circular-arc (CA) graphs. On the one hand, the isomorphism problem is L-complete for important subclasses like interval graphs [1], proper CA graphs [2] and Helly CA graphs [3]. On the other hand, it remains open whether isomorphism of general CA graphs is in P; cf. [4].

This talk surveys the logspace algorithms of [1, 2, 3], which actually compute canonical representations for the respective graph classes. That is, for a given graph, they compute an intersection representation of the respective type such that isomorphic graphs are mapped to identical intersection models. This implies that both the recognition and the canonization problem of these graph classes are in logspace.

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### 3.11 Representation of Groups on Graphs

*Piyush P. Kurur (Indian Institute of Technology – Kanpur, IN)*

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**Joint work of** Dutta, Sagarmoy; Kurur, Piyush P.

**Main reference** S. Dutta, P. P. Kurur, “Representing Groups on Graphs”, in Proc. of the 34th Int’l Symp. on Mathematical Foundations of Computer Science (MFCS’09), LNCS, Vol. 5734, pp. 295–306, Springer, 2009; pre-print available from author’s webpage.

**URL** [http://dx.doi.org/10.1007/978-3-642-03816-7\\_26](http://dx.doi.org/10.1007/978-3-642-03816-7_26)

**URL** <http://cse.iitk.ac.in/users/ppk/research/publication/DK2009.pdf>

A representation of a group  $G$  on a graph  $X$  is a homomorphism from the group  $G$  to the automorphism subgroup  $\text{Aut}(X)$  of  $X$ . In this talk, I study the following problem: Given a group  $G$  as a Cayley table and a graph  $X$ , decide whether there is a non-trivial representation of  $G$  on  $X$  (there is always the trivial one which sends all elements of  $G$  to the identity automorphism). We call this problem the group representability problem and the main goal is to understand its relative complexity w.r.t. the graph isomorphism problem.

It turns out that graph isomorphism problem reduces to abelian group representability problem. In the other direction even solvable group representability problem reduces to graph isomorphism problem. However, nothing is known about the general problem. In particular, for a fixed non-solvable group like say  $A_5$  we do not know the hardness of deciding whether it is representable on a graph  $X$ .

This is joint work with Sagarmoy Dutta.

### 3.12 Group Isomorphism via Fixed Composition Series

*Eugene M. Luks (University of Oregon – Eugene, US)*

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**Main reference** E. M. Luks, “Group Isomorphism with Fixed Subnormal Chains”, arXiv:1511.00151 [cs.CC], 2015.

**URL** <http://arxiv.org/abs/1511.00151v1>

In recent work, David Rosenbaum and Fabian Wagner showed that, for  $p$ -groups of order  $n$  given by Cayley tables, isomorphism-testing is in time  $n^{(1/2)\log_p n + O(p)}$  time, where  $n$  is the group order; this is roughly a square-root of the classical bound. Rosenbaum subsequently extended the result to solvable groups achieving an  $n^{(1/2)\log_p n + O(\log n / \log \log n)}$  time, where  $p$  is the smallest prime divisor of  $n$ . The  $n^{O(p)}$  and  $n^{O(\log n / \log \log n)}$  factors, respectively, are contributed by the cost of testing for isomorphisms that match fixed composition series in the two groups. Their results then follow by bounding the number of possible composition series.

We focus now on that fixed-composition-series-isomorphism subproblem and show it is in polynomial-time even for general groups. This immediately implies isomorphism-testing of groups in time  $n^{(1/2)\log_q n + O(1)}$  and polynomial space, where  $q$  can even be taken to be the minimum order of a composition factor. Furthermore, an extension to fixed-composition-series-canonicalization together with Rosenbaum’s “bidirectional collision” yields group isomorphism-testing and canonicalization with time and space balanced at  $n^{(1/4)\log_q n + O(1)}$ .

### 3.13 Practical Graph Isomorphism

*Brendan McKay (Australian National University – Canberra, AU)*

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**Joint work of** McKay, Brendan; Piperno, Adolfo  
**Main reference** B. D. McKay, A. Piperno, “Practical graph isomorphism, II”, *Journal of Symbolic Computation*, 60:94–112, 2014.  
**URL** <http://dx.doi.org/10.1016/j.jsc.2013.09.003>

The first practical solutions to the graph isomorphism problem appeared in 1964, mostly motivated by the problem of identifying chemical structures. Now there are a great many applications and several programs with strong performance.

The talk surveyed the development of the field, focusing mostly on the individualization-refinement paradigm that has been the most successful. In particular, we described the techniques used by the *nauty* family of programs that have been the most popular for almost 40 years [1, 2]. The manner in which the search tree is generated and pruned with the help of discovered automorphisms and invariant bounding was explained. Finally, we hinted at the innovative changes made most recently by Adolfo Piperno’s program *Traces*, which is the current champion for difficult graphs, described in detail in Prof Piperno’s talk.

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### 3.14 Fixed-Parameter Tractable Canonization and Isomorphism Test for Graphs of Bounded Treewidth

*Michał Pilipczuk (University of Warsaw, PL)*

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**Joint work of** Lokshantov, Daniel; Pilipczuk, Marcin; Pilipczuk, Michał; Saurabh, Saket  
**Main reference** D. Lokshantov, M. Pilipczuk, M. Pilipczuk, S. Saurabh, “Fixed-Parameter Tractable Canonization and Isomorphism Test for Graphs of Bounded Treewidth”, in Proc. of the 2014 IEEE 55th Annual Symp. on Foundations of Computer Science (FOCS’14), pp. 186–195, IEEE Computer Society, 2014; to appear in *SIAM Journal on Computing*.  
**URL** <http://dx.doi.org/10.1109/FOCS.2014.28>

During the talk we will present an algorithm for Graph Isomorphism on graphs of treewidth  $k$  that runs in time  $2^{O(k^5 \log k)} \cdot n^5$ . This is the first fixed-parameter algorithm for GI under this parameterization. The algorithm actually computes some form of a canonical tree decomposition of the graph, which can be of independent interest.

### 3.15 Some Practical Graph Isomorphism Issues

*Adolfo Piperno (Sapienza University of Rome, IT)*

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**Joint work of** McKay, Brendan; Piperno, Adolfo

**Main reference** B. D. McKay, A. Piperno, “Practical graph isomorphism, II”, *Journal of Symbolic Computation*, 60:94–112, 2014.

**URL** <http://dx.doi.org/10.1016/j.jsc.2013.09.003>

Traces is a tool for graph canonical labeling and automorphism group computation, included in the nauty & Traces package [1, 2, 3].

In this talk I have presented some new features of Traces, such as the possibility of treating graphs with weighted edges; some issues in the implementation of Traces have been discussed; among these:

- preprocessing trees;
- use of the breadth first search;
- fine tuning on the use of the Schreier-Sims algorithm;
- different choices of individualized vertices.

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- 2 <http://cs.anu.edu.au/people/Brendan.McKay/nauty/>
- 3 <http://pallini.di.uniroma1.it/index.html>

### 3.16 On the Isomorphism Problem for Central Cayley Graphs

*Iliia Ponomarenko (Steklov Institute – St. Petersburg, RU)*

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A Cayley graph  $\text{Cay}(G, X)$  of a group  $G$  is called central if the set  $X$  is a union of conjugacy classes of  $G$ . We discuss two problems. In the first one, given a group  $G$  and a graph  $D$  with  $|G|$  vertices, one should test whether  $D$  is isomorphic to a central Cayley graph of  $G$ . In the second one, we are interested in testing isomorphism of given two central graphs  $\text{Cay}(G, X)$  and  $\text{Cay}(G', X')$ . Both problems are solved in polynomial time, when  $G$  is an abelian group “close” to cyclic. Concerning the second problem, we will talk on the case, when  $G$  is an almost simple group.

### 3.17 The Parameterized Complexity of Geometric Graph Isomorphism

Gaurav Rattan (*The Institute of Mathematical Sciences, IN*)

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**Joint work of** Arvind, Vikraman; Rattan, Gaurav

**Main reference** V. Arvind, G. Rattan, “The Parameterized Complexity of Geometric Graph Isomorphism”, in Proc. of the 9th Int’l Symp. on Parameterized and Exact Computation (IPEC’14), LNCS, Vol. 8894, pp. 51–62, Springer, 2014.

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In this talk, we discuss our recent work on the Geometric Graph Isomorphism (GGI) problem. The problem is defined as follows: given two sets of points  $A$  and  $B$  in  $\mathbb{Q}^k$ , does there exist a Euclidean-distance-preserving bijection between the two sets? The dimension  $k$  of the underlying space is an important parameter of interest. We discuss our  $k^{O(k)}$  FPT algorithm for this problem, and the associated canonization problem [1]. The algorithm uses techniques from lattices. We also discuss the recent work of Haviv and Regev [2] regarding isomorphism of lattices.

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### 3.18 Bidirectional Collision Detection and Group Isomorphism

David J. Rosenbaum (*University of Tokyo, JP*)

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**Main reference** D. J. Rosenbaum, “Bidirectional Collision Detection and Faster Deterministic Isomorphism Testing”, arXiv:1304.3935 [cs.DS], 2013.

**URL** <http://arxiv.org/abs/1304.3935v2>

In this talk, we introduce bidirectional collision detection – a new algorithmic tool that applies to isomorphism testing in any class of objects that satisfies certain mild assumptions. We show that bidirectional collision detection yields a deterministic  $n^{(1/2)\log n + O(1)}$  time algorithm for testing isomorphism of general groups whereas previously the  $n^{\log n + O(1)}$  generator-enumeration algorithm was the best bound for several decades. Later, Laci Babai and Eugene Luks independently improved this result to  $n^{(1/4)\log n + O(1)}$  using two different methods in combination with bidirectional collision detection. Faster quantum versions of our bidirectional collision detection results also exist. Although the space requirements for our algorithms are greater than those for previous isomorphism tests, we show time-space tradeoffs that interpolate between the resource requirements of our algorithms and previous work.

### 3.19 Parameterizations and the Graph Isomorphism Problem

*Pascal Schweitzer (RWTH Aachen University, DE)*

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Historically, right from the beginning of the theoretical studies of the graph isomorphism problem, researchers have investigated the complexity of the isomorphism problem on restricted graph classes. Early examples are polynomial-time isomorphism tests for planar graphs and interval graphs, as well as isomorphism-completeness results for bipartite graphs, regular graphs and so on. For parameterized graph classes, such as graphs of genus at most  $k$  or graphs of degree at most  $k$ , an aim was to design fixed parameter tractable algorithms, which have a running time polynomial for each fixed  $k$ , such that the degree of the polynomial is independent of  $k$ .

In my talk I survey the results and some techniques that have been obtained over the last several decades, including fixed-parameter tractable algorithms, intermediate graph classes, and parameterizations by input similarity. I also discuss intricacies concerning techniques supposed to rule out fixed-parameter tractable algorithms and kernelization results.

### 3.20 Structure and Automorphisms of Primitive Coherent Configurations

*Xiaorui Sun (Columbia University – New York, US)*

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**Joint work of** Sun, Xiaorui; Wilmes, John

**Main reference** X. Sun, J. Wilmes, “Faster Canonical Forms for Primitive Coherent Configurations”, in Proc. of the 47th Annual ACM Symp. on Theory of Computing (STOC’15), pp. 693–702, ACM, 2015; pre-print available as arXiv:1510.02195v1 [math.CO].

**URL** <http://dx.doi.org/10.1145/2746539.2746617>

**URL** <http://arxiv.org/abs/1510.02195v1>

Primitive coherent configurations (PCCs) are colored directed graphs that generalize strongly regular graphs (SRGs), a class perceived as difficult for GI. Moreover, PCCs arise naturally as obstacles to combinatorial divide-and-conquer approaches for general GI.

We prove that PCCs have at most  $\exp(O(n^{1/3}))$  automorphisms, with known exceptions. This is the first improvement over Babai’s 1981 bound of  $\exp(O(n^{1/2}))$ . Our result also implies an  $\exp(O(n^{1/3}))$  upper bound on the order of primitive but not doubly transitive permutation groups (with known exceptions). This bound was previously known (Cameron, 1981) only through the Classification of Finite Simple Groups.

For the analysis we develop a new combinatorial structure theory for PCCs that in particular demonstrates the presence of “clique geometries” among the constituent graphs of PCCs in certain range of the parameters.

### 3.21 Complexity Classes and the Graph Isomorphism Problem

Jacobo Torán (*Universität Ulm, DE*)

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It is well known that the Graph Isomorphism problem is in NP, but not expected to be NP complete and not known to be in P. In this talk I review some of the attempts that have been made in order to provide a better classification of the problem. I give an overview on the known upper and lower bounds for the Graph Isomorphism problem in terms of complexity classes.

### 3.22 On Tinhofer's Linear Programming Approach to Isomorphism Testing

Oleg Verbitsky (*HU Berlin, DE*)

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**Joint work of** Arvind, Vikraman; Köbler, Johannes; Rattan, Gaurav; Verbitsky, Oleg

**Main reference** V. Arvind, J. Köbler, G. Rattan, O. Verbitsky, "On Tinhofer's Linear Programming Approach to Isomorphism Testing", in Proc. of the 40th Int'l Symp. on Mathematical Foundations of Computer Science (MFCS'15), LNCS, Vol. 9235, pp. 26–37, Springer, 2015.

**URL** [http://dx.doi.org/10.1007/978-3-662-48054-0\\_3](http://dx.doi.org/10.1007/978-3-662-48054-0_3)

Exploring a linear programming approach to Graph Isomorphism, Tinhofer [1] defined the concept of a compact graph: A graph is compact if the polytope of its fractional automorphisms is integral. Tinhofer noted that isomorphism testing for compact graphs can be done quite efficiently by linear programming. However, the problem of characterizing and recognizing compact graphs in polynomial time remains an open question.

We relate this approach to the classical color-refinement (CR) procedure. We call a graph CR-definable if the CR procedure distinguishes it from any non-isomorphic graph. Babai, Erdős, and Selkow [2] showed that random graphs are CR-definable with high probability. Immerman and Lander [3] showed that the CR-definable graphs are exactly the graphs definable in two-variable first-order logic with counting quantifiers. An efficient characterization of this class of graphs has been obtained recently in [4] and [5].

Using the last result, we prove that all CR-definable graphs are compact. In other words, the applicability range for Tinhofer's linear programming approach to isomorphism testing is at least as large as for the combinatorial approach based on color refinement.

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### 3.23 Canonical Forms for Steiner Designs in time $v^{O(\log v)}$

John Wilmes (University of Chicago, US)

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Joint work of Babai, László; Wilmes, John

Main reference L. Babai, J. Wilmes, “Quasipolynomial-time canonical form for Steiner designs”, in Proc. of the 45th Annual ACM Symp. on Theory of Computing (STOC’13), pp. 261–270, ACM, 2013.

URL <http://dx.doi.org/10.1145/2488608.2488642>

A Steiner  $S(t, k, v)$  design is a collection of  $v$  points, along with a collection of  $k$ -subsets of points, called blocks, such that every set of  $t$  points is contained in a unique block.

We produce canonical forms, and hence decide isomorphism for Steiner  $S(2, k, v)$  designs in time  $v^{O(\log v)}$ . Previously, a quasipolynomial time-complexity bound was known for bounded  $k$  [1], while the best overall time-complexity bound was  $v^{O(\sqrt{v \log v})}$  [2, 5]. A  $v^{t+O(\log v)}$  time-complexity bound for Steiner  $S(t, k, v)$  designs follows immediately from our result.

In fact, we analyze the individualization/refinement process on Steiner designs, and prove that  $O(\log v)$  individualizations suffices to completely split an  $S(2, k, v)$  design into uniquely colored vertices after naive refinement. In particular, our analysis gives a  $v^{O(\log v)}$  bound on the number of automorphisms of a nontrivial Steiner design.

A simultaneous, independent proof of the same time-complexity bound was given by Chen, Sun, and Teng, and presented together with the present result at STOC’13 [4, 3].

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### 3.24 Group Isomorphism Is Tied up in Knots

James B. Wilson (Colorado State University, US)

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After a century of attention, our understanding of isomorphisms between groups is rich and full of questions. The results have implications to Topology, Computer Science, Logic, and Algebra. Some recent projects are moving beyond established barriers while others are demonstrating why lack of progress is to be expected.

## Participants

- Albert Atserias  
UPC – Barcelona, ES
- László Babai  
University of Chicago, US
- Christoph Berkholz  
HU Berlin, DE
- Jannis Bulian  
University of Cambridge, GB
- Sourav Chakraborty  
Chennai Mathematical Inst., IN
- Maurice Chandoo  
Leibniz Univ. Hannover, DE
- Bireswar Das  
Indian Institute of Technology  
Gandhinager, IN
- Anuj Dawar  
University of Cambridge, GB
- Michael Elberfeld  
RWTH Aachen University, DE
- Martin Fürer  
Pennsylvania State University –  
University Park, US
- Martin Grohe  
RWTH Aachen University, DE
- Rohit Gurjar  
FH Aalen, DE
- Neil Immerman  
University of Massachusetts –  
Amherst, US
- Tommi Junttila  
Aalto University, FI
- Sandra Kiefer  
RWTH Aachen University, DE
- Johannes Köbler  
HU Berlin, DE
- Sebastian Kuhnert  
HU Berlin, DE
- Piyush P. Kurur  
Indian Institute of Technology –  
Kanpur, IN
- Daniel Lokshtanov  
University of Bergen, NO
- José Luis Lopez Presa  
Technical Univ. of Madrid, ES
- Eugene M. Luks  
Univ. of Oregon – Eugene, US
- Brendan McKay  
Australian National University –  
Canberra, AU
- Daniel Neuen  
RWTH Aachen University, DE
- Prajakta Nimbhorkar  
Chennai Mathematical Inst., IN
- Luis F. Núñez Chiroque  
IMDEA Networks – Madrid, ES
- Yota Otachi  
JAIST – Ishikawa, JP
- Michał Pilipczuk  
University of Warsaw, PL
- Adolfo Piperno  
Sapienza University of Rome, IT
- Iliia Ponomarenko  
Steklov Institute –  
St. Petersburg, RU
- Gaurav Rattan  
The Institute of Mathematical  
Sciences, IN
- David J. Rosenbaum  
University of Tokyo, JP
- Alexander Russell  
University of Connecticut –  
Storrs, US
- Patrick Scharpfenecker  
Universität Ulm, DE
- Uwe Schöning  
Universität Ulm, DE
- Pascal Schweitzer  
RWTH Aachen University, DE
- Xiaorui Sun  
Columbia Univ. – New York, US
- Thomas Thierauf  
Hochschule Aalen, DE
- Jacobo Torán  
Universität Ulm, DE
- Yadu Vasudev  
Technion – Haifa, IL
- Oleg Verbitsky  
HU Berlin, DE
- Pengming Wang  
University of Cambridge, GB
- John Wilmes  
University of Chicago, US
- James B. Wilson  
Colorado State University, US
- Angela Wu  
University of Chicago, US



# Debating Technologies

Edited by

Iryna Gurevych<sup>1</sup>, Eduard H. Hovy<sup>2</sup>, Noam Slonim<sup>3</sup>, and Benno Stein<sup>4</sup>

1 TU Darmstadt, DE, [gurevych@ukp.informatik.tu-darmstadt.de](mailto:gurevych@ukp.informatik.tu-darmstadt.de)

2 Carnegie Mellon University – Pittsburgh, US, [hovy@cmu.edu](mailto:hovy@cmu.edu)

3 IBM – Haifa, IL, [noams@il.ibm.com](mailto:noams@il.ibm.com)

4 Bauhaus-Universität Weimar, DE, [benno.stein@uni-weimar.de](mailto:benno.stein@uni-weimar.de)

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## Abstract

This report documents the program and the outcomes of Dagstuhl Seminar 15512 “Debating Technologies”. The seminar brought together leading researchers from computational linguistics, information retrieval, semantic web, and database communities to discuss the possibilities, implications, and necessary actions for the establishment of a new interdisciplinary research community around debating technologies. 31 participants from 22 different institutions took part in 16 sessions that included 34 talks, 13 themed discussions, three system demonstrations, and a hands-on “unshared” task.

**Seminar** December 13–18, 2015 – <http://www.dagstuhl.de/15512>

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## 1 Executive Summary

*Iryna Gurevych*

*Eduard H. Hovy*

*Noam Slonim*

*Benno Stein*

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Why do people in all societies argue, discuss, and debate? Apparently, we do so not only to convince others of our own opinions, but because we want to explore the differences between our own understanding and the conceptualizations of others, and learn from them. Being one of the primary intellectual activities of the human mind, debating naturally involves a wide range of conceptual capabilities and activities, ones that have only in part been studied from a computational perspective in fields like computational linguistics and natural language processing. As a result, computational technologies supporting human debating are scarce, and typically still in their infancy. Recent decades, however, have seen the emergence and flourishing of many related and requisite computational tasks, including sentiment analysis, opinion and argumentation mining, natural language generation, text



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summarization, dialogue systems, recommendation systems, question answering, emotion recognition/generation, automated reasoning, and expressive text to speech.

This Dagstuhl seminar was the first of its kind. It laid the groundwork for a new interdisciplinary research community centered around debating technologies – computational technologies developed directly to enhance, support, and engage with human debating. The seminar brought together leading researchers from relevant communities to discuss the future of debating technologies in a holistic manner.

The seminar was held between 13 and 18 December 2015, with 31 participants from 22 different institutions. The event’s sixteen sessions included 34 talks, thirteen themed discussions, three system demonstrations, and a hands-on “unshared” task. Besides the plenary presentations and discussions, the program included several break-out sessions and mock debates with smaller working groups. The presentations addressed a variety of topics, from high-level overviews of rhetoric, argument structure, and argument mining to low-level treatments of specific issues in textual entailment, argumentation analysis, and debating-oriented information retrieval. Collective discussions were arranged for most of these topics, as well as on more forward-thinking themes, such as the potential and limitations of debating technologies, identification of further relevant research communities, and plans for a future interdisciplinary research agenda.

A significant result of the seminar was the decision to use the term computational argumentation to put the community’s various perspectives (argument mining, argument generation, debating technologies, etc.) under the same umbrella. By analogy with “computational linguistics”, “computational argumentation” denotes the application of computational methods for analyzing and synthesizing argumentation and human debate. We identified a number of key research questions in computational argumentation, namely:

- How important are semantics and reasoning for real-world argumentation?
- To what extent should computational argumentation concern itself with the three classical rhetorical appeals of ethos (appeal to authority), pathos (appeal to emotion), and logos (appeal to reason)? Is it sufficient to deal with logos, or is there some benefit in studying or modelling ethos and pathos as well?
- What are the best ways of dealing with implicit knowledge?

A number of discussion questions at the seminar followed from these points, particularly in relation to the data and knowledge sources required for implementing and evaluating computational argumentation systems. For example, are currently available datasets sufficient for large-scale processing or for cross-language and cross-domain adaptation? Can we reliably annotate logos, ethos, and pathos? In any case, what sort of data would be considered “good” for a shared task in computational argumentation? Is it possible for computational argumentation to repeat the recent successes of “deep” natural language processing by employing shallow methods on large masses of data? How does cultural background impact human argumentation, and is this something that computational models need to account for? Finding the answers to these and other questions is now on the agenda for our burgeoning research community.

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### 3 Overview of Talks

#### 3.1 The Web as a Corpus of Argumentation

*Khalid Al-Khatib (Bauhaus-Universität Weimar, DE)*

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Computational argumentation approaches are usually trained and evaluated on manually annotated texts. However, manual annotation for argumentation is particularly intricate and expensive, and practically infeasible for large numbers of texts and for the existing diversity of domains. As an alternative to manual annotation, we consider four types of web resources: social networks (Reddit, Facebook), discussion forums (Idebate), wiki (Wikipedia), and text extracted from web crawls. We discuss how to exploit them for automatic acquisition of labeled texts to address three computational argumentation tasks: the identification of controversial topics, of argument units and relations, and of positive and negative consequences.

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#### 3.2 Evidence Detection

*Carlos Alzate (IBM Research – Dublin, IE)*

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**Joint work of** Ehud Aharoni; Carlos Alzate; Lena Dankin; Mitesh M. Khapra; Ruty Rinott; Noam Slonim  
**Main reference** R. Rinott, L. Dankin, C. Alzate, M. M. Khapra, E. Aharoni, and N. Slonim, “Show Me Your Evidence – an Automatic Method for Context Dependent Evidence Detection,” in Proc. of the 2015 Conf. on Empirical Methods in Natural Language Processing (EMNLP'15), pp. 440–450, Association for Computational Linguistics, 2015.

**URL** <http://aclweb.org/anthology/D/D15/D15-1050.pdf>

A methodology to automatically detect evidence (also known as premise) supporting a given claim in unstructured text is presented. This task has many practical applications in persuasion enhancement and decision support in a variety of domains. First, an extensive benchmark dataset specifically tailored for this task is introduced. Then, we propose a system architecture based on supervised learning to address the evidence detection task. Experimental results are promising and show the applicability of the proposed scheme.

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### 3.3 Analogies as a Base for Knowledge Exchange and Argumentation

*Wolf-Tilo Balke (TU Braunschweig, DE)*

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**Joint work of** Wolf-Tilo Balke; Silviu HOMOCEANU

**Main reference** S. HOMOCEANU and W.-T. BALKE, “A Chip Off the Old Block – Extracting Typical Attributes for Entities based on Family Resemblance,” In Proc. of the 20th Int’l Conf. on Database Systems for Advanced Applications (DASFAA’15), LNCS, Vol. 9049, pp. 493–509, Springer, 2015.

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Analogies and analogical reasoning are major tools for the efficient communication between humans, and in particular for knowledge exchange and argumentation. In a simplistic definition the finding, exchanging, and understanding of analogies refers to a complex cognitive process of transferring information or meaning from one particular subject (the source) to another particular subject (the target). In the case of knowledge exchange, this means that without actually possessing deeper knowledge about a target entity or concept, the correct decoding of analogies allows to transfer some specific characteristics, attributes, or attribute values from a given source well known to all participants of the exchange; this effect is especially helpful in interdisciplinary discourses. In argumentation the benefit of analogies mostly lies in reducing complexity, for example when simplifying things or focusing a discussion by leaving out unnecessary details or when using analogies in the sense of precedence and arguing for similar measures to be taken in similar cases.

In a first phase of our work we are restricting analogies to information about entities, often referred to as entity summaries and provided in structured form, for instance by schema.org or Google’s knowledge graph. However, for the later use in analogies not all properties of some entity can be used, since on one hand the intended property or concept has to be transferable over several cases of entities of the same category, and on the other hand it has to be widely known such that the analogy can be easily understood by the intended audience. To this aim we discuss how to derive a common entity structure or schema comprising attributes typical for entities of the same or similar entity type. To find out what is really typical, the definition of a practical measure for attribute typicality is needed (e.g., the measure derived from cognitive psychology presented in [1]). Since there is a wide variety of entity types and a manual inspection and classification might prove too expensive, further questions to be solved are the basic extraction of analogies from text and – where applicable – the generalization of analogies to other entities of a kind with the intention of finding out exactly which attributes or entity characteristics are essential for a certain the analogy to work.

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### 3.4 Claim Generation

*Yonatan Bilu (IBM – Haifa, IL) and Noam Slonim (IBM – Haifa, IL)*

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Computational Argumentation has two main goals – the detection and analysis of arguments on the one hand, and the synthesis or generation of arguments on the other. Much attention has been given to the former – mostly under the title of argumentation mining, but considerably less to the latter. Several models have been suggested for the structure of an argument – dating back to Aristotle, and in modern times the Toulmin model, or the more detailed Argumentation Schemes. A key component in all these models is the Conclusion or Claim of the argument. Thus, a key component in synthesizing arguments is the synthesis of claims.

One way to obtain claims for the purpose of generating arguments is by employing argumentation mining to detect claims within an appropriate corpus. While in specific cases, such as in the legal domain, one can use a corpus which is argumentative by nature and contains many claims, claim detection in the general case appears to be a hard problem. Thus, it is interesting to explore if – for the sake of synthesis – there may be other ways to generate claims.

Here we suggest such a method. We first go over a set of simple labeled claims for numerous topics (relatively short claims with exactly one verb), and extract the predicate part of these sentences. We call this the Predicate Lexicon. Given a new topic, we synthesize claims using a two step algorithm: First we construct candidate claims by constructing sentences whose subject is the new topic and the predicate is one from the Predicate Lexicon which bears some semantic similarity to the new topic. Second, we use a logistic regression classifier to determine whether the claim candidate is a coherent claim and appropriate for the topic at hand. The classifier is trained on candidate claims from the generation phase which were labeled via Amazon’s Mechanical Turk. While these annotations are rather noisy, we are able to distill from them a relatively consistent labeling, for which we obtain surprisingly good results.

### 3.5 Emotions in Argumentation

*Elena Cabrio (INRIA Sophia Antipolis – Méditerranée, FR)*

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Argumentation is a mechanism to support different forms of reasoning such as decision making and persuasion and always cast under the light of critical thinking. In the latest years, several computational approaches to argumentation have been proposed to detect conflicting information, take the best decision with respect to the available knowledge, and update our own beliefs when new information arrives. The common point of all these approaches is that they assume a purely rational behavior of the involved actors, be them humans or artificial agents. However, this is not the case as humans are proved to behave differently, mixing rational and emotional attitudes to guide their actions. Some works have claimed that there exists a strong connection between the argumentation process and the emotions felt by people involved in such process. We advocate a complementary, descriptive and experimental method, based on the collection of emotional data about the way human reasoners handle

emotions during debate interactions. Across different debates, people’s argumentation in plain English is correlated with the emotions automatically detected from the participants, their engagement in the debate, and the mental workload required to debate. Results show several correlations among emotions, engagement and mental workload with respect to the argumentation elements. For instance, when two opposite opinions are conflicting, this is reflected in a negative way on the debaters’ emotions. Beside their theoretical value for validating and inspiring computational argumentation theory, these results have applied value for developing artificial agents meant to argue with human users or to assist users in the management of debates.

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## 3.6 Profiling for Argumentation

Walter Daelemans (*University of Antwerp, BE*)

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**Joint work of** Walter Daelemans; Frederik Vaassen

**Main reference** F. Vaassen and W. Daelemans, “Automatic Emotion Classification for Interpersonal Communication,” in Proc. of the Workshop on Computational Approaches to Subjectivity and Sentiment Analysis (WASSA’11), pp. 104–110, Association for Computational Linguistics, 2011.

**URL** <http://www.aclweb.org/anthology/W11-1713>

In order to adapt argumentation to the intended audience, we have to detect emotional states and personality aspects of this audience, preferably multimodal (e.g. in robot – person interaction) but in the simplest case only from text. As an early example of this, I describe the deLearyous project in which the argumentation system has to detect the communicative stance of the dialogue partner (above vs. below, together vs. against) from text only, according to a particular communication model (Leary’s Rose). Although this turns out to be possible above chance level and at an accuracy higher than annotation agreement in people, the results were not good enough to be useful in practical systems. After a brief overview of the state of the art and main problems in computational stylometry and the need for balanced corpora in this field, I describe a more promising multimodal approach with NAO robots that is being started up at CLiPS. At this stage, the robot can adapt a more introverted or extraverted interaction style both in posture and language generation.

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### 3.7 Debating-Oriented Information Retrieval (Towards the WHY Search Engine)

Norbert Fuhr (*Universität Duisburg-Essen, DE*)

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In this talk, we discuss the need for more advanced search engines that are able to answer why questions. Major applications would be all kinds of decision support, as well as helping in understanding and learning. Current Web search engines are mainly word-based, thus they can give satisfying answers to why queries only when there are single Web pages containing a comprehensive answer. In any case, however, they prefer positive answers over negative ones, and they suffer from a click-through bias. For developing WHY search engines, a number of research issues have to be addressed, such as argument representations suitable for information retrieval, methods for de-duplication of arguments as well as for estimating the importance and credibility of arguments, personalized and interactive retrieval of arguments.

### 3.8 Basic Concepts of Argumentation

Graeme Hirst (*University of Toronto, CA*)

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This talk reviews some of the basic concepts of argumentation, and establishes some terminology. Unfortunately, there is much inconsistency and fuzziness in the literature with regard to terminology, and many terms are vague or used somewhat differently by different people. In assembling this set of basic concepts, I've drawn primarily from [1] and [3]. Points covered are the following:

- The distinction between individual *arguments* and *argumentation* as a sequence of moves, and how argumentation differs from the use of formal logic.
- The elements of arguments: assertions that may be *claims* or *reasons*, and which may be explicit or left implicit – that is, the argument may be an *enthymeme*.
- The four basic kinds of argument structure – *convergent*, *linked*, *serial*, and *divergent* – and diagrammatic notations for them.
- Three basic types of argument: *deductive*, probabilistically *inductive*, and *presumptive*.
- The notion of *strategic maneuvering* to achieve both dialectical and rhetorical goals in an argument: putting forward claims and arguments, asking questions, casting doubts, attacking opposing arguments.
- Three different kinds of attack: *rebuttals*, *undercutters*, and *defeaters*.
- The notions of *relevance*, *rationality*, *commitment* and *burden of proof* in argumentation.
- The embodiment of these ideas in the 10 rules of critical discussion proposed in the *Pragma-Dialectical Theory of Argumentation* [2].
- The *Toulmin model* of argumentation, with three kinds or levels of reasons in support of a claim – *grounds* or *data*, *warrant*, and *backing* – which may have *modal qualifiers* and *rebuttals*.
- *Argumentation schemes* as templates for common forms of argument, mostly presumptive or defeasible forms. Argumentation schemes are explicated at greater length in my second talk.

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## 3.9 Introduction to Argumentation Schemes

Graeme Hirst (University of Toronto, CA)

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This talk reviews some of the basic concepts of argumentation schemes and recent research in NLP on recognizing them. Points covered are the following:

- Argumentation schemes are templates for common forms of argument; they are mostly presumptive or defeasible, and may even be “fallacious”. Examples include ad hominem arguments, argument from generic division, and argument from expert opinion.
- Argumentation schemes reflect real-world argumentation, and reject the hegemony of formal logicist approaches (which are included, but are not dominant).
- Although argumentation schemes go back to Aristotle, recent conceptions are due to [3] and [4]. The latter catalogue 65 schemes.
- Each scheme in [4] catalogue is given a set of *critical questions*, which can be used as challenges to premises of arguments in the scheme and as suggestions for missing premises of enthymemes.
- [1] developed a system that recognized five common argumentation schemes. Their method assumed that the argumentative text and its premise and conclusion have already been identified. The features they used were certain surface characteristics (used for all five schemes), and scheme-specific features such as keywords, textual similarity, and lexical sentiment. They achieved medium to high accuracy for discriminating most of the schemes from the others.
- [2] removed the assumption that premises and conclusion have been previously identified, using a cascade of weak methods to identify both the components and the argumentation scheme. The methods included discourse connections and features similar to those of [1]. They evaluated on two schemes with medium to high accuracy.

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### 3.10 Expertise and Argumentative Personas: Detection and Generation

*Eduard H. Hovy (Carnegie Mellon University – Pittsburgh, US)*

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**Joint work of** Eduard H. Hovy; Siddharth Jain

In contentious discussions, in parallel with their formal stance, the partners provide unconscious signals about their expertise, commitment, cooperativeness, etc. In this talk we describe our work on the automated detection of different ‘argumentation personas’, including the level of Leadership, Rebelliousness, Sense-making, etc. To estimate Leadership, we develop two different models, one counting the degree to which participants adopt the terminology and the stance of a person throughout the course of the arguments, and the other counting the number of times a person’s contribution brings an ongoing disagreement to an end. To estimate Sense-making, our model integrates several factors, including reference to rules of argumentation, presence or absence of ad hominem attacks, adherence to the topic, etc. We test these ideas on two social media arenas: Wikipedia’s Articles for Deletion discussions and 4forums.com political and religious arguments.

### 3.11 Opinions and Why they Differ from Sentiment

*Eduard H. Hovy (Carnegie Mellon University – Pittsburgh, US)*

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NLP has witnessed a rapidly growing desire for automated sentiment/opinion detection systems. But the absence of clear definitions of the major topics under discussion hampers serious work. In this brief talk I provide definitions for two types of Opinion – Judgment (with values like good, bad, mixed, neutral; typically called sentiment) and Certainty (true, false, possible, etc.; typically called epistemic judgments). Any argumentation or debating system needs to be able to handle both kinds of Opinion appropriately. I describe their parallel structure (Holder, Topic, Claim, Valence) and the still unaddressed facet of Reason.

### 3.12 What is Argumentation and Rhetoric?

*Eduard H. Hovy (Carnegie Mellon University – Pittsburgh, US)*

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Too often in the past, the NLP community has opened up a new topic without due regard to its general nature. Typically the community focuses on some specific easy-to-computationalize aspects without regard to the broader context in which their work exists. The resulting narrow focus often limits the scope and applicability of their work. This workshop focuses on debating, which is a specific type of argumentation. The novelty of this topic presents the danger of rapid narrowing and overspecialization. Therefore, in this introductory talk I provide a general background on Argumentation. Starting with what argumentation and rhetoric are (as defined by Aristotle and expended through the ages), we consider

different types/families of argumentation (from antiquity to now, including subtypes like legal arguments, academic discussions, and debates). Each argument has the same basic structure, consisting of premises and interpretive values for them, plus relations that express how one premise supports or attacks another. But each type of argument has evolved its own internal logic and stereotypical structure, and debating systems need to be able to recognize when one or another of these modes is adopted by an adversary. This then leads naturally to the principal theoretical questions to be addressed by argumentation systems, and by debating systems in particular, including: how one discovers argumentative text in the wild (argument retrieval), how one automatically analyzes such examples (“argumentation mining”), how one maintains and updates the argument structure as it evolves, how one automatically generates premises in arguments (“argument generation”), and how one can employ a sub-case of argumentation for practical purposes (“debating technologies”).

### 3.13 What is Debating Technologies

*Noam Slonim (IBM – Haifa, IL)*

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Why do people in all societies argue, discuss, and debate? Apparently, we do so not only to convince others of our own opinions, but because we want to explore the differences between our own understanding and the conceptualizations of others, and learn from them. Being one of the primary intellectual activities of the human mind, debating therefore naturally involves a wide range of conceptual capabilities and activities, ones that have only in part been studied from a computational perspective.

In this talk I described recent work done by IBM Research to develop Debating Technologies, defined as computational technologies developed directly to enhance, support, and engage with human debating. We further discussed the inter-relations of Debating Technologies and Argumentation Mining, and their role in the more general emerging field of Computational Argumentation.

### 3.14 Communication of Debate Aspects to Different Audiences

*Brian Plüss (The Open University – Milton Keynes, GB)*

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The Election Debate Visualisation (EDV) project (<http://edv-project.net/>) aims to improve democratic citizenship, making televised election debates more accessible and engaging by giving viewers tools to make sense of complex political argumentation. The project brings together research from political communication, computational linguistics, collective intelligence and design in order to provide enhanced, interactive online debate replays [1]. Centered around the citizens’ democratic expectations about election debates [2], data from several sources related to a televised debate (video, transcript, live audience responses, tweets, etc.) are analyzed. The results of these analyses are then shown as interactive visualisations, in synchrony with the video of the debate.

This talk focuses on the challenges in producing visualisations that are suitable for a wide range of audiences, from domain experts and data scientists, to politics students and the general public. The issues and proposed solutions are illustrated with a demo of the tools developed by the EDV project, covering debate aspects such as: computer supported argument visualisation [3], debate rule compliance and fair play [5][6], and a novel method for capturing real-time audience feedback to media events [7].

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## 3.15 Argument(ation) and Social Context

Vinodkumar Prabhakaran (Stanford University, US)

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Social context of an interaction often affects how its participants interact with one another. The social context may derive from a multitude of factors such as status, power, authority, experience, age and gender of the participants. Researchers in NLP have recently started looking into how these social factors affect various aspects of interactions such as politeness [2], dialog structure [3, 4], and level of commitment [1]. In this talk, I argue for the need to consider the effects of social context in argumentation, and what it means to the community of researchers working on computational argumentation. For example, [5] found important differences between the efficacy of argumentation patterns exhibited by men and women. They also suggest that these differences are not inherently tied to gender, but rather due to the power imbalances between genders. Another important aspect that will affect argumentation patterns is the prevalent culture within which it occurs. Beyond gaining potential sociolinguistics insights, research in this direction might also be of practical importance to argument mining systems. On the other hand, it is an interesting open question whether or not a debating technology should be agnostic to the social context while constructing an argument.

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## 3.16 The Role of Evidence in Debates

Ruty Rinott (IBM – Haifa, IL)

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While the essentiality of claims in any argumentative text is well established, the need for evidence in such scenarios is less agreed upon. In this talk I reviewed current literature on the use of evidence in argumentative text, and specifically in debates. In general, there are many indications that using evidence in argumentative text enhances its persuasiveness. However, the degree of evidence influence, and the type of evidence to present depends on the speaker, the audience, and the topic under discussion. In practice, we observe that debaters who are topic experts tend to use evidence much more frequently than those who are not.

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### 3.17 Detecting Argument Components and Structures

*Christian Stab (TU Darmstadt, DE) and Ivan Habernal (TU Darmstadt, DE)*

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**Main reference** C. Stab and I. Gurevych, “Identifying Argumentative Discourse Structures in Persuasive Essays,” in Proc. of the 2014 Conference on Empirical Methods in Natural Language Processing (EMNLP’14), pp. 46–56, Association for Computational Linguistics, 2014.

**URL** <http://www.aclweb.org/anthology/D14-1006>

The detection of micro-level argument components and structures includes several subtasks which are independent of the particular text type or application scenario. First, the identification of argument components includes the recognition of text units which are relevant to the argumentation and the detection of its boundaries. Second, the identification of argument component types focuses on the identification of argumentative roles like e.g. claims, conclusions, premise or evidence. Finally, the identification of argumentation structures aims at identifying argumentative relations between argument components in order to detect the argumentative discourse structures in texts.

In this talk, we presented two different approaches for detecting argument components and structures. First, we introduce a corpus of web discourse annotated with an extended version of Toulmin’s model of argument. The results of a semi-supervised approach using “argument space” features improve performance up to 90% in cross-domain and cross-register evaluation [1]. Second, we present the recent results of argument structure detection [2] in persuasive essays [3]. We show that joint modeling not only considerably improves the identification of argument component types and argumentative relations but also significantly outperforms a challenging heuristic baseline.

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### 3.18 Existing Resources for Debating Technologies

*Christian Stab (TU Darmstadt, DE) and Ivan Habernal (TU Darmstadt, DE)*

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Existing resources for Debating Technologies are predominantly present in the recent research field of Argumentation Mining. These corpora are usually tailored to a particular task, employ different annotation schemes, are limited to a particular text genre or exhibit different granularities of arguments or argument components. In this talk, we introduced a taxonomy for categorizing existing resources in order to facilitate the selection of existing benchmark

resources and the definition of future annotation studies. In particular, our taxonomy structures existing resources by means of existing tasks in argumentation mining and the granularity of arguments (micro-level and macro-level) and argument components (clause-, sentence- and multi-sentence components). In addition, we provide an overview of several existing resources in order to identify requirements, challenges and visions for future resources.

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### 3.19 Discourse Structure and Argumentation Structure

*Manfred Stede (Universität Potsdam, DE)*

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Main reference M. Stede, “Discourse Processing,” Morgan and Claypool, 2011.

For finding arguments in natural language text, it is helpful to consider the relationship between the structure of argumentation and the more general notion of ‘discourse structure’, as it has been discussed in the CompLing community for a long time. A central goal of ascribing discourse structure to a text is in accounting for the text’s coherence, i.e. it’s “hanging together”, which is often being regarded on three different levels of description:

- Referential continuity: A text keeps talking about the same things, i.e., the same discourse referents.
- Topic continuity: A text does not jump wildly between topics but addresses a discernible sequence of topics and their subtopics.
- Discourse relations: Adjacent sentences or spans of text tend to be in some semantic or pragmatic relation to each other.

In particular the third issue is related to argumentation. Discourse relations can be signalled at the text surface, most often by means of connectives: “Tom wants to buy a new apartment, *but* he is not rich enough.” Connectives are conjunctions and various types of adverbials (e.g., ‘however’, ‘therefore’, ‘afterwards’). In certain simple texts, identifying the connectives and their arguments (the text spans being related) is sufficient for deriving a complete discourse structure. Various studies have found, however, that most discourse relations are only implicit, i.e., there is no signal present. This often holds for temporal relations, as in “Tom ran to the station. He jumped onto the train.” Similarly, causal relations do not need to be signalled when their presence can be easily inferred: “Tom’s stomach was aching. He had eaten way too many French fries.” In order to devise a theory of discourse structure around the notion of discourse relation, one has to answer at least these questions:

1. What is the set of relations?
2. How are the relations to be defined?
3. What predictions are being made on the structure of discourse?

Nowadays, the three most prominent approaches are the Penn Discourse Treebank (PDTB); Rhetorical Structure Theory (RST); and Segmented Discourse Representation Theory (SDRT).

The PDTB distinguishes four families of relations (temporal, contingency, comparison, expansion) and defines them mostly in terms of semantic descriptions that can be easily understood by annotators. This annotation mainly consists of identifying the presence of relations, marking the connective (if any) and the arguments. Since each relation is annotated individually, no claims on overall discourse structure are being made at this stage.

In contrast, RST posits that a tree structure results from recursively combining adjacent text spans with a discourse relation. There are two big families of relations: one for more pragmatic, intention-based ones; one for more semantic, content-based ones. An interesting claim of RST is that the vast majority of relations assign different weight to their two arguments: one is the ‘nucleus’ and most important for the writer’s purposes; the other is the ‘satellite’ and has merely a supportive function.

SDRT has been developed from the perspective of formal semantics, and thus the relations are defined largely in terms of features of underlying event structure, etc. The analysis of a text yields a DAG, hence a discourse segment can play multiple roles, in contrast to RST.

Relations are split in two groups: ‘coordinating’ versus ‘subordinating’. They have different implications for the discourse structure, primarily for anaphoric accessibility.

For all three approaches, there exist automatic parsers. A discourse structure analysis can be a useful preparatory step for argumentation mining, in particular when the text has a certain minimal complexity, so that it makes sense to first break it down into portions and then map the discourse structure to a representation of the argumentation.

### 3.20 An Argument Relevance Model for IR

Benno Stein (*Bauhaus-Universität Weimar, DE*)

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We report on research of how to develop a document ranking approach that explicitly models argument strength. We propose to combine state-of-the-art technologies for retrieval and mining to construct a special “argument graph” for a given query. This graph will be recursively evaluated, resembling ideas from the well-known algorithm PageRank, both for the combination of support and attack relations between multiple arguments, and for the assessment of “argument ground strength”.

Classical retrieval models provide the formal means of satisfying a user’s information need (typically a query) against a large document collection such as the web. These models can be seen as heuristics that operationalize Robertson’s probability ranking principle: “Given a query  $q$ , the ranking of documents according to their probabilities of being relevant to  $q$  leads to the optimum retrieval performance.” The new generation of retrieval models that we envision goes into a more specific but possibly game-changing direction, supporting information needs of the following kind: “Given a hypothesis, what is the document that provides the strongest arguments to support or attack the hypothesis?”

Obviously, the implied kind of relevance judgments cannot be made based on the classical retrieval models, as these models do not capture argument structure. In fact, so far the question of how to exploit argument structure for retrieval purposes has hardly been raised, and we propose a comparably basic paradigm along with an operationalizable model that deal with the following aspects: canonical argument structures, interpretation functions, argument graphs, recursive relevance computation, and argument ground strength.

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### 3.21 Paraphrasing

Benno Stein (*Bauhaus-Universität Weimar, DE*)

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We consider the problem of automatically paraphrasing a text. To paraphrase means to rewrite the text’s content whilst preserving the original meaning. From our point of view, handling paraphrasing is of major importance in the context of debating technologies.

While monological argumentation requires paraphrase recognition “only”, dialogical argumentation requires both paraphrase recognition and generation. Approaches to tackle the former are word-level metrics, information retrieval metrics, or machine translation metrics, while approaches to latter include the learning from parallel corpora, combining translating and re-translating, text simplification and summarization, templating, and heuristic search in a so-called paraphrase-operator space. Obviously we are far away from solving the problems of paraphrase recognition and paraphrase generation in its entirety and may focus on “low-hanging fruits” first. Possible steps in this direction are to narrow the topic domain, to restrict to certain text genre, or to restrict to selected tasks, whereas an interesting task in regard with debating is to automate the dialog adaptation to the personality profile of the discussion partner.

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### 3.22 Enthymeme Reconstruction

Simone Teufel (*University of Cambridge, GB*)

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Joint work of Olesya Razuvayevskaya; Simone Teufel

I presented initial work of my student Olesya Razuvayevskaya on linguistic enthymeme reconstruction. The reconstruction of enthymemes, in our definition of the task, is closely related to pragmatic effects. We report on the connection between presuppositions, conventional implicatures and omitted premises in mini-arguments. There is another obvious connection with entailment. Our observation is that in order to cut down the large search space of hypotheses, machine learning might identify contexts where the size of reasoning step is smaller than in the general case, for instance in contexts where the prepositional phrase “of course” is used, i.e., where the speaker explicitly announces the obviousness of

a reasoning step. We reported on encouraging initial machine learning experiments using RTE-type features, where the (binary) task is to decide whether or not a “of course” segment corresponds to an enthymeme or not.

### 3.23 Analysis of Stance and Argumentation Quality

*Henning Wachsmuth (Bauhaus-Universität Weimar, DE)*

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Argumentation mining is concerned with the *detection* of the argumentative structure of natural language texts in terms of the identification of argument units and the classification of relations between these units. In contrast, this talk considered the *analysis* of argumentative structure with the goal of using the structure to address specific argumentation-related tasks. Two such tasks (or families of tasks) are stance classification and the assessment of argumentation quality.

Stance classification aims to determine the overall position of the author of a text towards a predefined topic. Mostly, only “for” and “against” are distinguished, sometimes also “none” or similar. Although assumed to be given, the topic is not necessarily mentioned in the text. Stance classification is connected to sentiment analysis among others, but a stance may also express sentiment on another topic—or none at all—and it depends on what the author argues to be true. Stance classification is important for debating technologies, especially because it is needed to identify pro and con arguments, although the restrictions to predefined topics and “for vs. against” scenarios might have to be revised in practice.

In the talk, the state of the art of classifying the stance of dialogical and monological argumentation was surveyed. For dialogical argumentation, existing approaches achieve an accuracy between 61% and 75% in the two-class scenario. Most of them analyze aspect-based or topic-directed sentiment to some extent. Some add knowledge about the stance of other texts of an author, whereas others exploit the dialog structure to identify opposing stances. Monological argumentation, on the other hand, puts more emphasis on the actual argumentative structure. For instance, Faulkner classifies 82% of all essay stances correctly based on a proprietary representation of arguments, derived from dependency parse trees. What is not captured so far, however, is the overall structure of an argumentation. Here, a model of the flow of rhetorical moves might be useful, which we found to be effective and robust in the related task of global sentiment analysis.

The assessment of argumentation quality is not a well-defined task yet. In overall terms, the argumentation quality of a text generally seems hardly measurable, because several quality dimensions may be important for arguments and argumentations, such as the logical correctness and completeness, the strength or convincingness, the comprehensibility, clarity, or similar. Some of these will be hard to assess in many real-world scenarios (e.g., logical correctness), and some will often depend on the preconceived opinion of the reader (e.g., strength). Still, there are different quality dimensions that have already been analyzed in previous research.

The talk aimed to give a first overview of research on the assessment of argumentation quality. Approaches have been proposed to determine which arguments are prominent or accepted. Other researchers study how deliberate a dialogical argumentation is, what evidence types can be found, or whether critical questions are answered in essays. For essays,

in particular, argumentation-related dimensions have been investigated, e.g., thesis clarity and argument strength. Our recent research suggests that argumentation mining can be leveraged to better solve respective essay scoring tasks. In contrast, there are also very important aspects of argumentation quality that have hardly been approached in practice so far, such as the presence of fallacies or the impact of pathos and ethos as opposed to logos. And, finally, existing work on text quality should not be forgot when it comes to argumentative texts, e.g., regarding readability, text coherence, review deception, review helpfulness, or Wikipedia quality flaws.

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## 4 Working groups

### 4.1 Computational Argumentation Competitions and Data

*Khalid Al-Khatib (Bauhaus-Universität Weimar, DE) and Noam Slonim (IBM – Haifa, IL)*

**Joint work of** Khalid Al-Khatib; Elena Cabrio; Claire Cardie; Iryna Gurevych; Ivan Habernal; Chris Reed; Hinrich Schütze; Noam Slonim; Anita De Waard  
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This working group dealt with two issues in computational argumentation: the preparation of evaluations in terms of competitions as well as the creation of benchmark datasets for development and evaluation.

The envisioned competitions aim mainly at advancing research in the area of computational argumentation and at attracting new researchers from different communities to work in this area. However, conducting such a competition requires substantial effort; several requirements and challenges should be considered. First, the competition has to be clearly defined, attractive, and interesting. It should create buzz and it should preferably have a relatively low entry level. Second, the dataset used in the competition should enable a continuous evaluation of proposed systems before a final test dataset is published, and it should allow for clear and objective evaluation. And third, the competition’s organizers should make sure to generate fair baseline results.

The working group also proposed some ideas for possible competitions, namely:

- The prediction of the level of persuasiveness of an argument(ation) regarding ethos, pathos, and logos in text, audio, or video.
- A competition on top of political debates with additional data coming from tweets.
- The prediction of what leads to a high citation rate of a claim in a scientific paper – in a time scale of 5–10 years.
- The detection of arguments (or claims, evidence, or similar) in a pre-specified set of documents for a given topic.
- The construction of arguments; given a controversial topic and a set of related documents, construct the most persuasive arguments.
- The prediction of the impact of a given argument over a particular audience – where an audience is characterized by nationality, age, gender, personality traits, or similar.

The working group suggested to have a call for challenges proposals as part of the ACL Argument Mining workshop 2016. The accepted proposals should be discussed as a poster session, and one or a few of them should turn into a real challenge during 2017.

Regarding the creation of benchmark datasets, the working group discussed three main points: First, the requirement that datasets proposed in the future should follow specific standards; being stable, having a DOI, and having a uniform format – even at the level of README files. Second, the importance of having a data repository for computational argumentation datasets. The existing “Argument Web” has been proposed for that purpose, considering that it may need to tighten up user control, keeping standards, using a uniform format, and so on. And third, the possibility of releasing datasets – under some reasonable standards – as a “requirement” for publication.

## 4.2 Debating Strategies

*Brian Plüss (The Open University – Milton Keynes, GB)*

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Joint work of Jens Allwood; Carlos Alzate; Yonatan Bilu; Brian Plüss

In this session we discussed debating strategies. Understood as means to achieve individual goals in which someone wants to influence another person or group, debating strategies apply to sections of entire debates and can be thought as composed by building blocks: speech, dialogue acts, moves, etc. The suitability and efficacy of a strategy will depend on the activity type characterised, among others, by the initial situation, goal, cultural context and target audience.

We structured the discussion based on three types of high-level rhetorical goals a speaker might have: pathos, i.e. aiming that people behave in a certain way; ethos, i.e. establishing themselves as credible; and logos, i.e. establishing the reasonability or truth of a position. For pathos, strategies that could affect how people behave include: threatening (ad baculum), luring or offering rewards, flattering, giving orders (assuming compliance is expected, that it's culturally acceptable, etc.), stating sympathy, exposing righteousness and morality (or lack thereof), appealing to solidarity or superiority, etc. For ethos, strategies that could affect how people think of the speaker include: stating personal experiences, stating expertise, presenting titles and credentials, getting somebody else to present the speaker's credentials, exposing good connections, establish mutual trust, etc. For logos, strategies that could make someone change what they believe to be the truth include: making claims, providing evidence, exposing implicit connections, establishing common ground and mutual understanding, expressing claims clearly, exposing righteousness or morality, etc.

## 4.3 Logic and Argumentation

*Simone Teufel (University of Cambridge, GB)*

Joint work of Walter Daelemans; Ido Dagan; Norbert Fuhr; Anette Frank; Christoph Lofi; Nils Reiter; Manfred Stede; Benno Stein; Simone Teufel

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The topic of this break-out session was the connection between computational argumentation and logic and reasoning. We felt strongly that the connection is highly relevant: representation of the propositional contents of the argument, in the right form, and the ability to reason

over such content, would allow for better manipulation of arguments both for analysis and synthesis, and thus advance research in computational argumentation. But of course the core question remains, how could enough world knowledge of the right kind be acquired, represented, and then made to work, reliably and robustly, in many interesting cases? Looking at this question in 2015/6, we also have to consider experiences from the past.

Most of the past research on reasoning was closely connected to using natural language as the source of the knowledge extracted. “Strong AI” approaches from the 70s and 80s include Winograd’s SHRLDU, the Schankian approach to frames and stories and all its variants, which used rigid inference. What is attractive about these models is their depth and explanatory nature – when they work (namely in toy worlds). However, it proved impossible to scale them up to unlimited domains or larger settings. There were always too many knowledge gaps in the representation, which simply wasn’t dense enough.

Later, the Cyc project tried to address the knowledge gap using shallow, large-scale knowledge extraction from large text corpora. As knowledge representation, however, it still used a symbolic language without grounding – one with atomic symbols that only “looked like” natural language, while in fact not being able to perform “linguistic” inference, for instance to systematically detect similarity with semantically close symbols, and so on. Natural languages in contrast are far too complex for us to model fully, as they can express ambiguity, vagueness, attribution, beliefs and many other “soft effects”. It was mentioned in our group that information retrieval based on automatic indexing was the first time in history that large-scale knowledge representation was based on “real language”, albeit in a very shallow form. Some kinds of knowledge probably cannot be meaningfully represented only in the form of keywords and simple connection strengths between them.

In the past, there have also been successes in the NLP field on “easy” tasks such as factoid question answering, information extraction, and more recently recognition of textual entailment. These tasks are not really easy, but the field was able to formulate them in such a way that a limited form of inference could be performed, measured/evaluated, and rewarded. Task formulations are an important method of guiding a research field: Summarization, another possible test-bed for text understanding, has gone an entirely different way in the past 15 years, where almost no advance towards reasoning has been made and where the most successful methods are instead based on statistical interactions between keywords alone.

IBM Watson is a recent successful example of how far we can go with NL-extracted knowledge and reasoning. The system relies on shallow inference, combined with many expert micro-models (time, prices, location, sports, music, culture). Its success in beating humans in the Jeopardy game show proofs that statistical and shallow approaches can be pushed very far, and that it can cover many types of knowledge. But can this approach be pushed infinitely far? In other words, is it just a matter of scale alone? What makes this question hard to answer is that we don’t know exactly how much knowledge is needed (and for exactly which task?), versus how much we can obtain with foreseeable methods.

Discussing failures of IBM Watson, we talked about whether they were “only” due to the specific task (e.g., the limited reasoning time the Jeopardy setting) and some random knowledge gaps, or whether the lack of a more symbolic intermediate language was fundamentally hindering this kind of approach. For instance, Watson’s time model is powerful enough to often influence decisions in the right way, but it was not able to overrule some very wrong inferences.

Another question concerns the fact that in a system without an intermediate representation, we have no access to interpret internal reasoning steps beyond a tracing mechanism in the form of linkages and statistical reasoning steps.

Designing such an intermediate language that could store extracted and some newly generalized knowledge is crucial and would be very hard. (Some might even say impossible in principle.) We speculated that it could be (light) symbolic, logic-based, or natural language-based. It should support explanation generation. It should be designed in such a way that it can be at least back-translated into some NL statements that are at least semi-understandable to humans.

Another point to consider is that an informal NL-based representation makes knowledge acquisition easier. This means it might also be possible to solicit knowledge from (naive) humans, e.g. by crowd-sourcing. Aiming at soliciting “folk-knowledge” rather than exact scientific knowledge, we would not need the extensive knowledge engineering from experts needed for the early expert systems in AI.

What about the kind of reasoning we would need in the next generation of reasoners? Quite likely, if it is to be useful in large-scale environments, it cannot be fully formal, and it cannot be rigid. Many well-researched types of relaxed inference have been developed in the field of AI and could be used (Bayesian, neural, case-based etc). Some truth conditional constraints can be and should be relaxed, but not all. While a system might consider probabilistically how likely it is that somebody who was insulted reacts in way X or Y, or that “a person’s lifespan might be 120 years” (with low likelihood), it should absolutely blocking any inference relying on overestimations of a human lifespan by 400%. It would be desirable for the next generation of automatic reasoning to have this ability. As a side-point here, we also thought that human reasoning (folk-reasoning) could also establish gold standards for the kind of inference that might be useful for next-generation reasoning systems.

Even though our break-out group probably had a strong NLP bias due to group composition, there are also other possibilities of acquiring world knowledge which do not use written natural language at all. Non-language world knowledge could be captured by sensors, such as vision videos, which might allow for system to generalize that rain is wet or how people tend to behave in crowded shopping streets on a Saturday afternoon (from CCTVs). These are the kinds of facts about the world that an embedded intelligence, human or otherwise, learns by experience.

#### 4.4 Argument and Argumentation Quality

*Henning Wachsmuth (Bauhaus-Universität Weimar, DE)*

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**Joint work of** Wolf-Tilo Balke; Graeme Hirst; Yufang Hou; Vindokumar Prabharakan; Ruty Rinott; Christian Stab; Henning Wachsmuth

Debating technologies and related systems seek to use and provide single arguments and/or complete argumentations of high quality. As discussed in the talk “Analysis of Stance and Argumentation Quality”, different quality dimensions have been investigated in research, whereas a common understanding of argument and argumentation quality is missing so far. Accordingly, the question of how to assess such quality has come up several times during the seminar. As a consequence, a working group has been formed during the seminar to coordinate future research on argument and argumentation quality.

Within the seminar, the concrete objective of the working group was to take a first step towards a taxonomy of quality dimensions. This resulted in the notion of a *contextualization*

of quality assessment. The underlying made observation is that the context of assessing quality impacts what quality dimensions are seen as important. While several context factors have been discussed in the working group, the four that all agreed upon refer to the pursued *goals*, the used *medium*, the considered *granularity*, and the *view* on it. The working group identified the following values to exist for the four context factors:

- *Goals*: persuasion, deliberation
- *Medium*: text, speech, embodied
- *Granularity*: argument, argumentation
- *View*: monological, dialogical

In addition, the *mode* of persuasion was proposed as a fifth context factor:

- *Mode*: logos, pathos, ethos

However, a critical discussion of a case study revealed that the mode does not fully match the idea of context factors. The case study refers to a specific *contextualization*, i.e., the choice of one value for each context factor. In particular, the working group collected and clustered the following important quality dimensions for the contextualization “persuasive, textual, monological argument”:

1. Coherence, adherence, clarity, conciseness, lexical quality
2. Logical correctness, completeness, consistency, validity of reasoning
3. Soundness
4. Truth, defendability, credibility, honesty
5. Convenience, comfort, easy accessibility
6. Relevance, utility, usefulness

As can be seen, the first cluster focuses on representational aspects, which distinguishes it from all other clusters. Cluster 2 clearly summarizes logos aspects, but cluster 4 and cluster 5 relate, at least partly, to ethos and pathos, respectively. Soundness forms its own cluster 3, as it captures characteristics of both cluster 2 and cluster 4. The quality dimensions of the remaining cluster 6, finally, may be somehow affected by all or most other dimensions. In contrast, cluster 6 addresses a different aspect of arguments, namely, whether the arguments help to achieve the purpose they are made for.

As implied above, the presented results are meant only as a first step towards a taxonomy of quality dimensions and towards a common understanding of argument and argumentation quality. Many of the findings sketched here are subject to further investigation. Also, their intersection and compliance with related work from argumentation theory still needs to be clarified. Besides, we explicitly point out that the context factors, their possible values, and the associated quality dimensions might neither be complete nor optimally defined so far. Similarly, the newly introduced terms (e.g., *context factor*) should be seen as preliminary only. That being said, the collaboration of the working group is still ongoing. Important results of this collaboration are planned to be published as soon as available.

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## 5 Panel discussions

### 5.1 Unshared Task Session

*Ivan Habernal (TU Darmstadt, DE), Iryna Gurevych (TU Darmstadt, DE), and Christian Stab (TU Darmstadt, DE)*

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Shared tasks have been playing a major role in boosting research in many NLP fields. The availability of shared annotated data, clear evaluation criteria, and the presence of several competing systems allow for a fair direct comparison and overall progress tracking which in turn foster future research. However, argumentation mining, as an evolving research field, suffers not only from large data sets but also from a missing unified perspective on the tasks to be fulfilled as well as their evaluation. Given the variety of argumentation models, various argumentative genres and registers, granularities (i.e. micro-argumentation and macro-argumentation), dimension of argument (logos, pathos, ethos) and the overall social context of persuasion, the goal of defining a widely accepted task requires a substantial agreement, driven by empirical decision making. In this session, we thus conducted the so-called unshared task, whose goal is to come up with own definition of the task being tackled, the annotation scheme, self-assessment of its strenghts and weaknesses, and requirements for expertise of potential annotators, given only the plain text data. We experimented with five different registers (debate transcripts, forum posts, opinionated newswire articles, on-line discussions attached to an article, and pro-con debate portals) split among 28 participants. After an initial individual session, groups were established with respect to the data type and brainstormed their findings. Finally, a plenary discussion was held with presentation of the main findings from the groups, with emphasis on the criteria introduced above. Some of the results emerging from the discussion revealed that the claim-premise scheme is applicable to some data, but there is a need for capturing also the pragmatic layer (such as the activity, purpose, roles), user interactions, attribution, or patterns of debating. Outputs from this pilot experiment will partly serve for the upcoming unshared task at Argumentation Mining workshop at ACL 2016. A follow-up session was devoted to an active participation in playing Argotario – serious game for learning argument writing, component identification, and stance recognition [1]. The goal of this session was to benchmark the application under real-world conditions, with multiple players at the time. During 45 minutes of playing time, 12 users composed about 40 arguments, and answered about 60 questions in the two remaining game rounds. Several directions for future development were identified, such as player vs. player mode, or assessing argumentation quality.

#### References

- 1 Raffael Hannemann. *Serious Games for large-scale Argumentation Mining*. Master Thesis, Technische Universität Darmstadt, [https://www.ukp.tu-darmstadt.de/publications/details/?tx\\_bibtex\\_pi1\[pub\\_id\]=TUD-CS-2015-0108](https://www.ukp.tu-darmstadt.de/publications/details/?tx_bibtex_pi1[pub_id]=TUD-CS-2015-0108), 2015.

## 5.2 Debate and Argument Visualization

*Brian Plüss (The Open University – Milton Keynes, GB)*

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In this discussion session, we consider issues around the visualisation of argumentation and debate. The topics proposed for discussion include the role of visualisations in argumentation research and communication; the possible targets of argument and debate visualisations (e.g., experts, the general public); what and how much should be visualised depending on target audiences; effective ways to evaluate visualizations; etc.

## Participants

- Khalid Al-Khatib  
Bauhaus-Universität Weimar, DE
- Jens Allwood  
University of Göteborg, SE
- Carlos Alzate  
IBM Research – Dublin, IE
- Wolf-Tilo Balke  
TU Braunschweig, DE
- Yonatan Bilu  
IBM – Haifa, IL
- Elena Cabrio  
INRIA Sophia Antipolis –  
Méditerranée, FR
- Claire Cardie  
Cornell University, US
- Walter Daelemans  
University of Antwerp, BE
- Ido Dagan  
Bar-Ilan University – Ramat  
Gan, IL
- Anette Frank  
Universität Heidelberg, DE
- Norbert Fuhr  
Universität Duisburg-Essen, DE
- Iryna Gurevych  
TU Darmstadt, DE
- Ivan Habernal  
TU Darmstadt, DE
- Graeme Hirst  
University of Toronto, CA
- Yufang Hou  
IBM Research – Dublin, IE
- Eduard H. Hovy  
Carnegie Mellon University –  
Pittsburgh, US
- Christoph Lof  
TU Braunschweig, DE
- Marie-Francine Moens  
KU Leuven, BE
- Brian Plüss  
The Open University –  
Milton Keynes, GB
- Vinodkumar Prabhakaran  
Stanford University, US
- Chris Reed  
University of Dundee, GB
- Nils Reiter  
Universität Stuttgart, DE
- Ruty Rinott  
IBM – Haifa, IL
- Hinrich Schütze  
LMU München, DE
- Noam Slonim  
IBM – Haifa, IL
- Christian Stab  
TU Darmstadt, DE
- Manfred Stede  
Universität Potsdam, DE
- Benno Stein  
Bauhaus-Universität Weimar, DE
- Simone Teufel  
University of Cambridge, GB
- Anita De Waard  
Elsevier Labs – Jericho, US
- Henning Wachsmuth  
Bauhaus-Universität Weimar, DE

